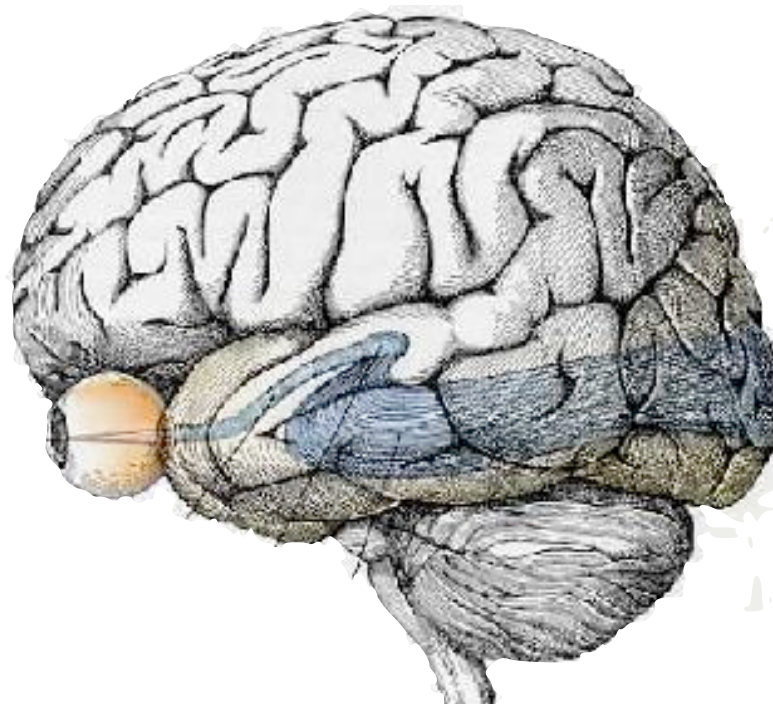


Nonlinear Image Representation with Cascaded Local Gain Control

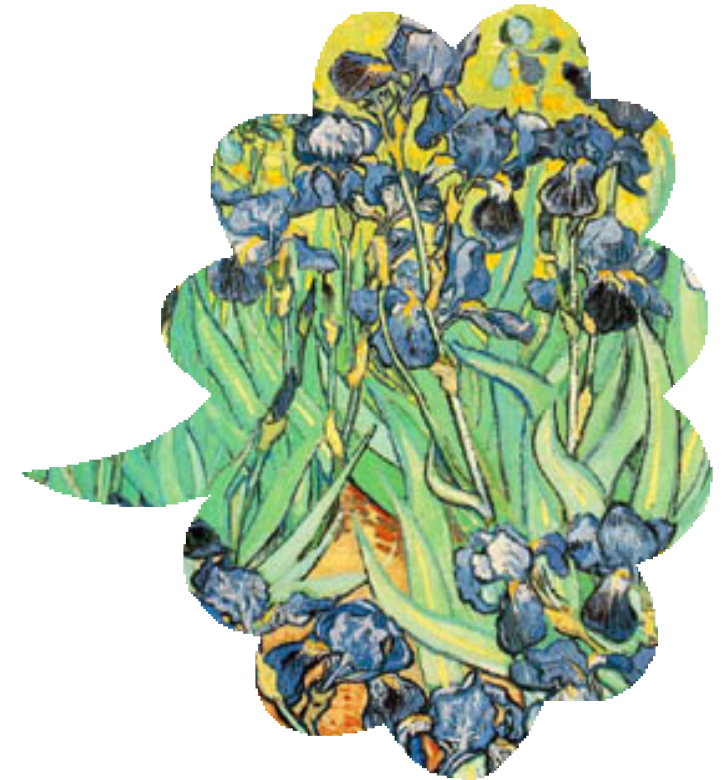
Eero Simoncelli
Center for Neural Science, and
Courant Inst. of Mathematical Sciences,
New York University



Environment

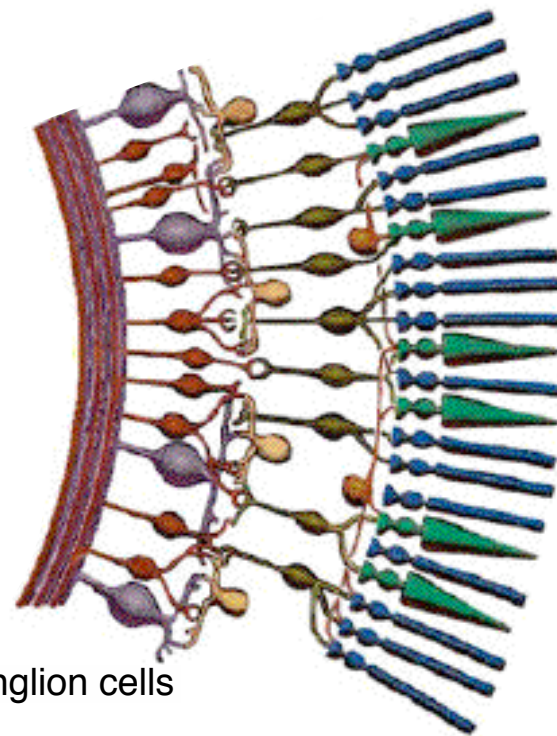
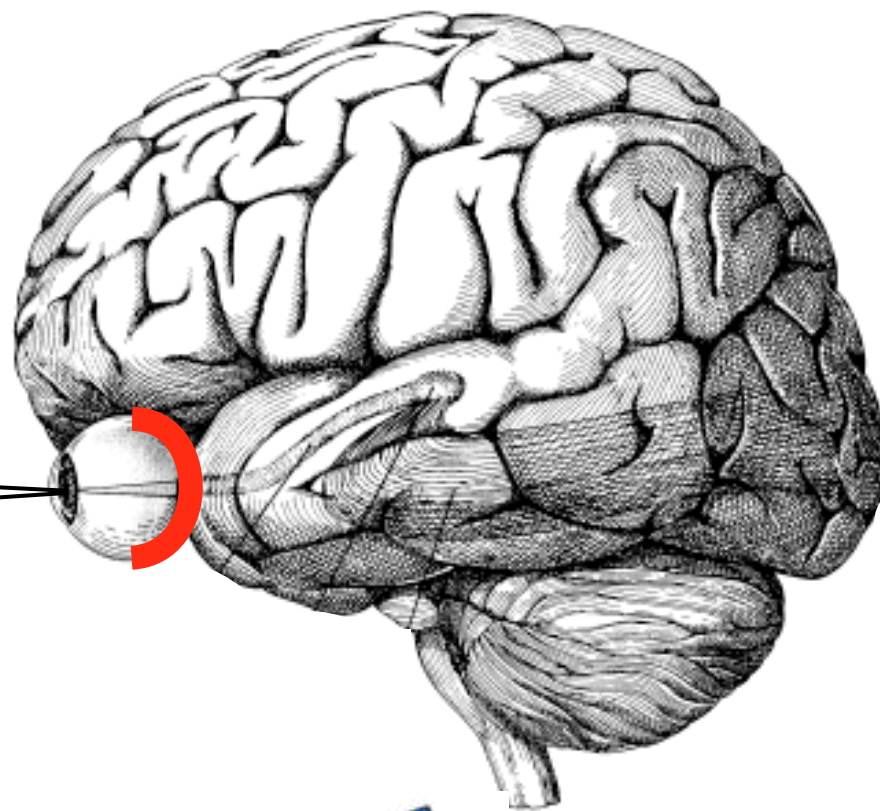


Physiology



Perception

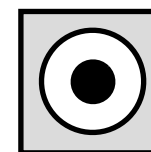
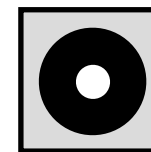
- How do populations of neurons extract/represent visual information?
- In what ways is this matched to, or optimized for, our visual environment?
- How do these representations enable/limit perception?
- What new principles may be gleaned from these representations, and applied to engineered imaging or vision systems?



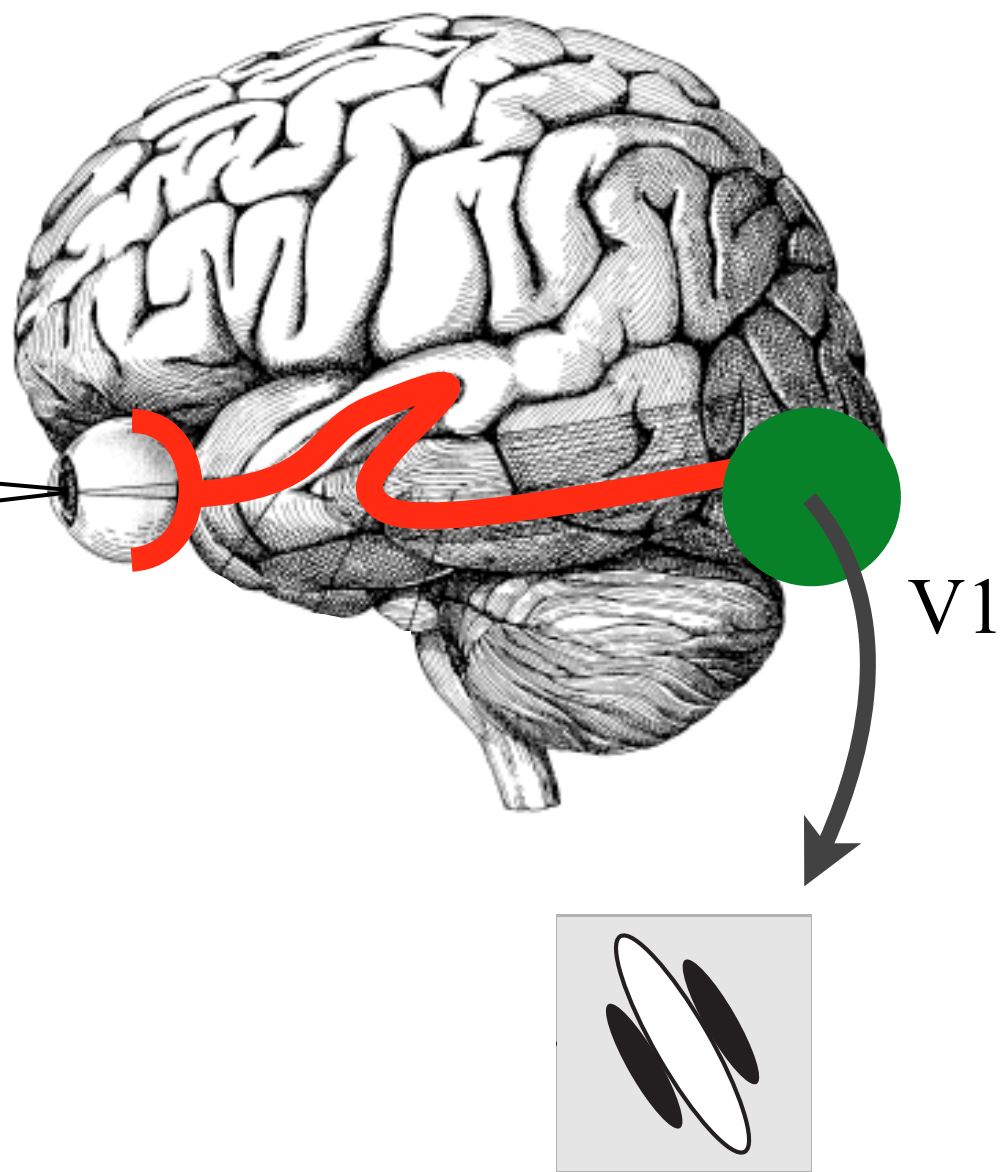
ganglion cells

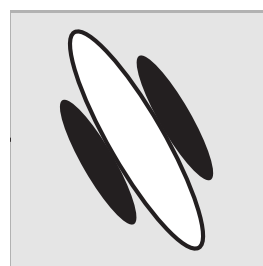
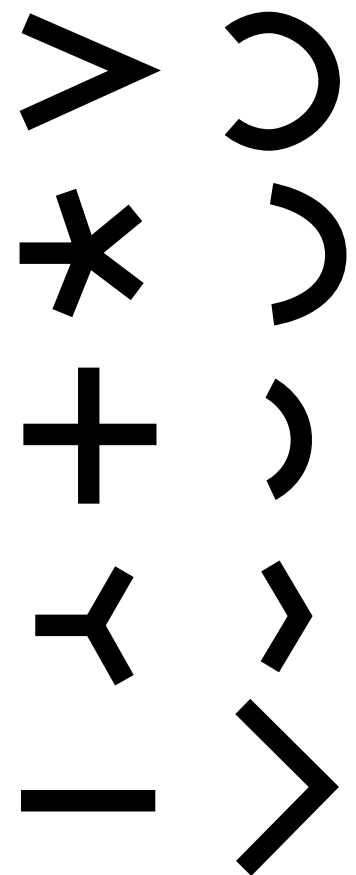
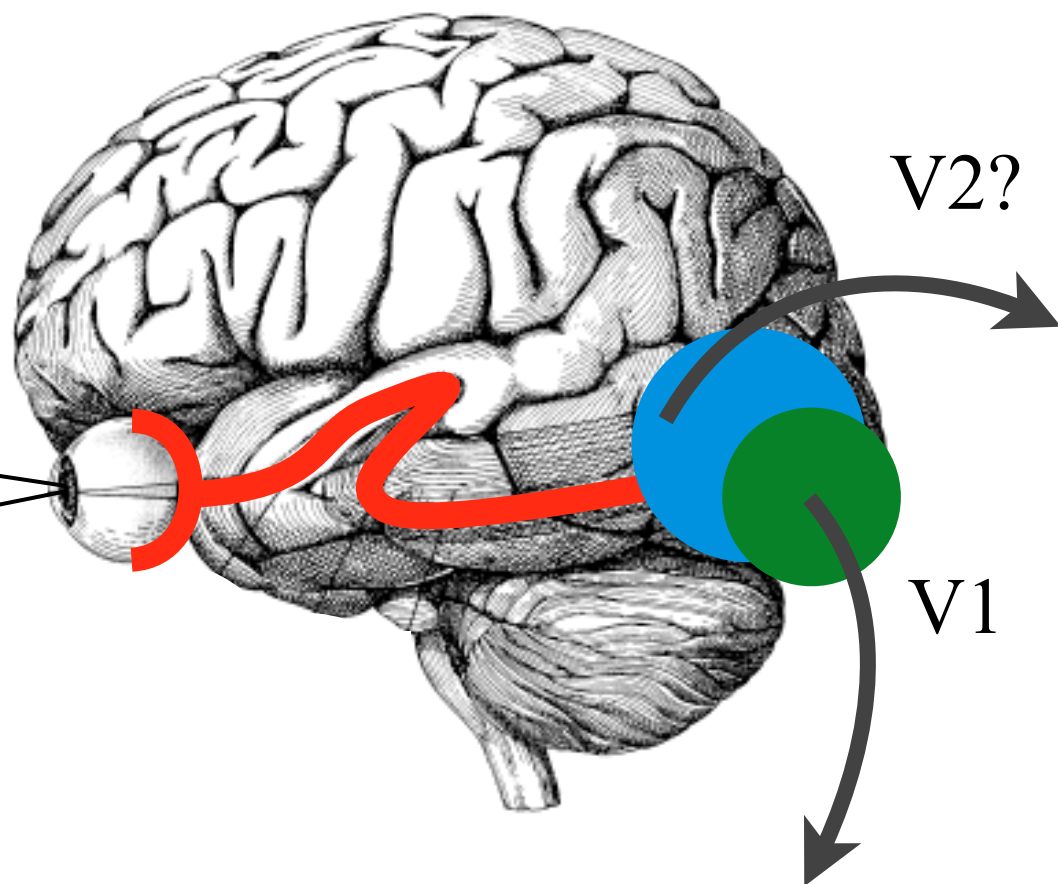
interneurons

photoreceptors



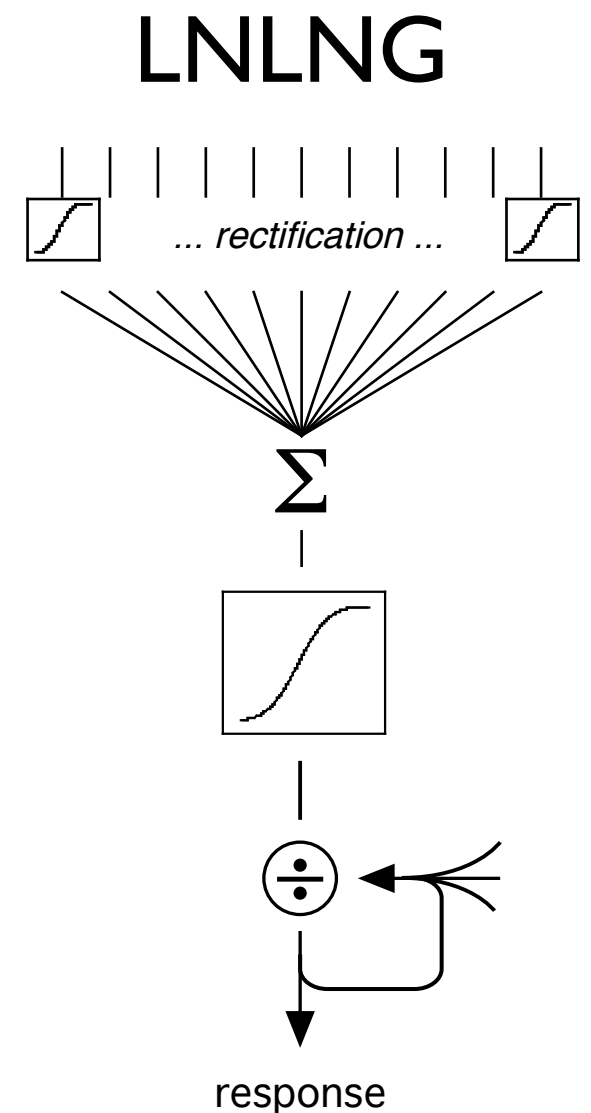
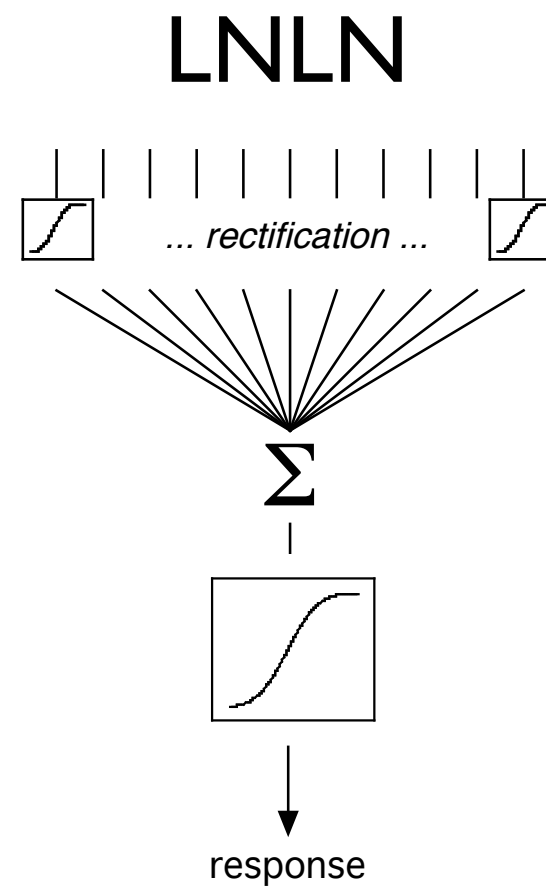
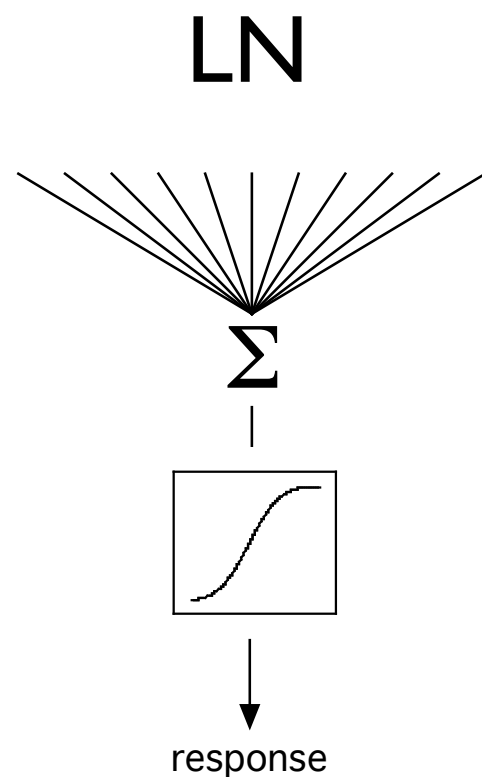
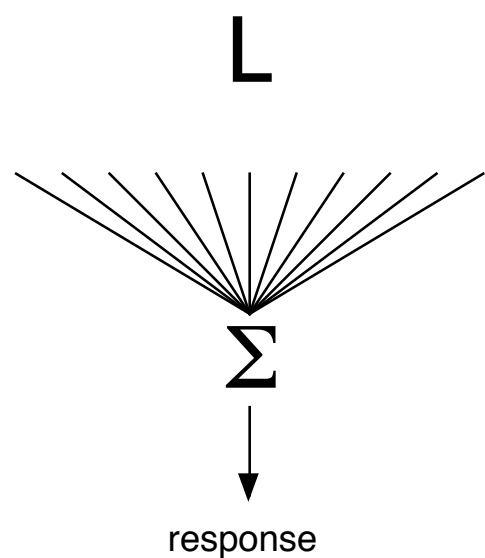
[figure: Hubel '95]



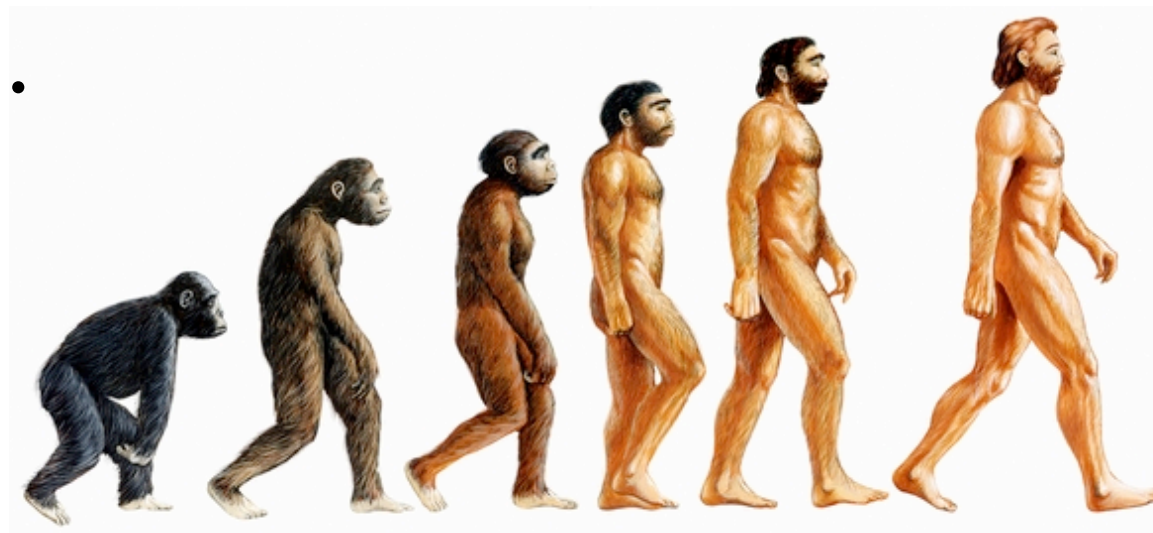


[Hegde & van Essen, 2000
 Ito & Komatsu, 2004
 Anzai et.al., 2007
 etc]

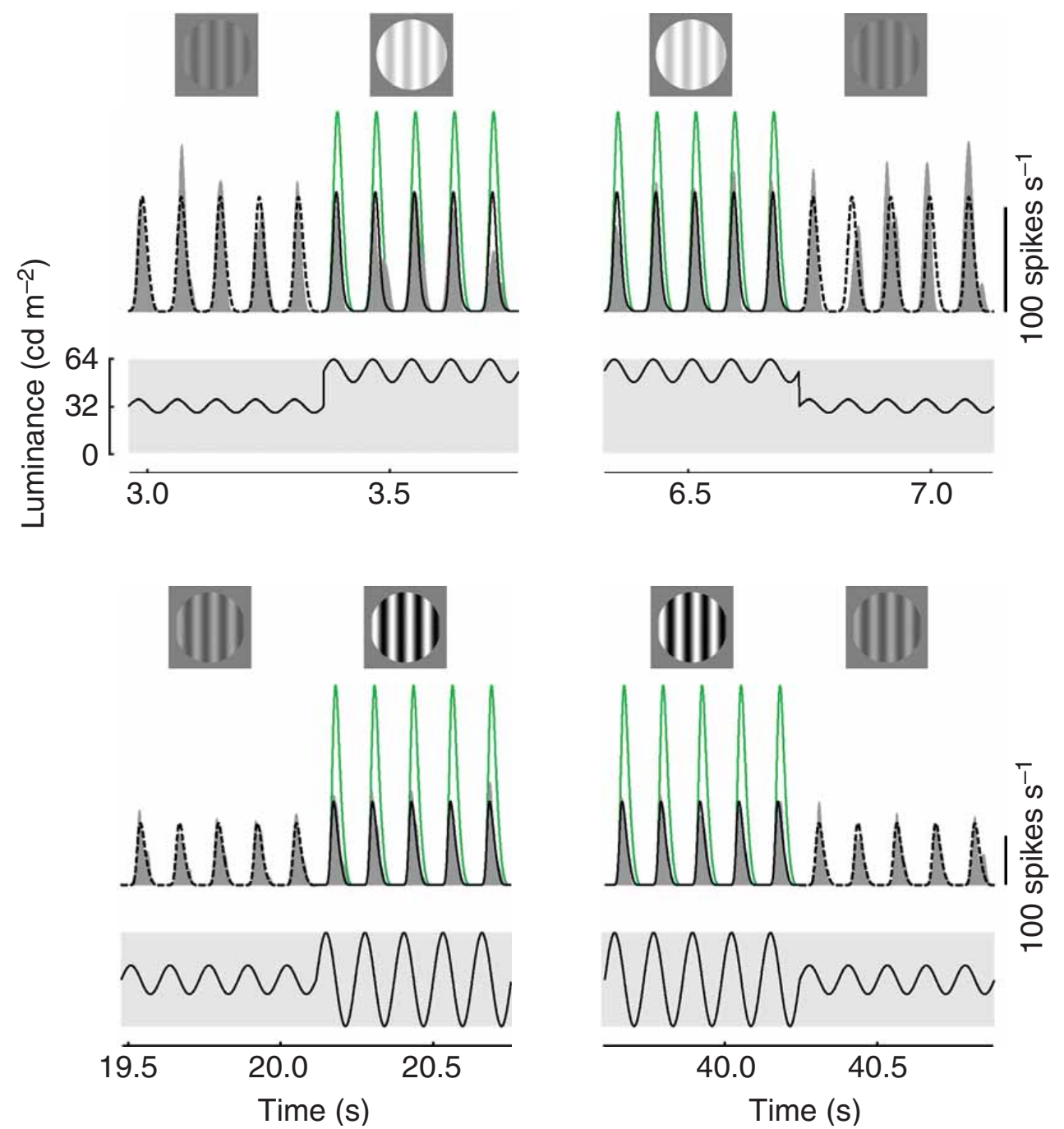
Canonical functional models for sensory neurons



- “Unreasonably effective” [after Wigner, 1960]
- Evolving...

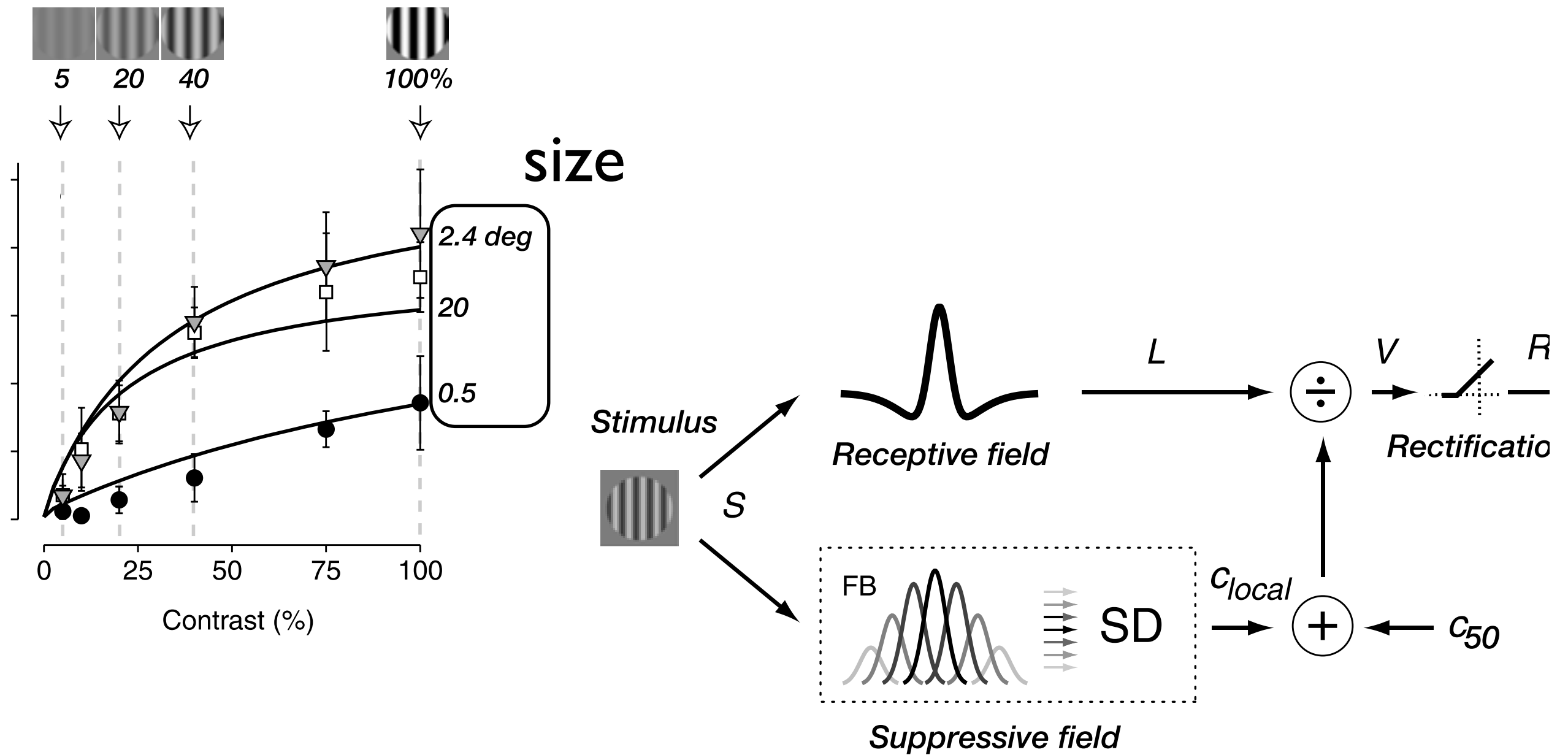


Temporally adaptive gain control



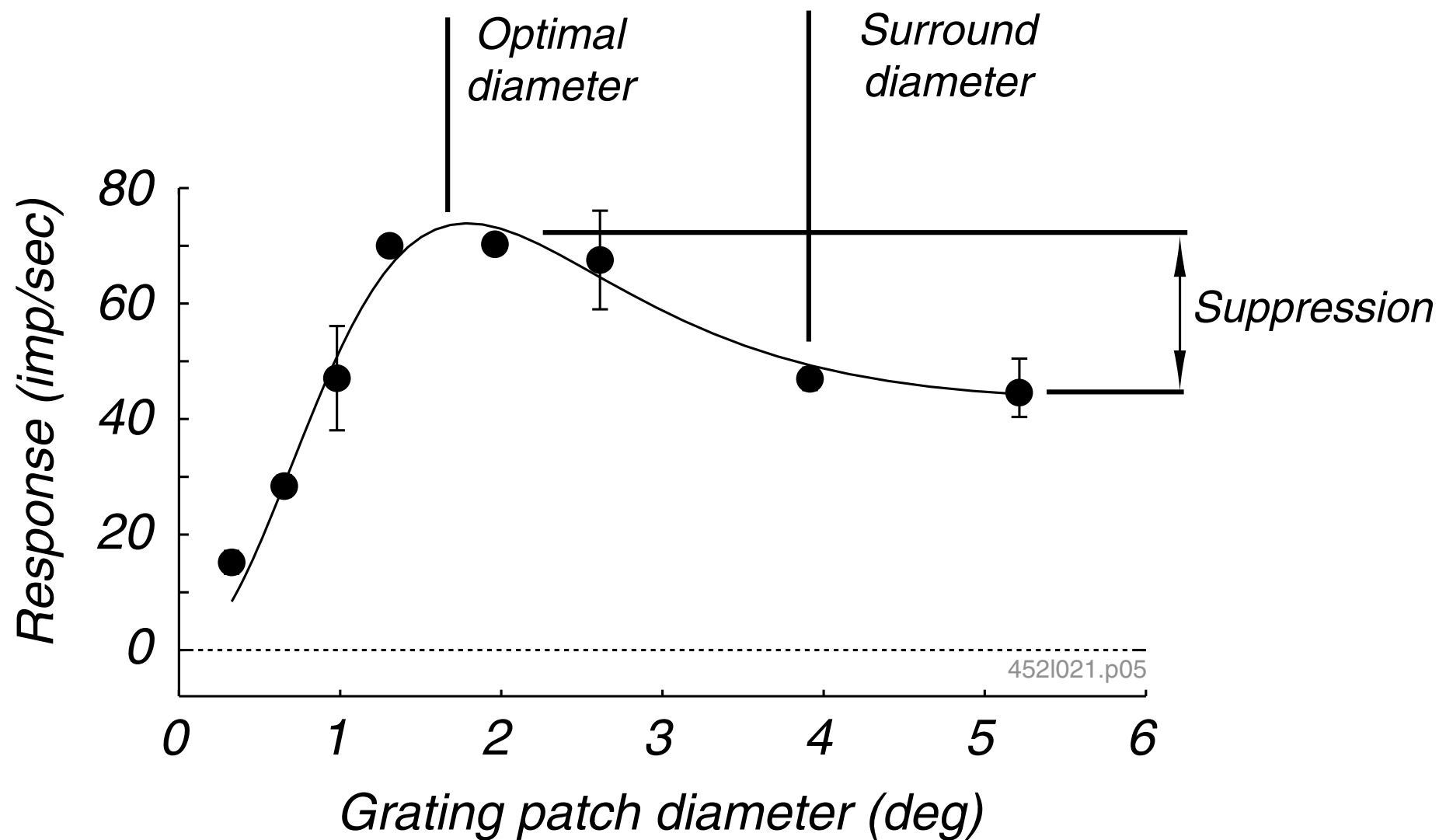
[Mante et. al. 2005]

Local spatial gain control



[Bonin, et al 2005]

V1: Surround suppression

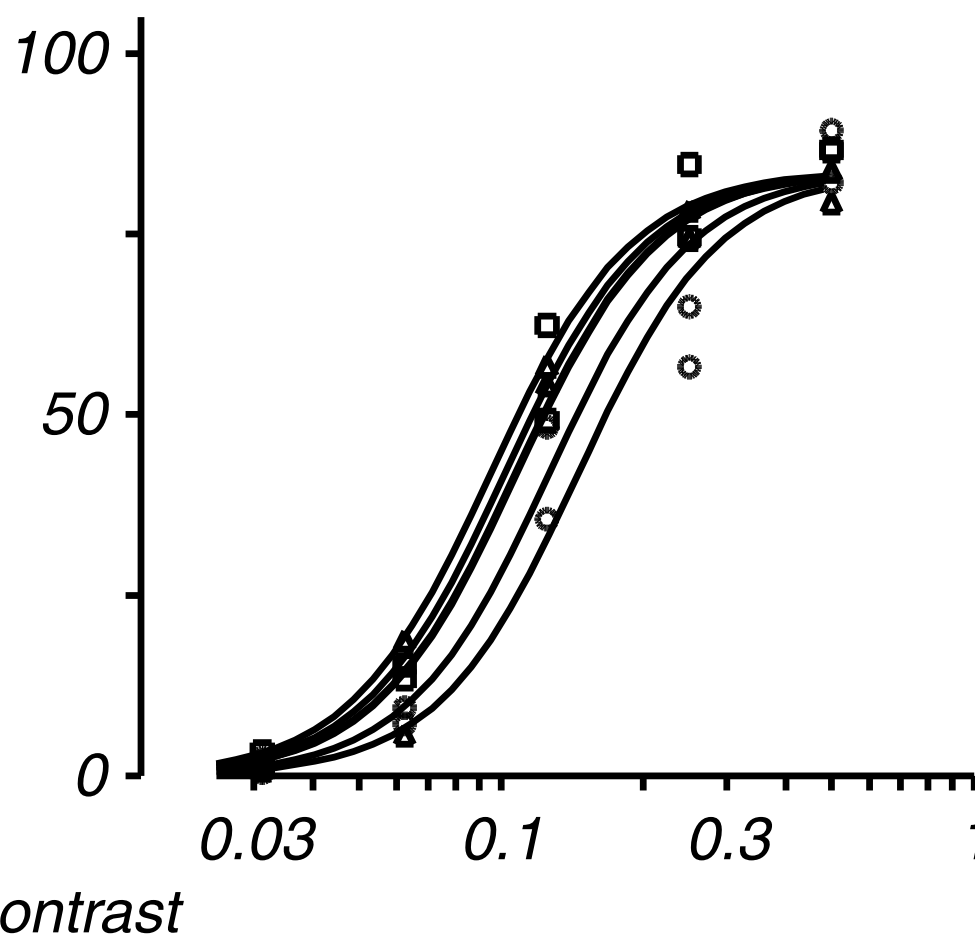
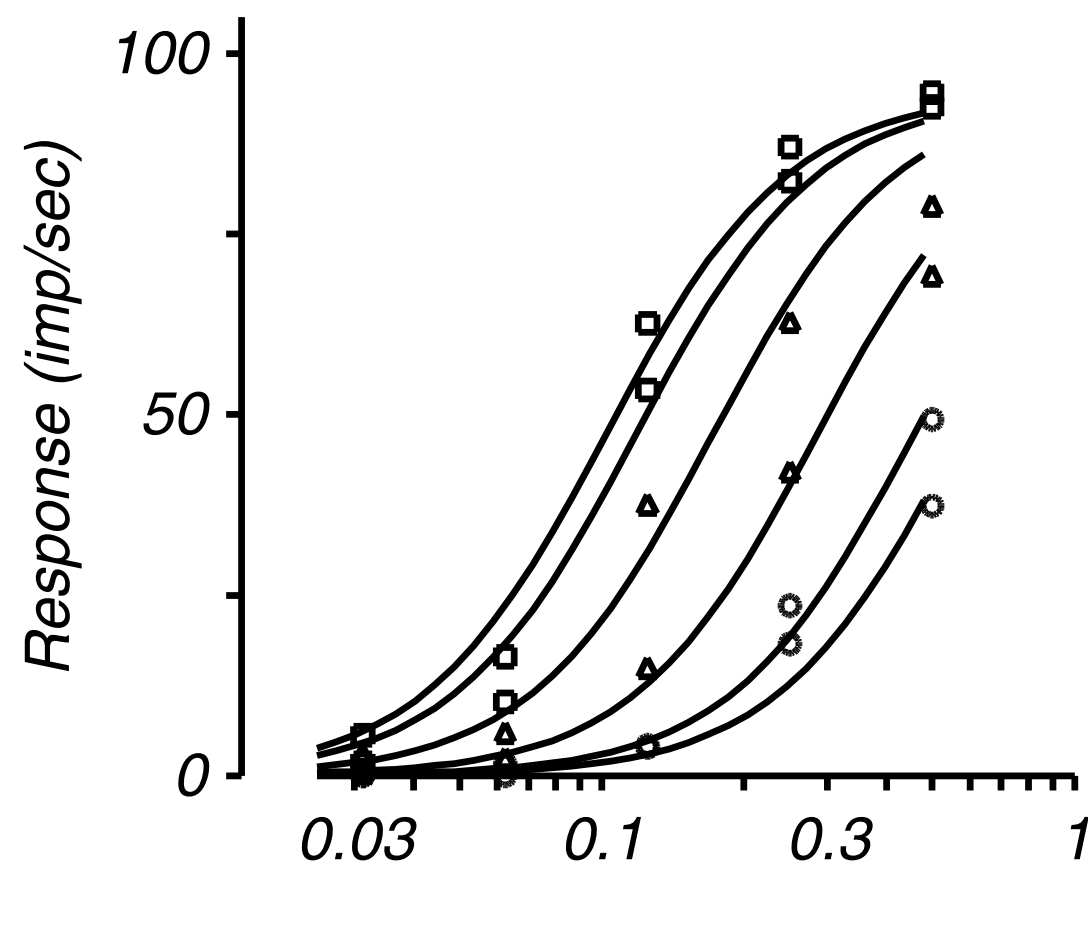
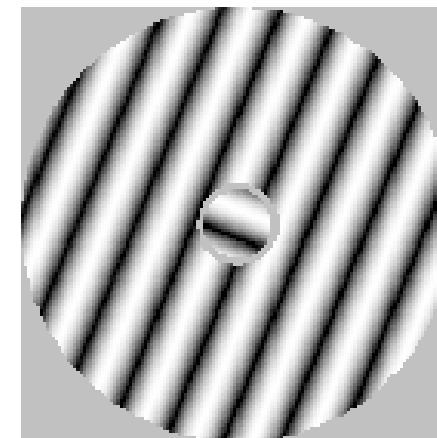


[Cavanaugh et al 02]



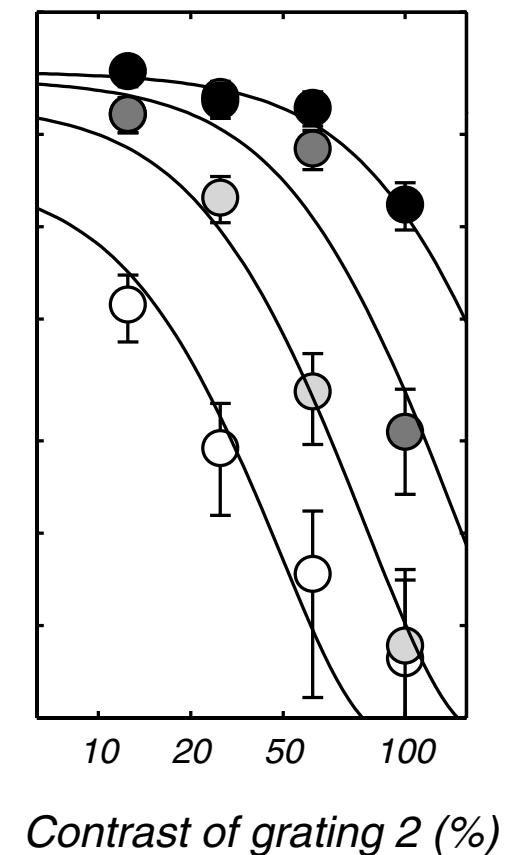
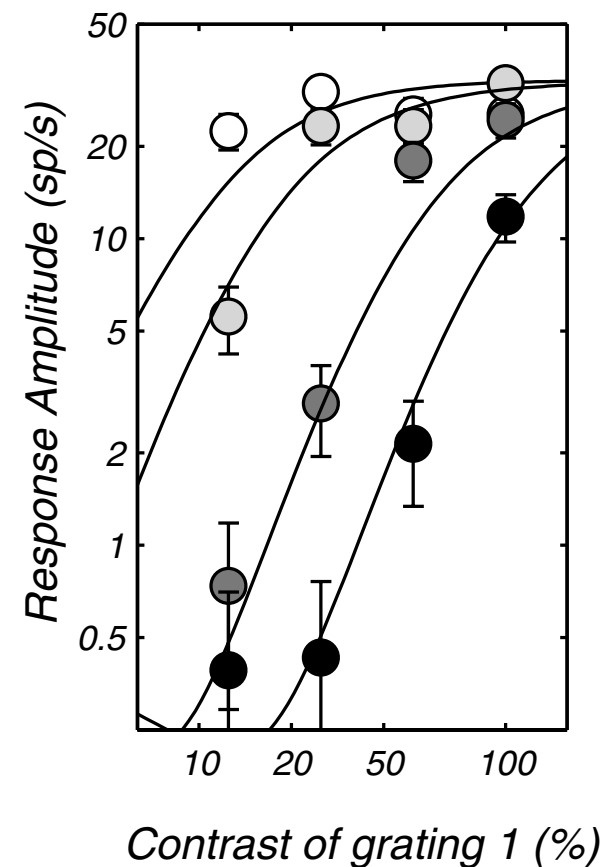
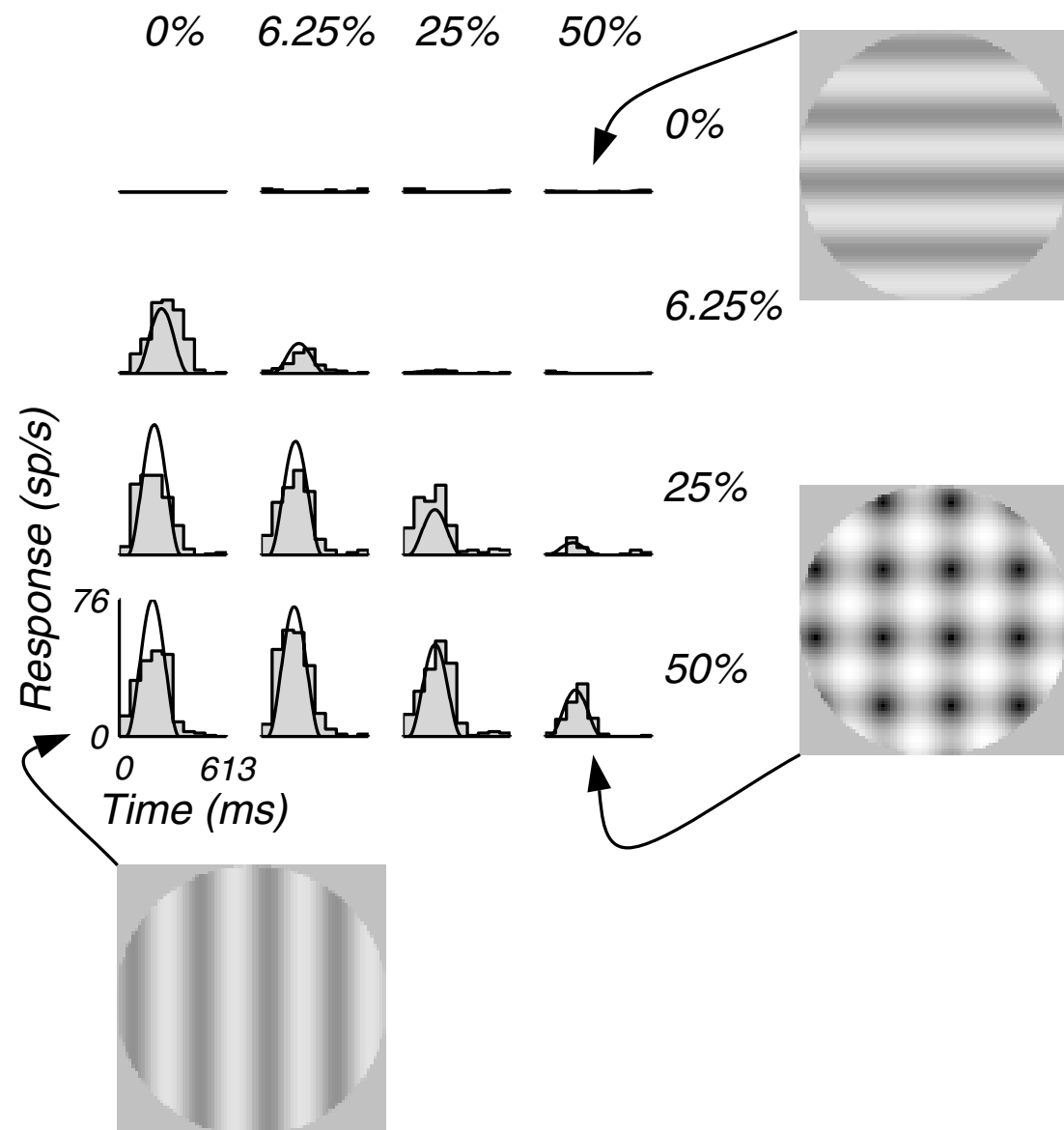
Surround contrast

- 0
- 0.03
- △ 0.06
- △ 0.13
- 0.25
- 0.5



[Cavanaugh et al 02]

V1: Cross-orientation suppression



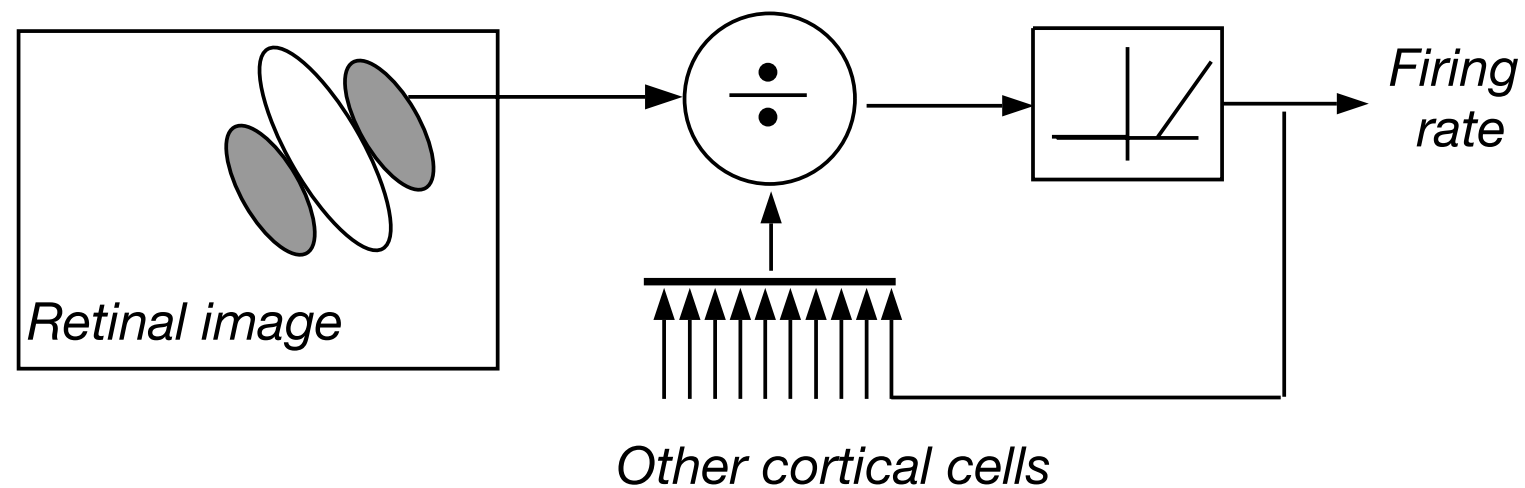
[Carandini et al 1997]

V1 Normalization Model

The linear model of simple cells

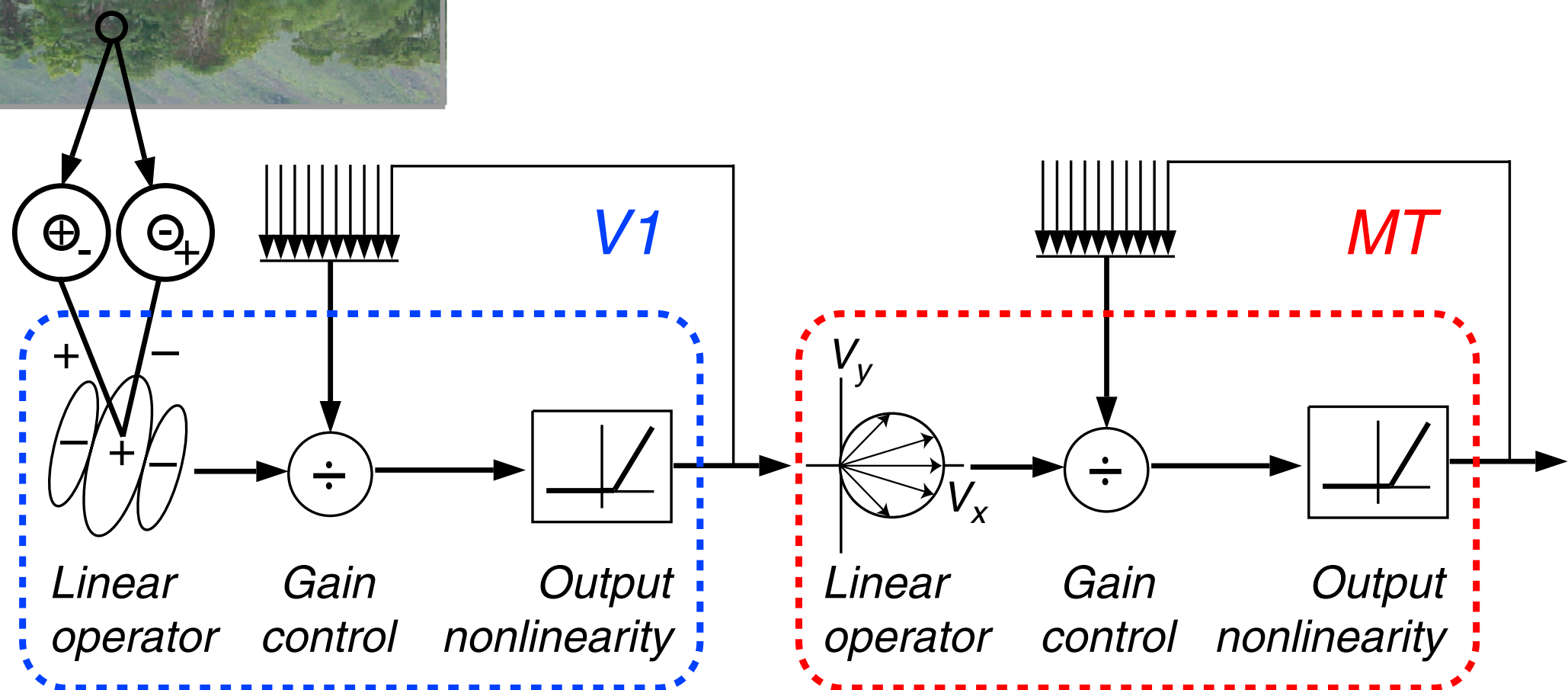


The normalization model of simple cells



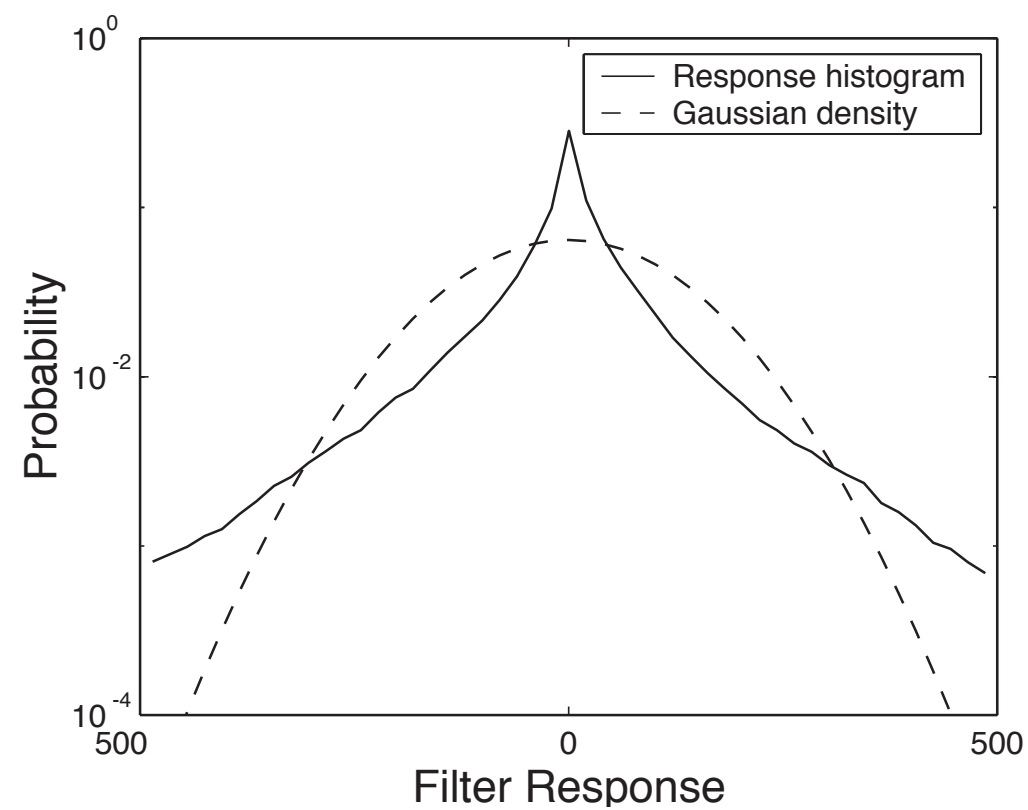
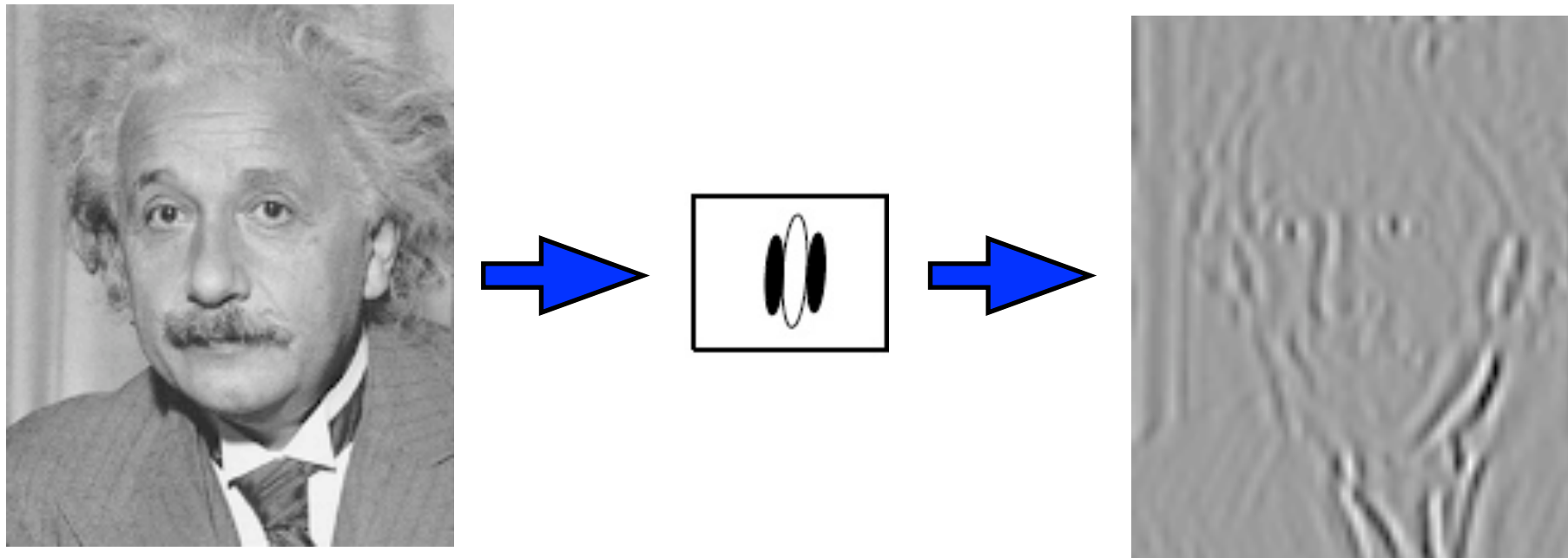
[Carandini, Heeger, and Movshon, 1996;
Carandini & Heeger, 2012]

Example: Area MT



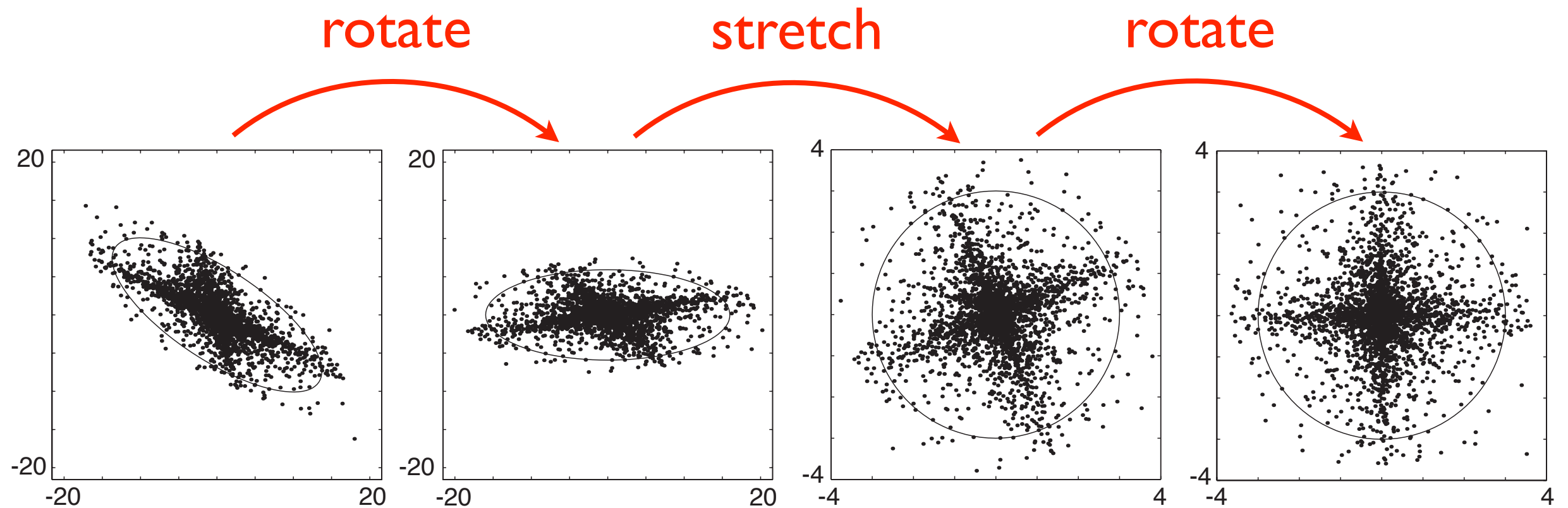
[Simoncelli & Heeger, 1998]

Sparse marginal statistics



[Burt&Adelson 82; Field 87; Mallat 89; etc]

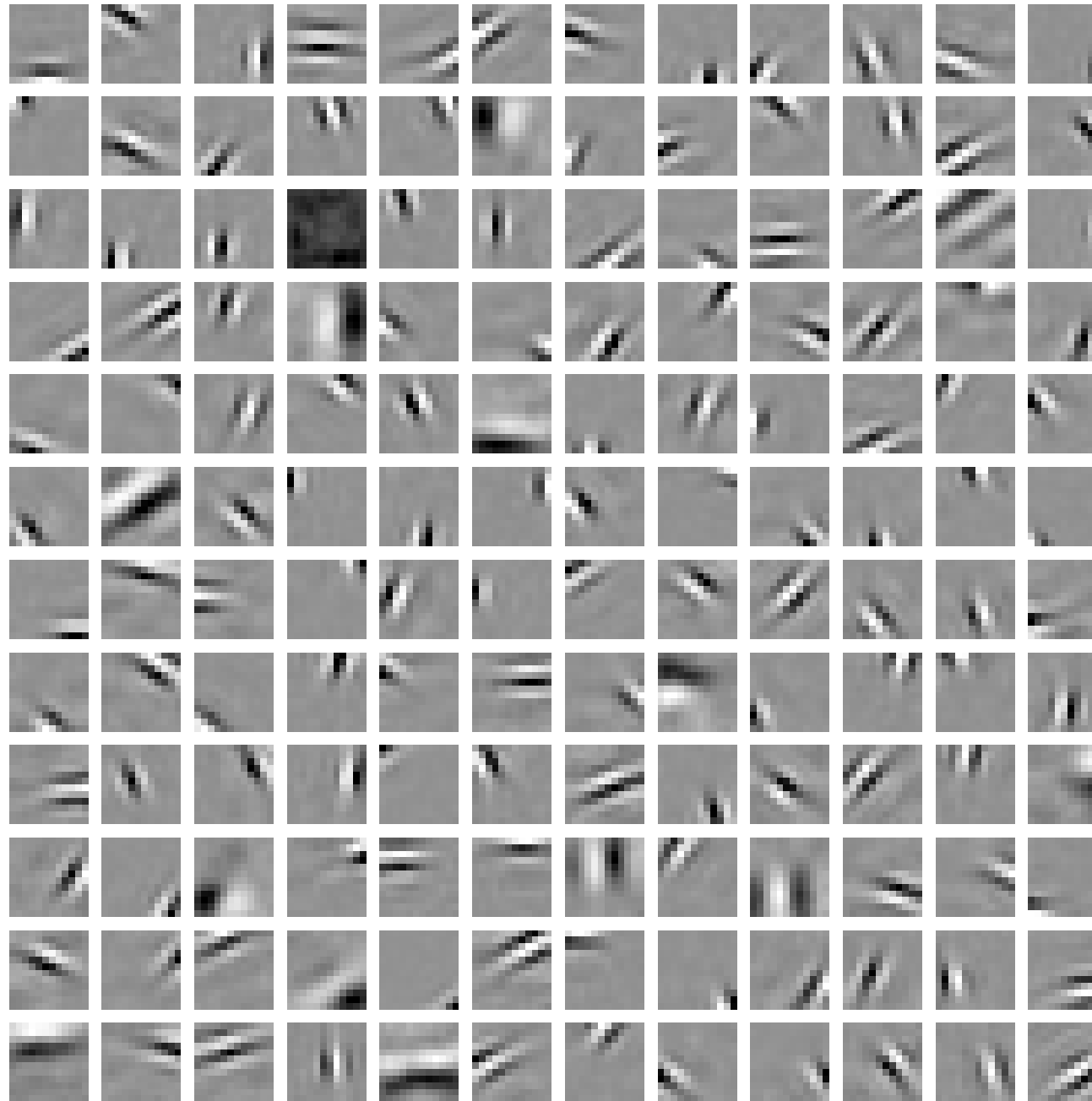
Independent Components Analysis (ICA)



For linearly-transformed-factorial sources:
guaranteed independence
(with some minor caveats)

[Cardoso 89; Jutten & Herault 91; Comon 94; Bell & Sejnowski 96; etc]

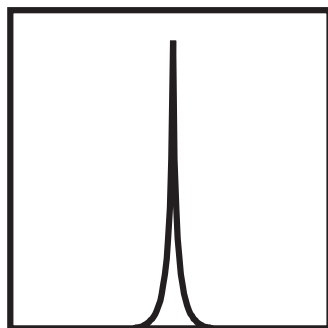
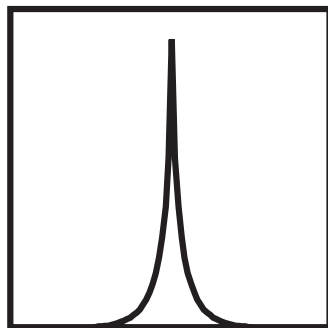
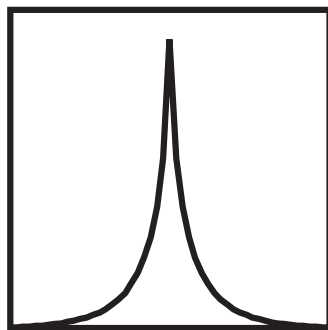
ICA on image blocks



[Bell/Sejnowski '97; see also Olshausen/Field '96]

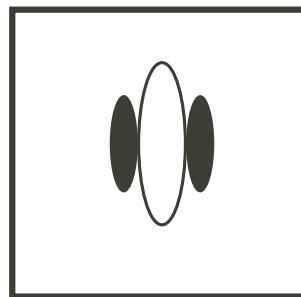
Linearly-transformed factorial model

Coefficient
density:



X

Basis set:



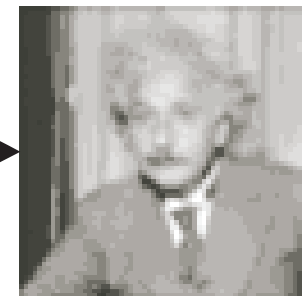
X



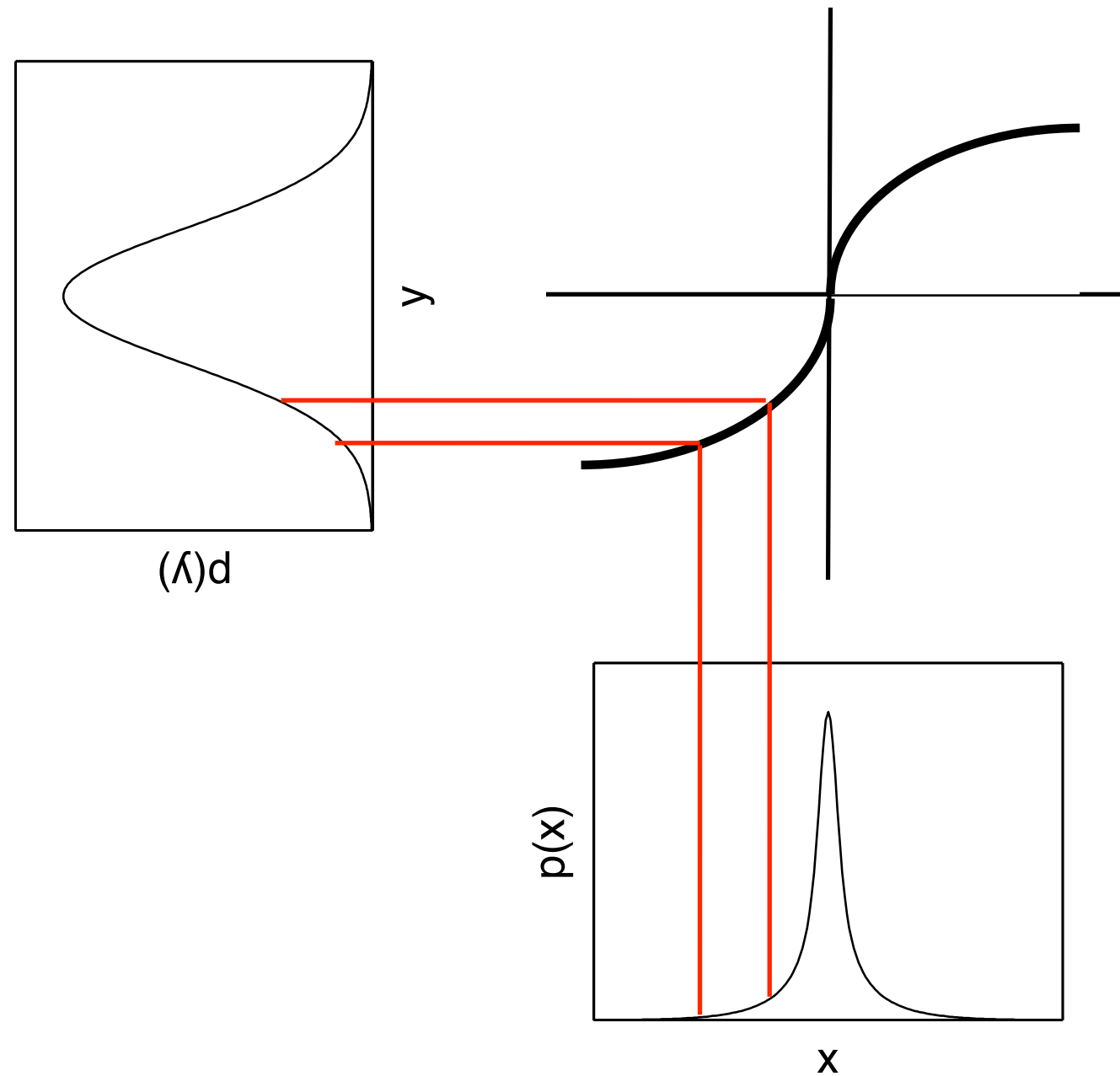
X



Image:

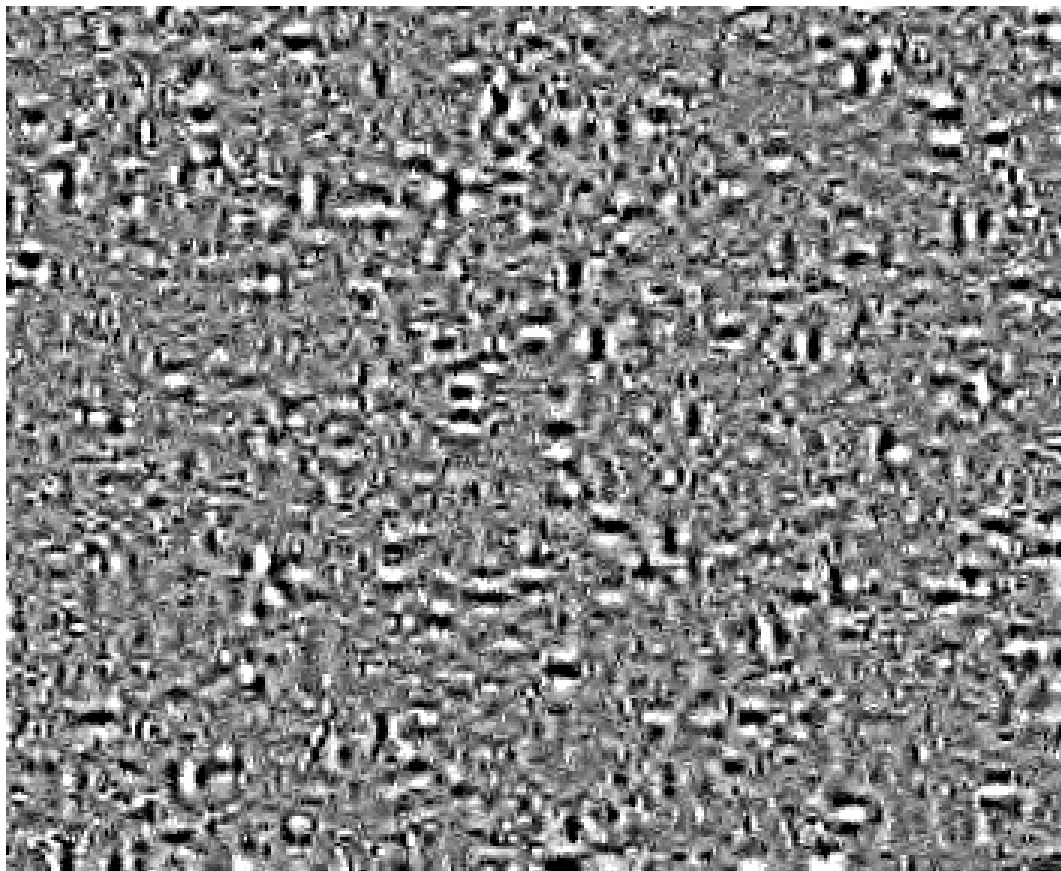


Marginal Gaussianization



[Chen & Gopinath 01]

Indications that the model is weak...

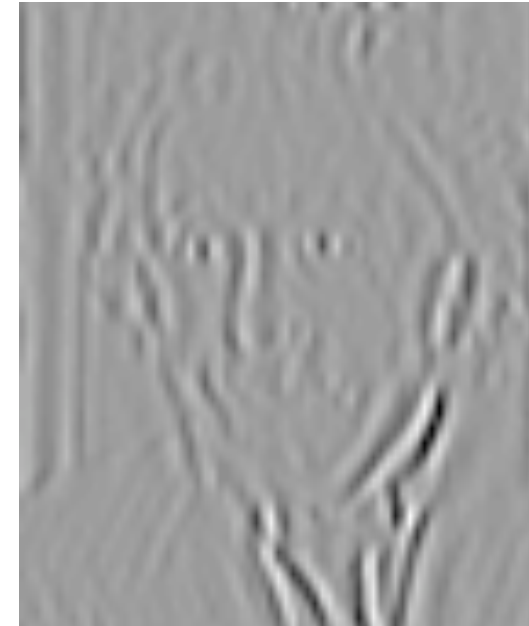


Sample from model

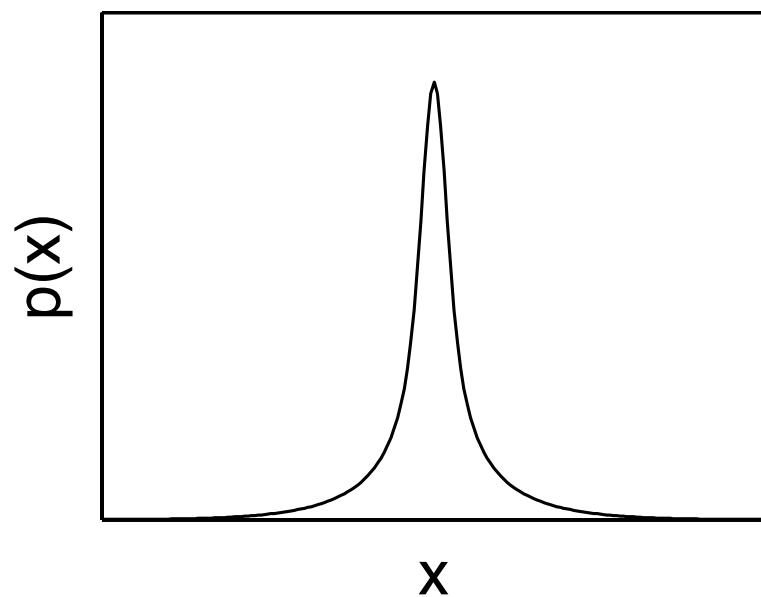


Image, ICA-transformed
and marginally Gaussianized

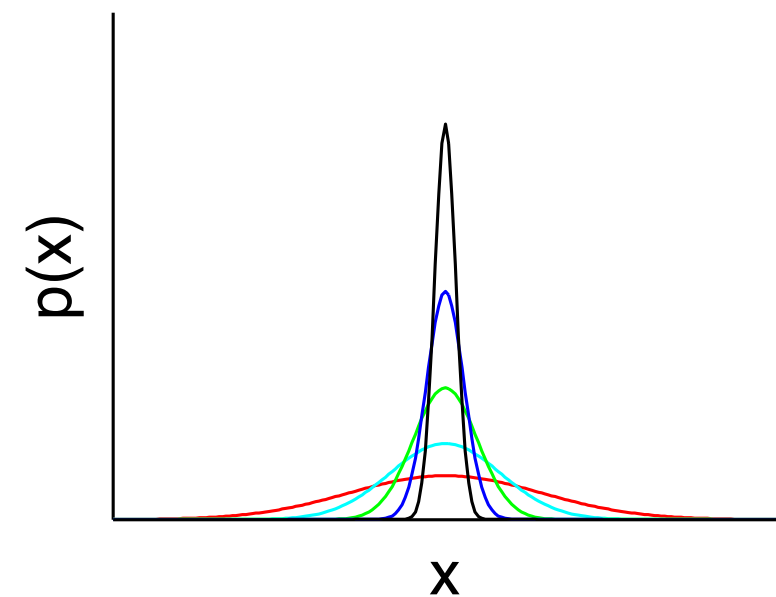
Subbands are *heteroskedastic*
(they have variable variance):



We can model this behavior using a
Gaussian scale mixture (GSM):
[Wainwright & Simoncelli 2000]



=

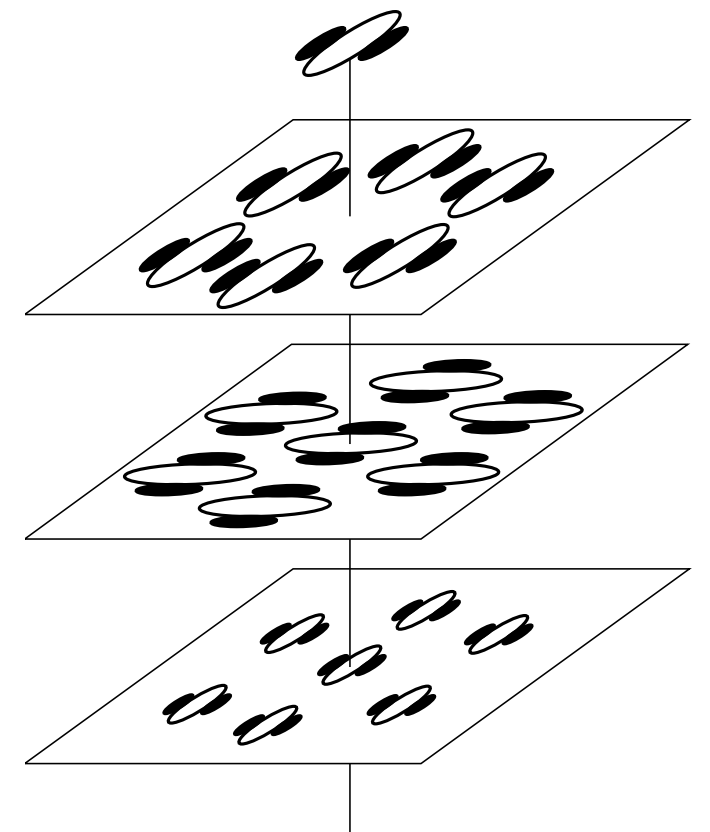


GSM

Model generalized coefficient neighborhood as a Gaussian scale mixture (GSM) [Andrews&Mallovs '74]:

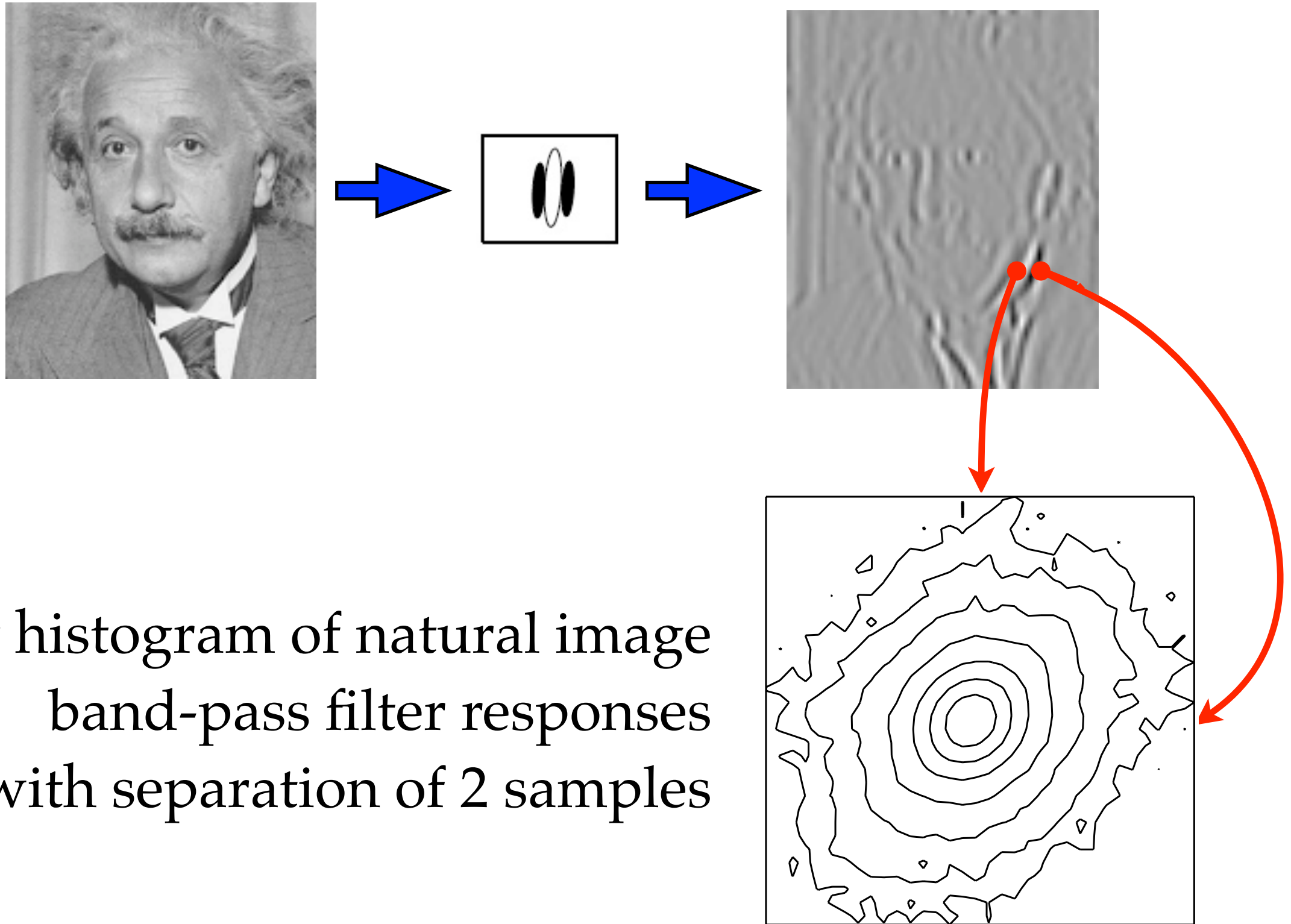
$$\vec{x} = \sqrt{z}\vec{u}$$

- \vec{u} is Gaussian, $z > 0$
- z and \vec{u} are independent
- \vec{x} is elliptically symmetric, with covariance zC_u
- marginals of \vec{x} are leptokurtotic



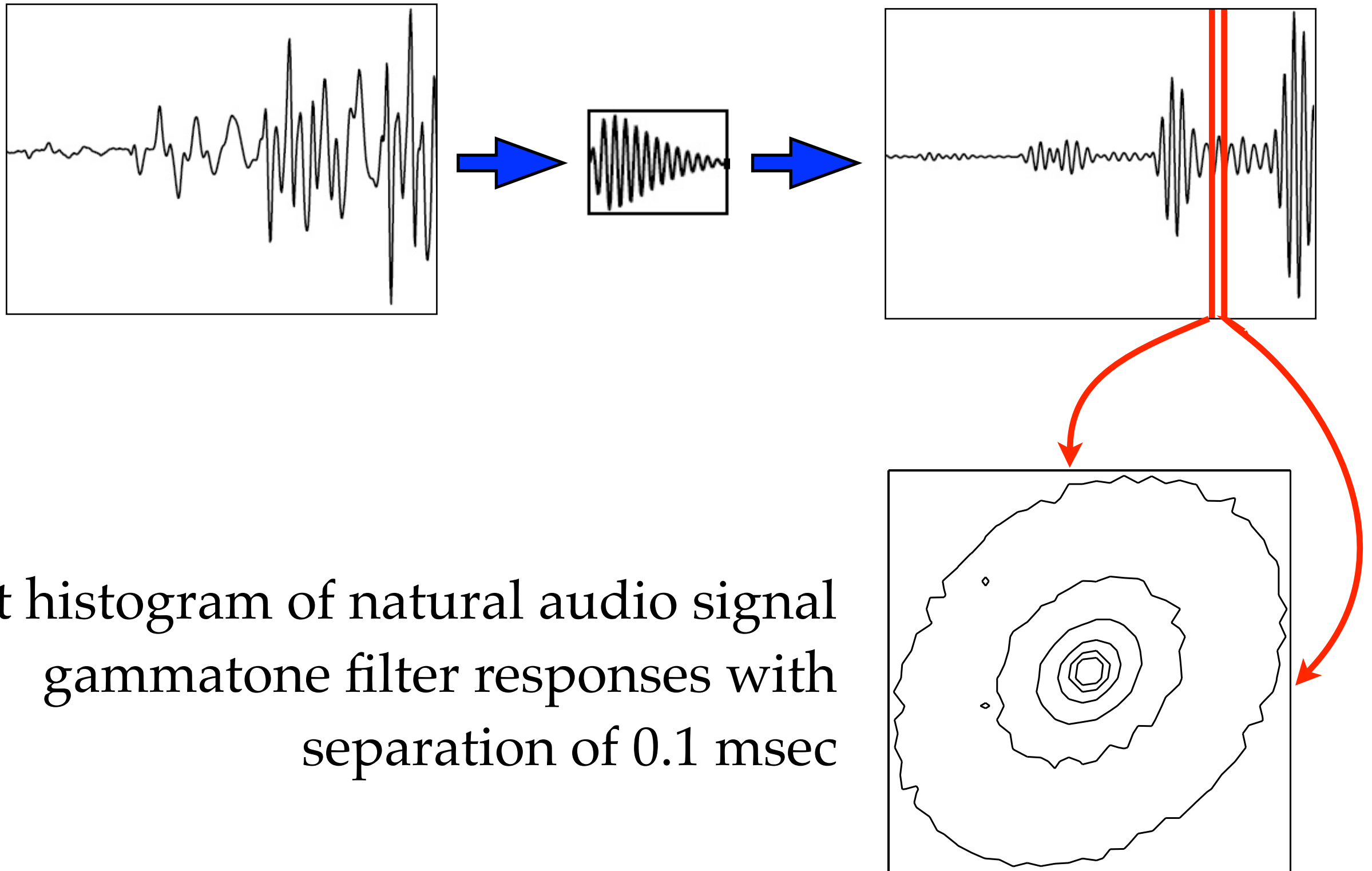
[Wainwright&Simoncelli, '99]

Joint statistics

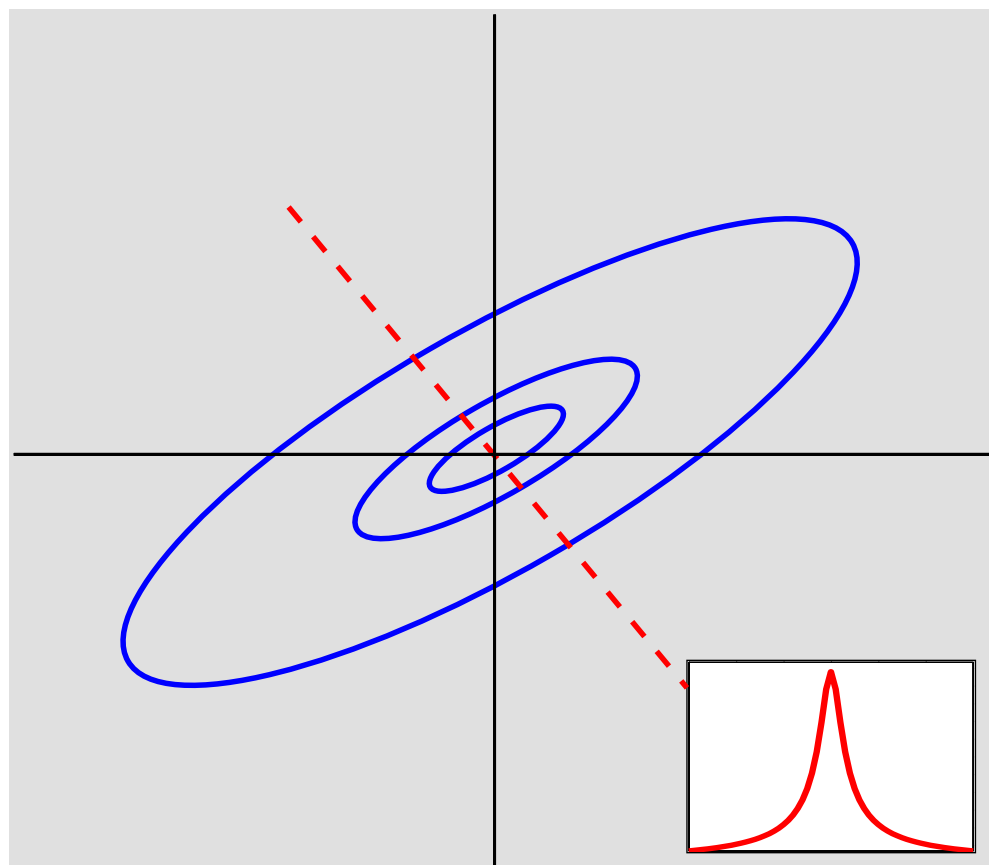


joint histogram of natural image
band-pass filter responses
with separation of 2 samples

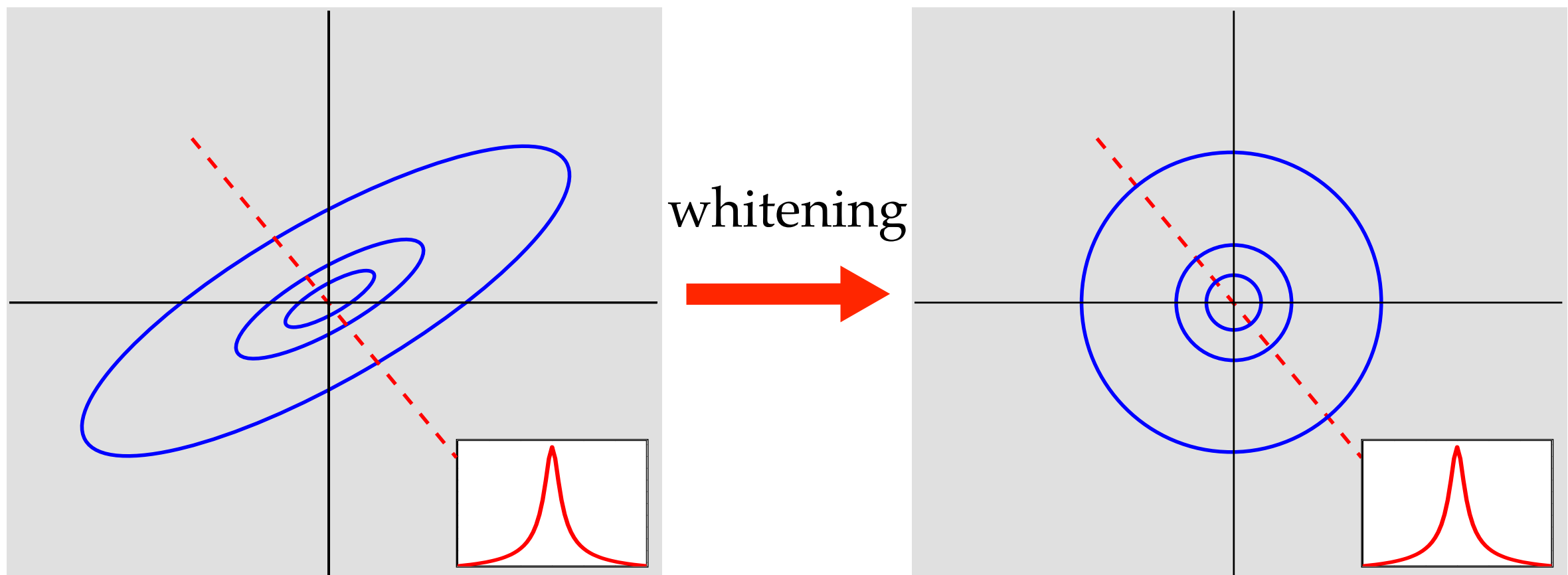
Joint statistics - sound



non-Gaussian elliptical models of natural images:

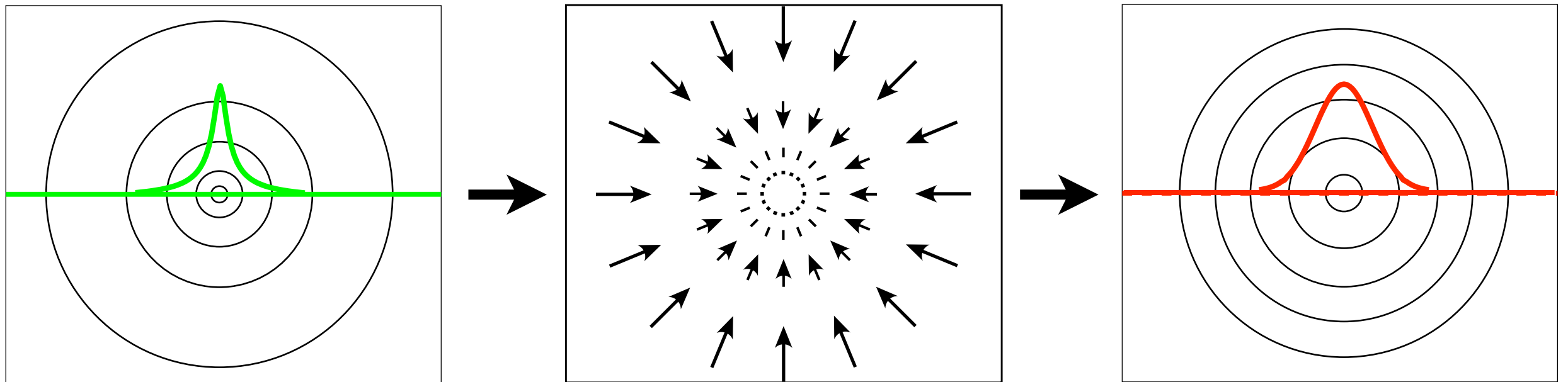


- Simoncelli, 1997;
- Zetsche & Krieger, 1999;
- Huang & Mumford, 1999;
- Wainwright & Simoncelli, 2000;
- Hyvärinen and Hoyer, 2000;
- Parra et al., 2001;
- Srivastava et al., 2002;
- Sendur & Selesnick, 2002;
- Teh et al., 2003;
- Gehler and Welling, 2006
- Lyu & Simoncelli, 2008
- etc.



- Density is elliptical, but *not* Gaussian
- Whitening makes spherical, but not independent!

radial Gaussianization (RG)

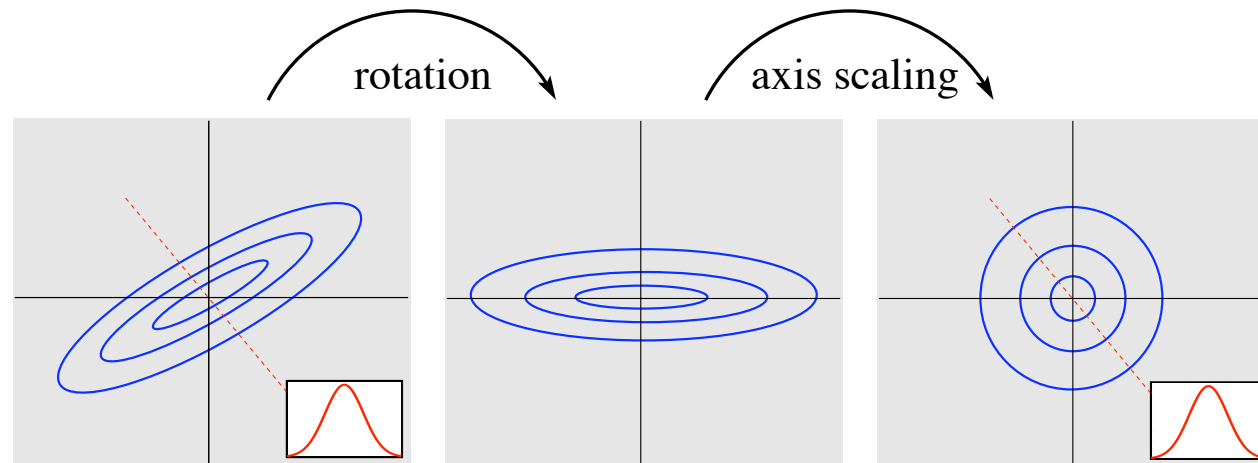


Gaussianize the *radial component* of the density

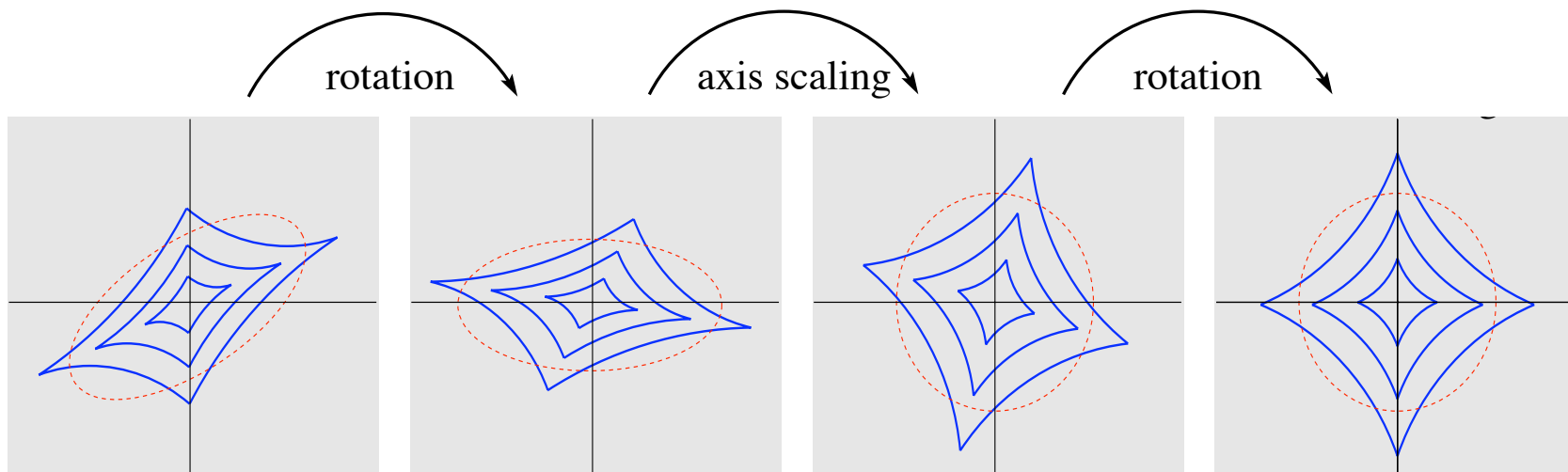
Approximate version: estimate local L2 norm,
and divide (i.e., local gain control)

[Lyu & Simoncelli, 2008]

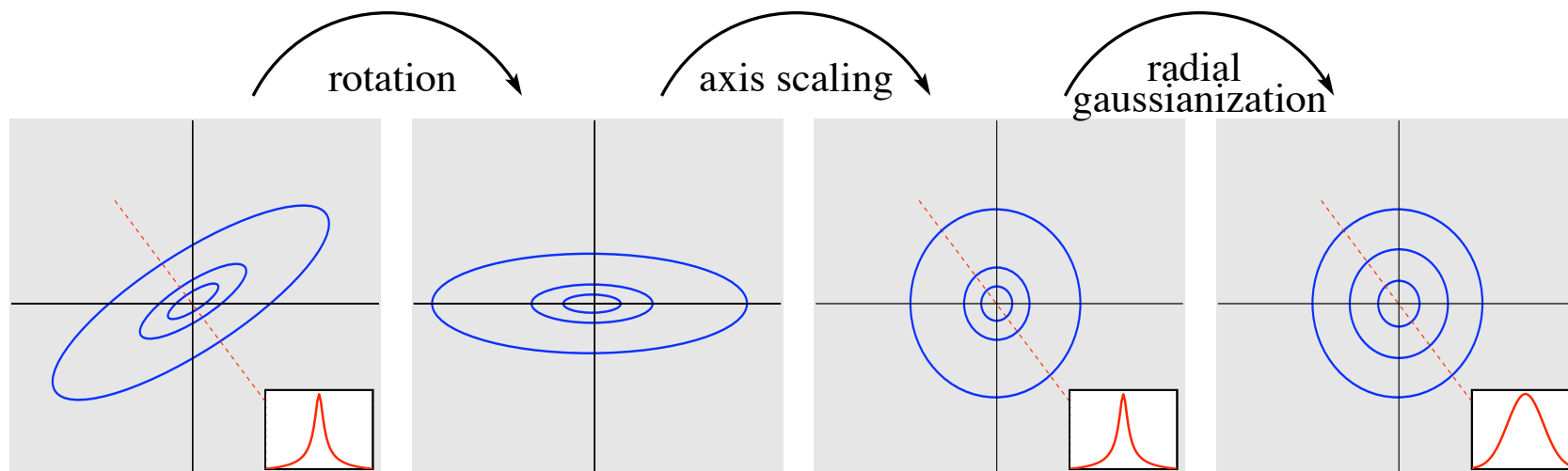
PCA



ICA



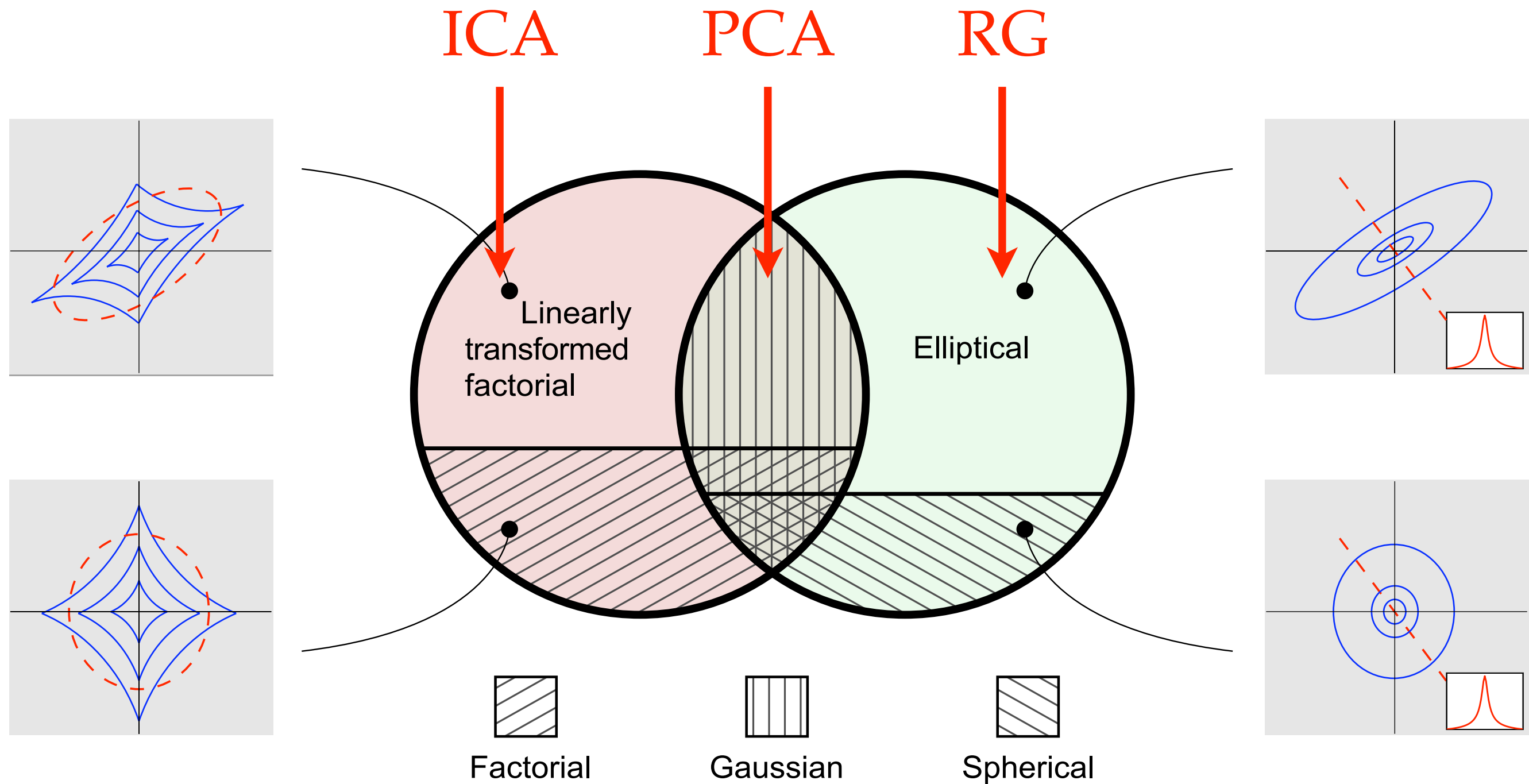
RG



... all paths lead to a spherical/factorial Gaussian

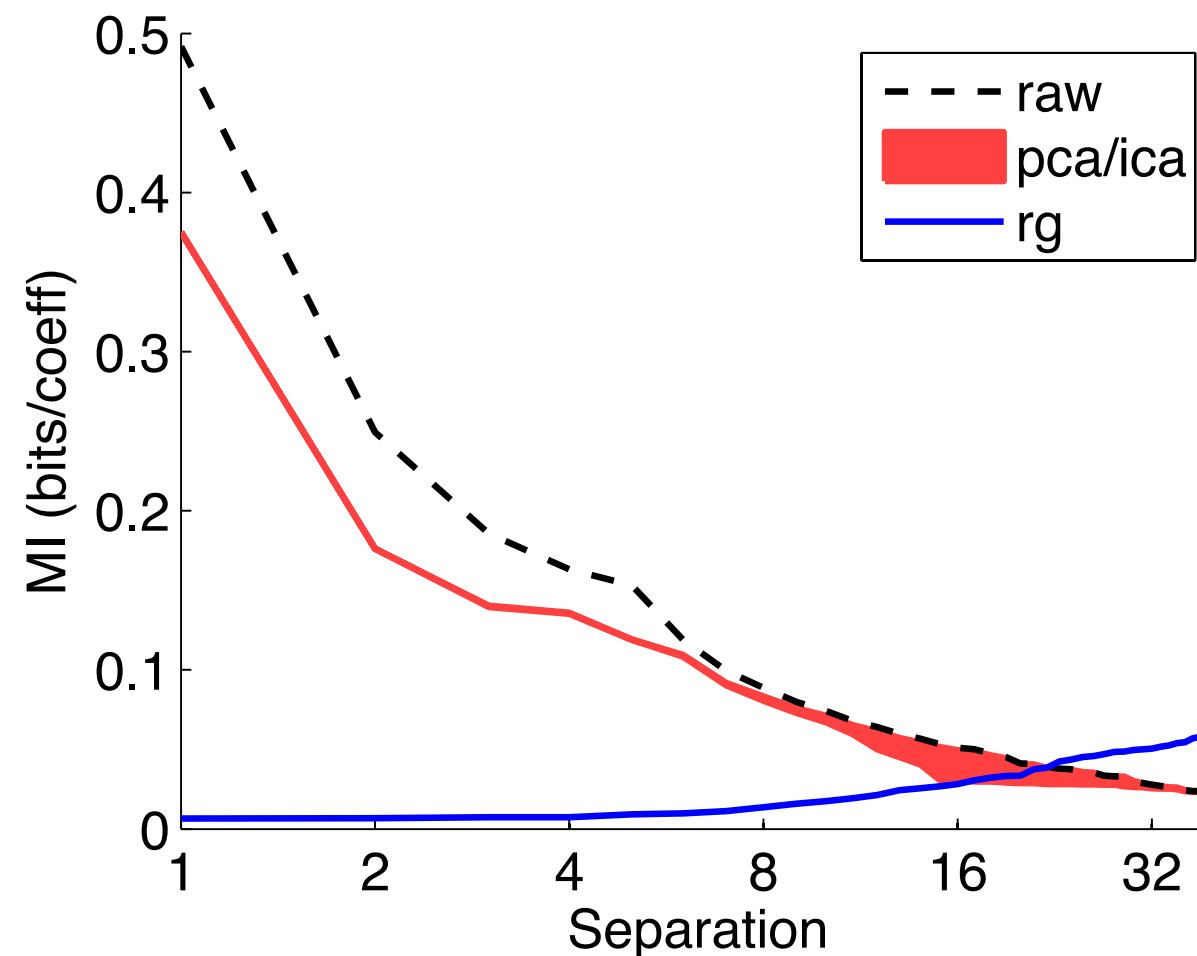
[Lyu & Simoncelli, 2008]

Densities and their factorizations



[Lyu & Simoncelli, 2008]

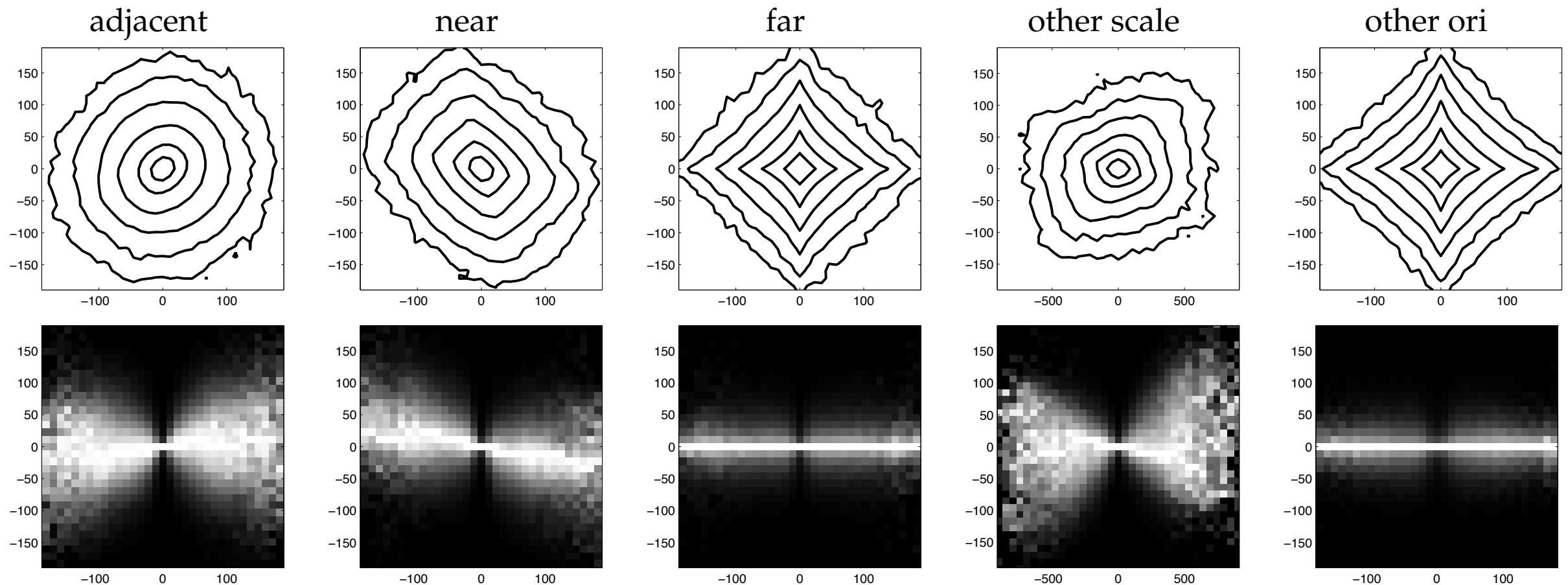
RG vs. ICA on coefficient pairs



RG eliminates most dependency for *nearby* coeffs
ICA offers minimal advantage over PCA
Similar behaviors for coefficient blocks

[Lyu & Simoncelli, 2008]

Joint densities



- Nearby: densities are approximately circular/elliptical
- Distant: densities are approximately factorial

[Simoncelli, '97; Wainwright&Simoncelli, '99]

How do we build a global model that captures the full range of observed statistical behaviors?

- 1) Random Field of Gaussian Scale Mixtures

[Lyu & Simoncelli, 2008]

- 2) Build an implicit model, using local gain control. I'll show three recent examples...

Example 1: Density estimation

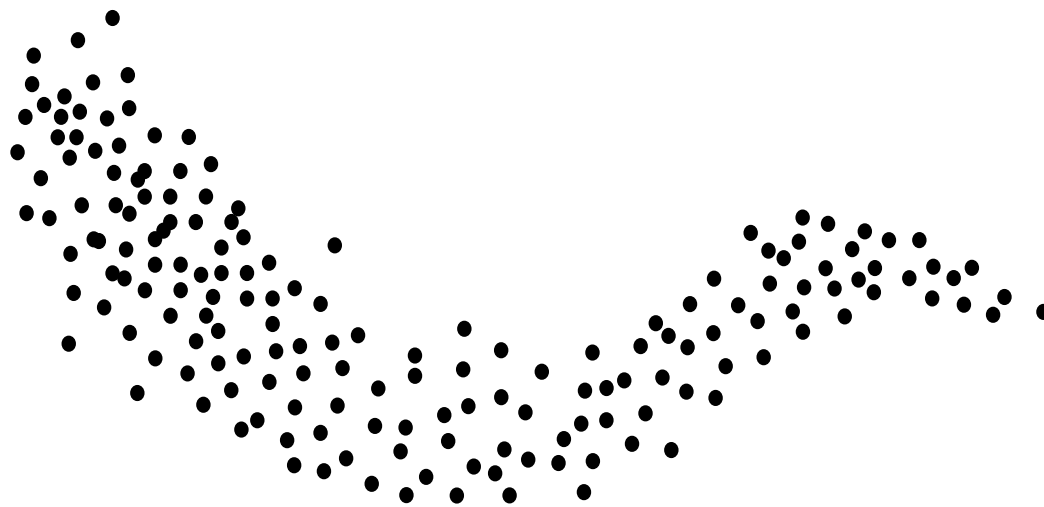
[Ballé, Laparra, Simoncelli, ICLR-16]

Density estimation (parametric density)

$$p_x(\mathbf{x}) = \frac{1}{Z(\boldsymbol{\theta})} \exp(-f(\mathbf{x}; \boldsymbol{\theta}))$$

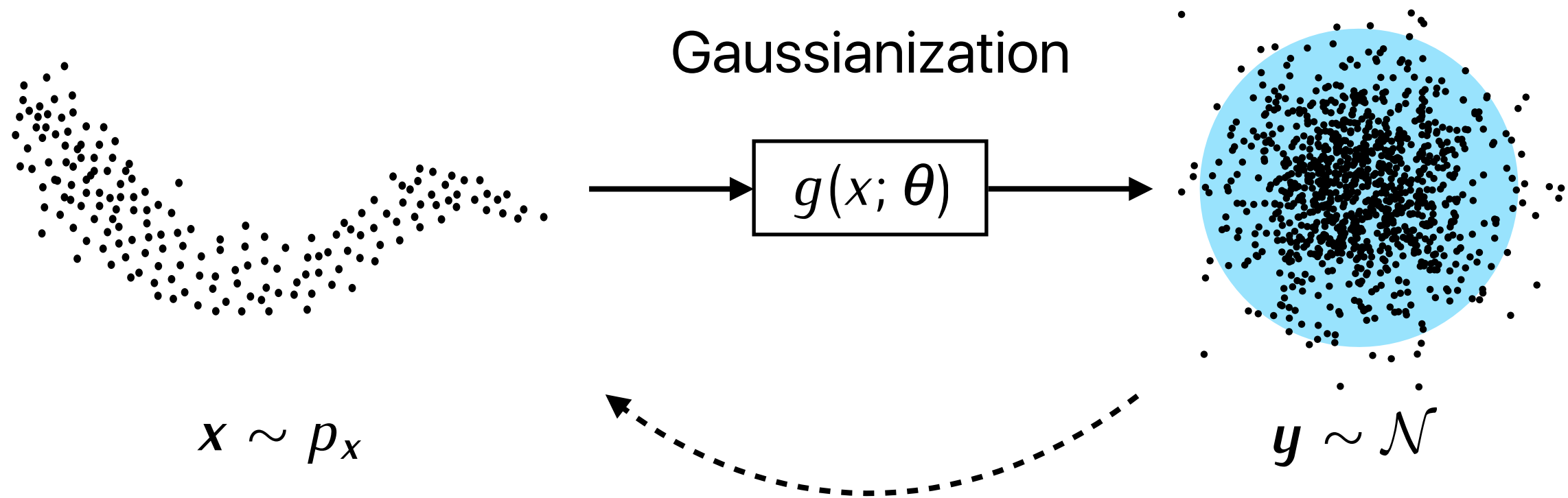
$$Z(\boldsymbol{\theta}) = \int \exp(-f(\mathbf{x}; \boldsymbol{\theta})) \, d\mathbf{x}$$

tractable?



[Balle, Laparra, Simoncelli, ICLR-16]

Density estimation (parametric transformation)



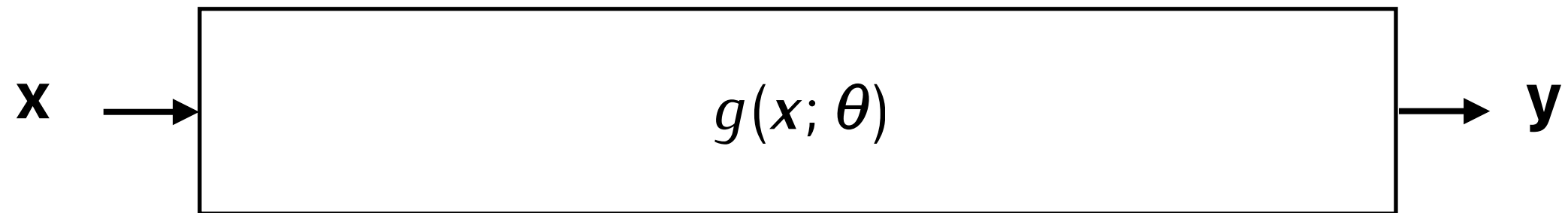
"inferred" density:

$$p_x(x) = \left| \frac{\partial g(x; \theta)}{\partial x} \right| \mathcal{N}(g(x; \theta))$$

Friedman, 1984
Chen & Gopinath, 2001
Lyu & Simoncelli, 2009
Laparra et al., 2010
Dinh et al., 2015

[Balle, Laparra, Simoncelli, ICLR-16]

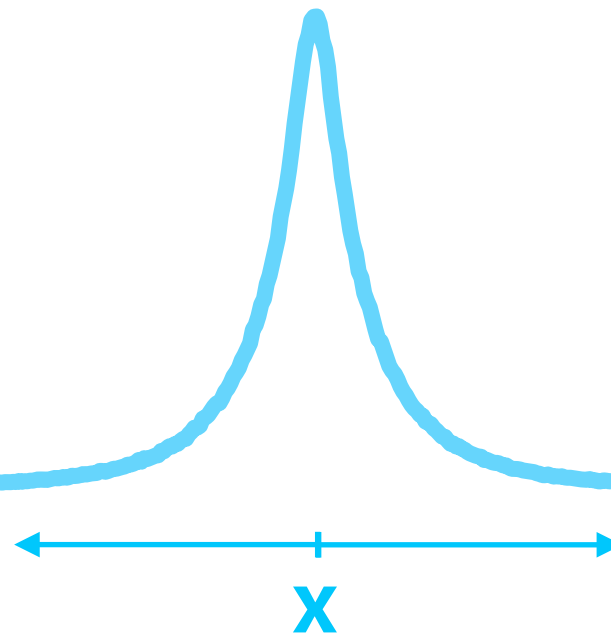
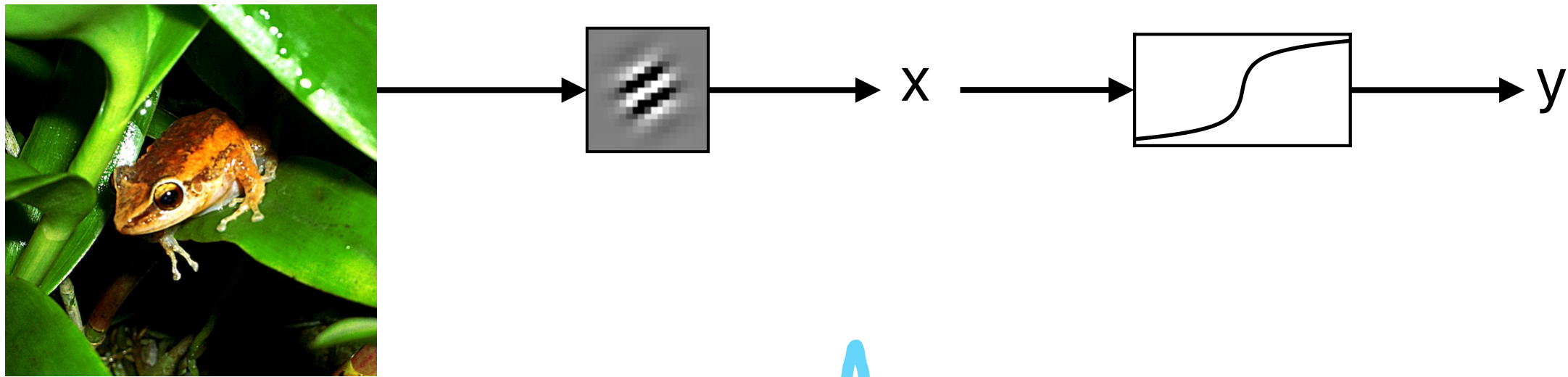
Parameter estimation



$$-\log p_{\mathbf{x}}(\mathbf{x}) = \left| \frac{\partial g(\mathbf{x}; \theta)}{\partial \mathbf{x}} \right| \frac{1}{2} \left\| g(\mathbf{x}; \theta) - \mathbf{y} \right\|_2^2 + C$$

minimize wrt. θ using stochastic gradient descent

Marginal distribution of linear filter responses

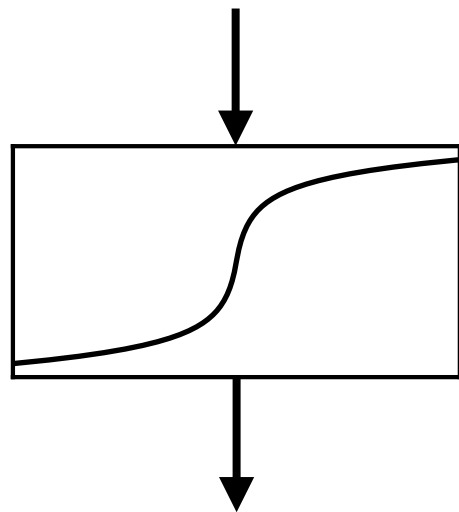


Burt & Adelson, 1981
Field, 1987
Mallat, 1989

[Balle, Laparra, Simoncelli, ICLR-16]

x

$p_x(x)$



$$y = \frac{x}{(\beta + \gamma|x|)^\epsilon}$$

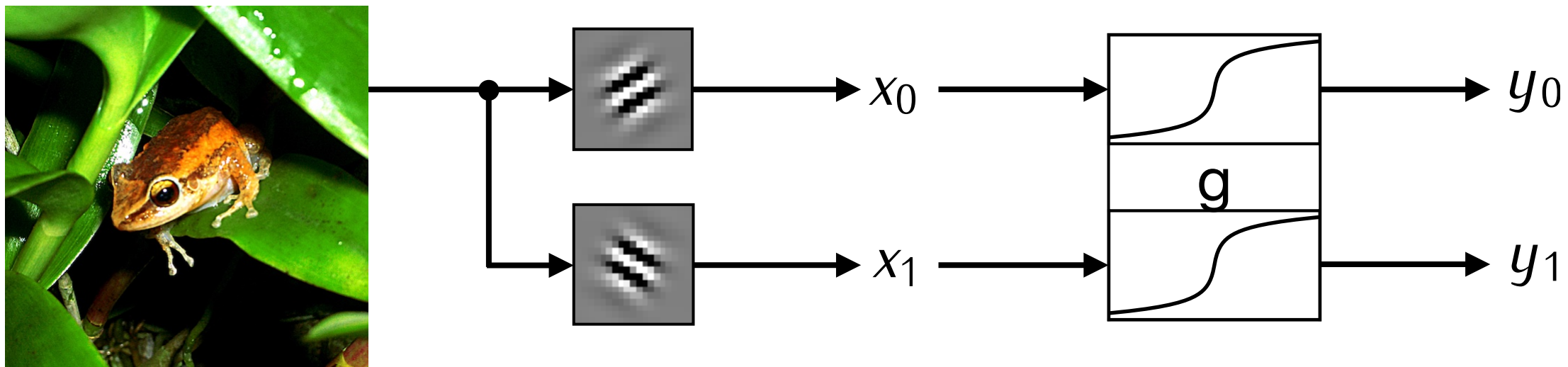


$$p_x(x) = \frac{\partial y}{\partial x} \mathcal{N}(y)$$

y

\mathcal{N}

[Balle, Laparra, Simoncelli, ICLR-16]



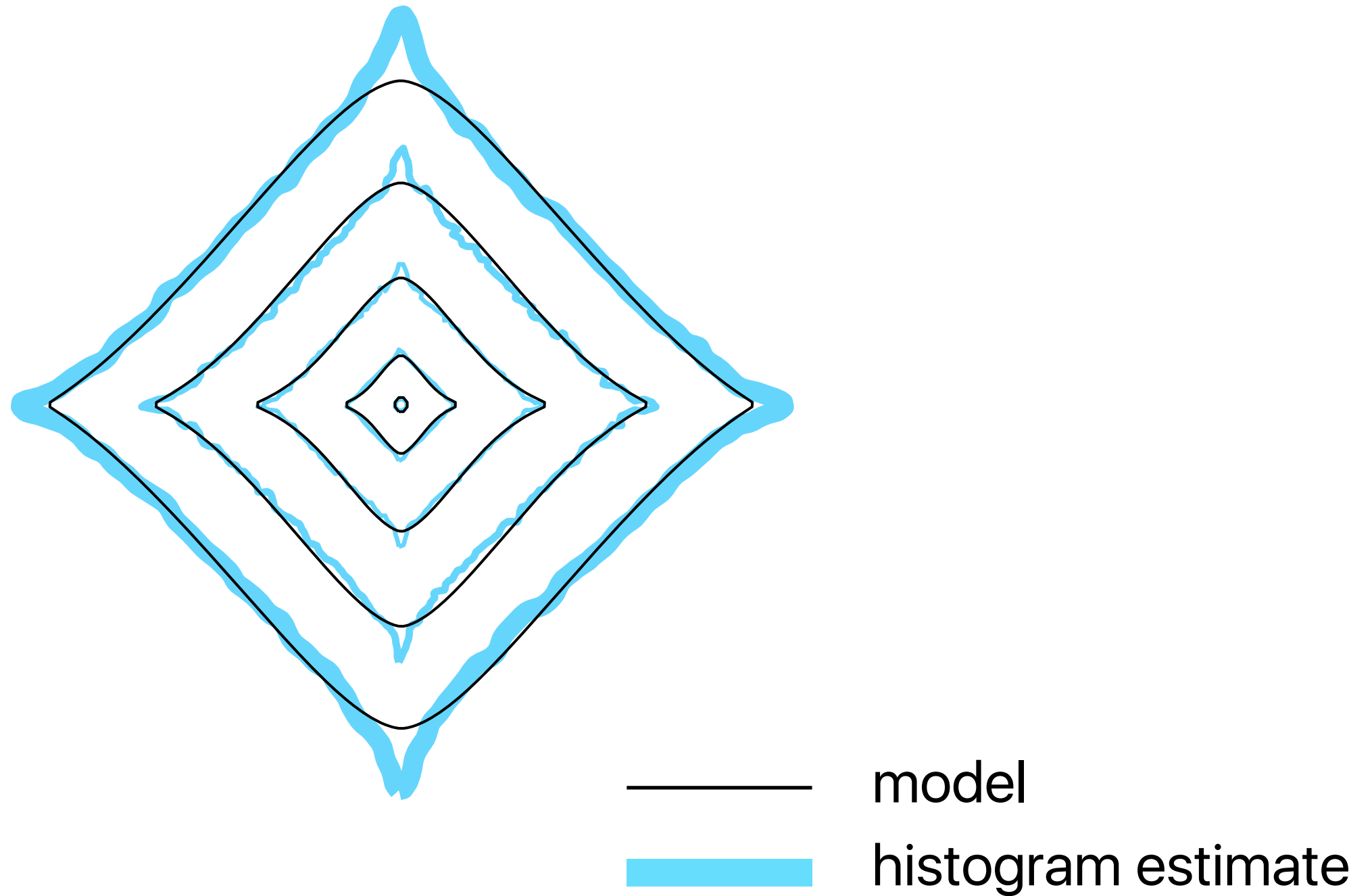
$$y_0 = \frac{x_0}{(\beta_0 + \gamma_0 |x_0|^{\alpha_0} + \gamma_{00} |x_0|^{\alpha_{00}})^{\epsilon_0}}$$

$$y_1 = \frac{x_1}{(\beta_1 + \gamma_1 |x_1|^{\alpha_1} + \gamma_{11} |x_1|^{\alpha_{11}})^{\epsilon_1}}$$

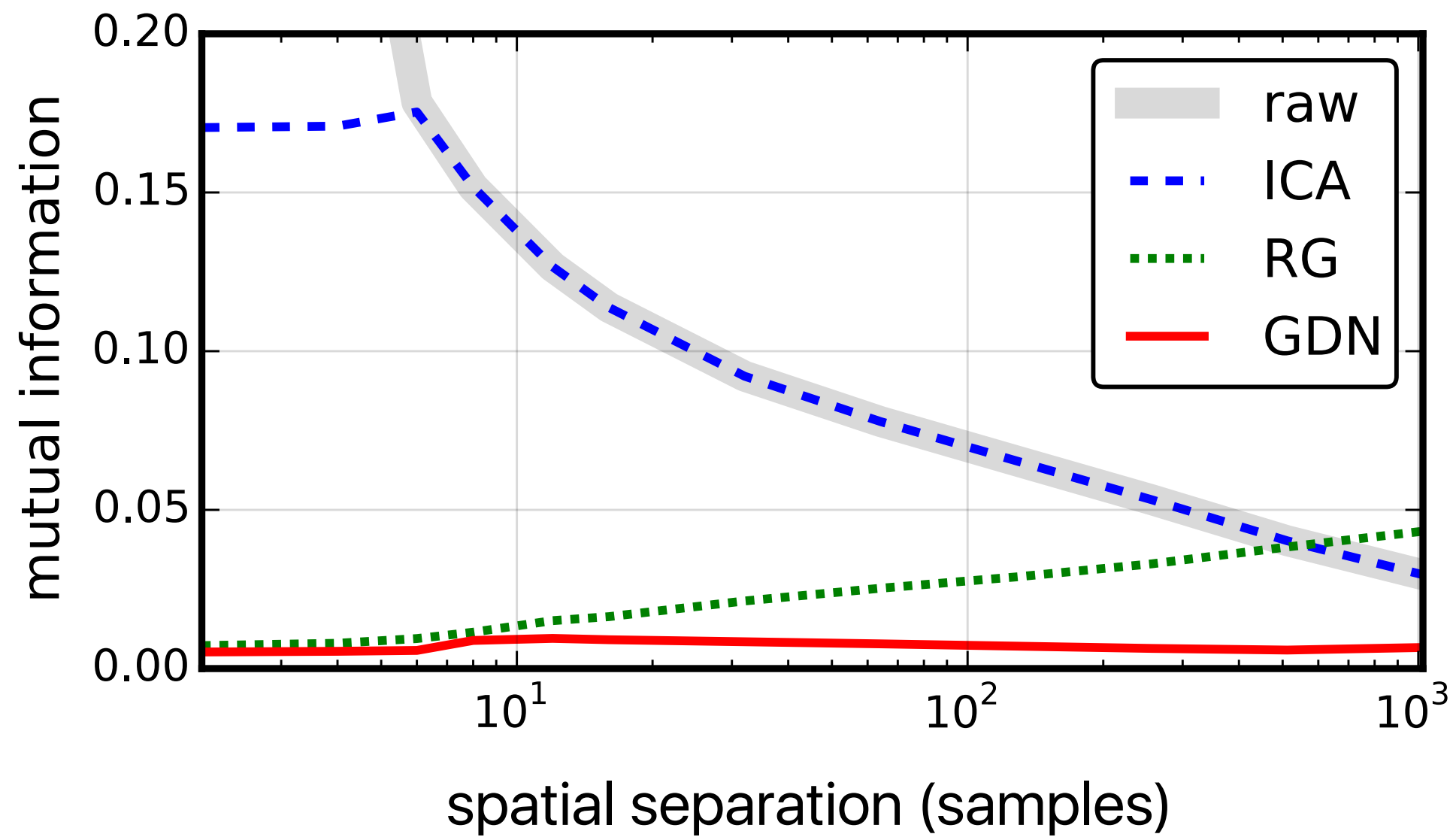
Arrows point from the γ_0 term in the first equation to the γ_1 term in the second equation, indicating a relationship between the two.

[Balle, Laparra, Simoncelli, ICLR-16]

Contour lines, linear filter responses

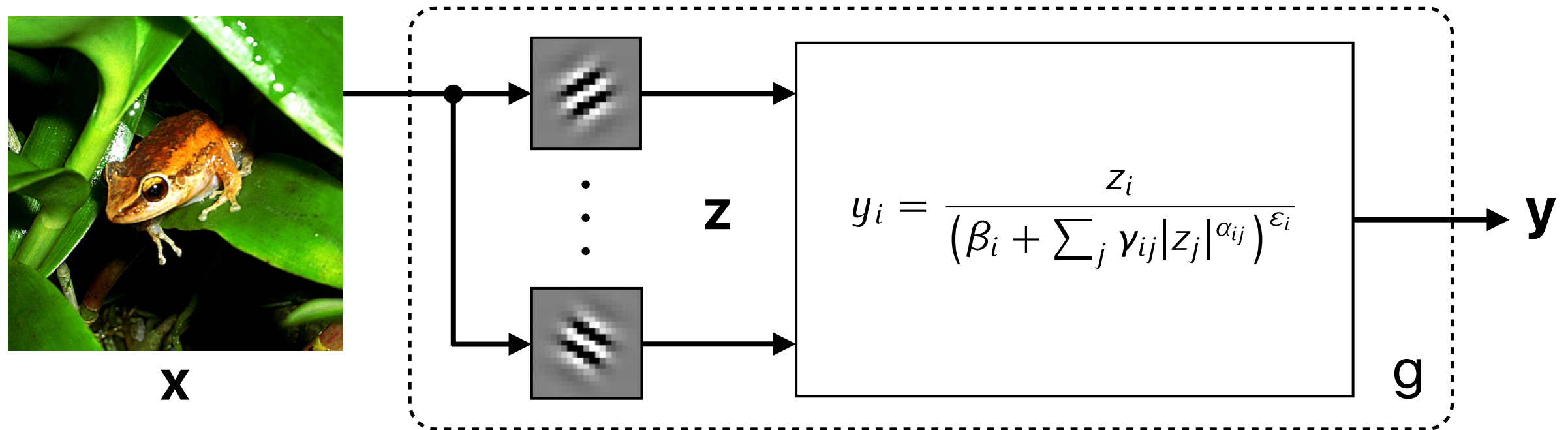


[Balle, Laparra, Simoncelli, ICLR-16]



[Balle, Laparra, Simoncelli, ICLR-16]

Generalized divisive normalization (GDN)

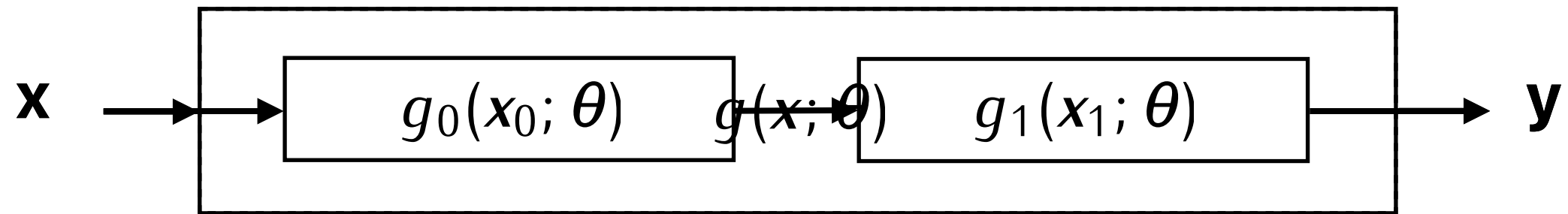


Special cases/related models:

- Independent Component Analysis, Cardoso, 2003
- Independent Subspace Analysis, Hyvärinen & Hoyer, 2000
- Weighted normalization model, Schwartz & Simoncelli, 2001
- Topographic ICA, Hyvärinen et al., 2001
- Radial Gaussianization, Lyu & Simoncelli, 2009
- L_p -nested symmetric distributions, Sinz & Bethge, 2010
- “Two-layer model”, Köster & Hyvärinen, 2010

[Balle, Laparra, Simoncelli, ICLR-16]

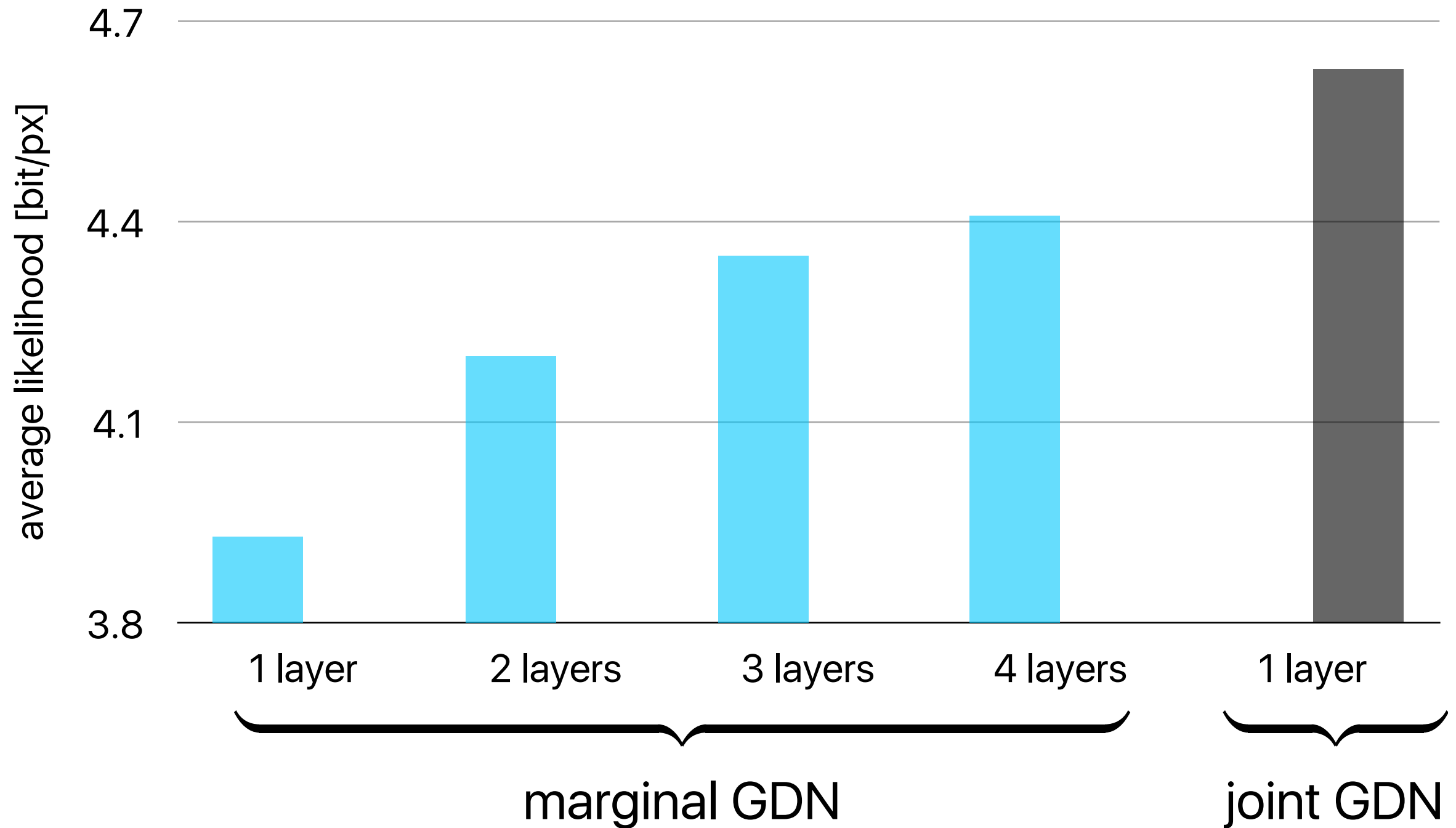
Parameter estimation (multiple layers)



$$-\log p_{\mathbf{x}}(\mathbf{x}) = \underbrace{-\log \left| \frac{\partial g(\mathbf{x}; \theta)}{\partial \mathbf{x}} \right| - \frac{1}{2} \|g(\mathbf{x}; \theta)\|_2^2 + C}_{-\log \left| \frac{\partial g_0(x_0; \theta)}{\partial x_0} \right| - \log \left| \frac{\partial g_1(x_1; \theta)}{\partial x_1} \right| - \dots}$$

minimize wrt. θ using stochastic gradient descent

One layer of joint GDN > many layers of marginal GDN



[Balle, Laparra, Simoncelli, ICLR-16]

Example 2: Perceptually-optimized rendering

[Laparra, Ballé, Berardino & Simoncelli, in preparation]

Perceptual error is not consistent with Mean Squared Error

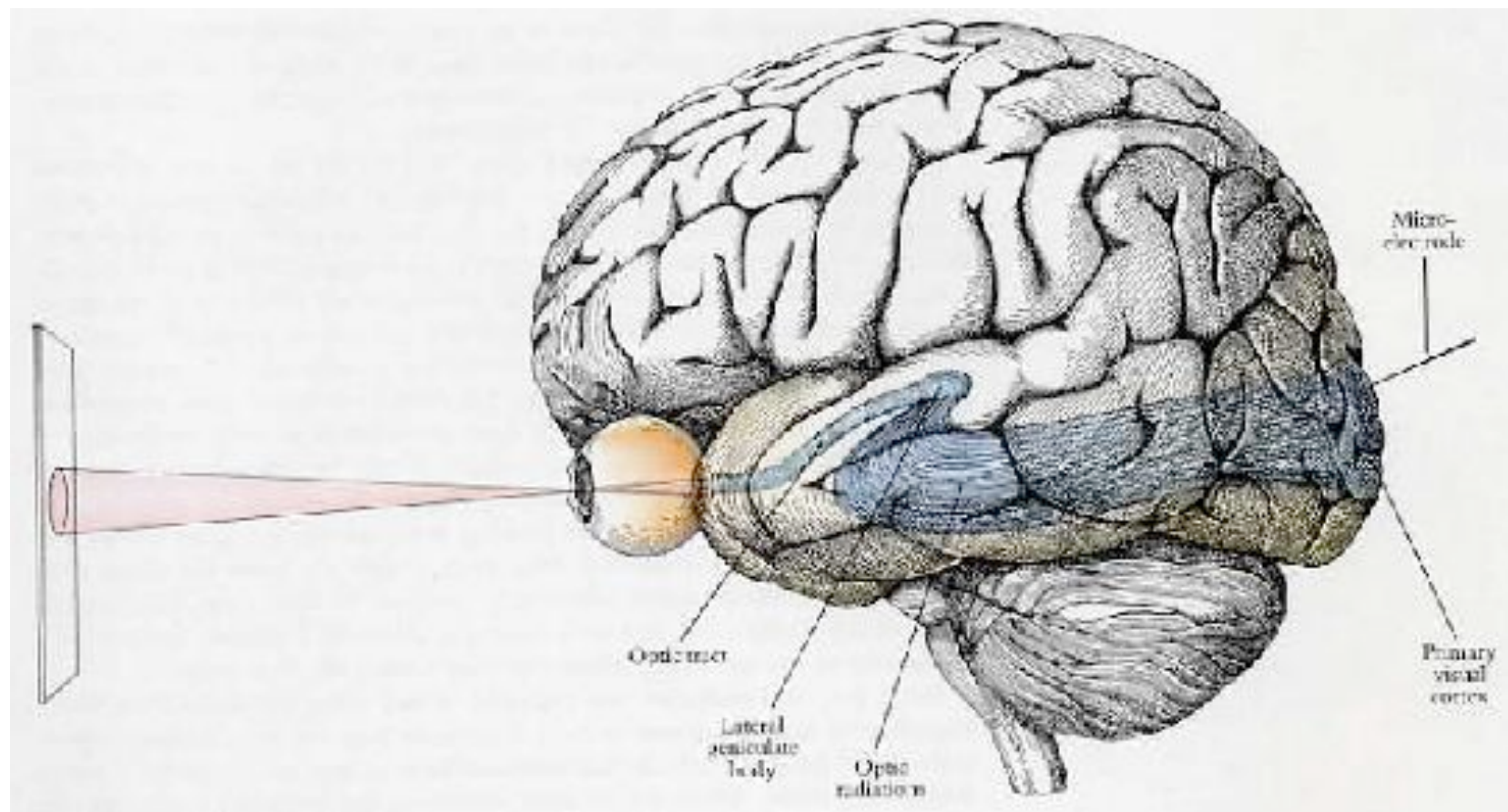


Equal MSE:

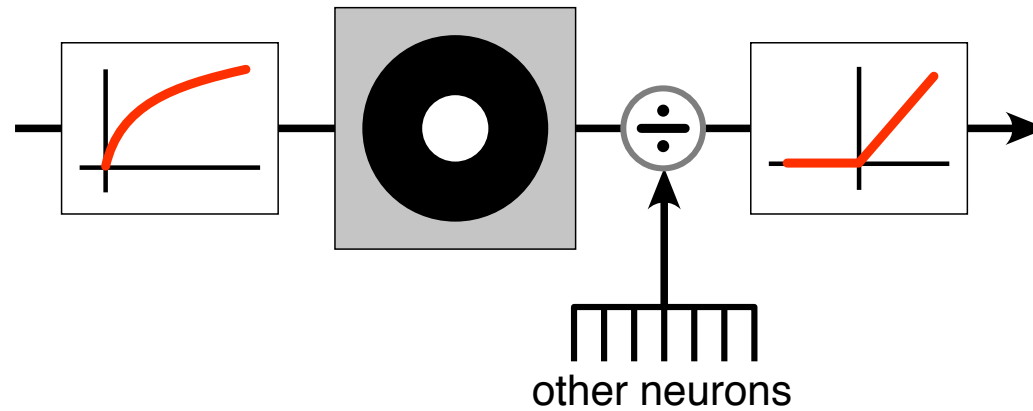


Why does MSE fail?

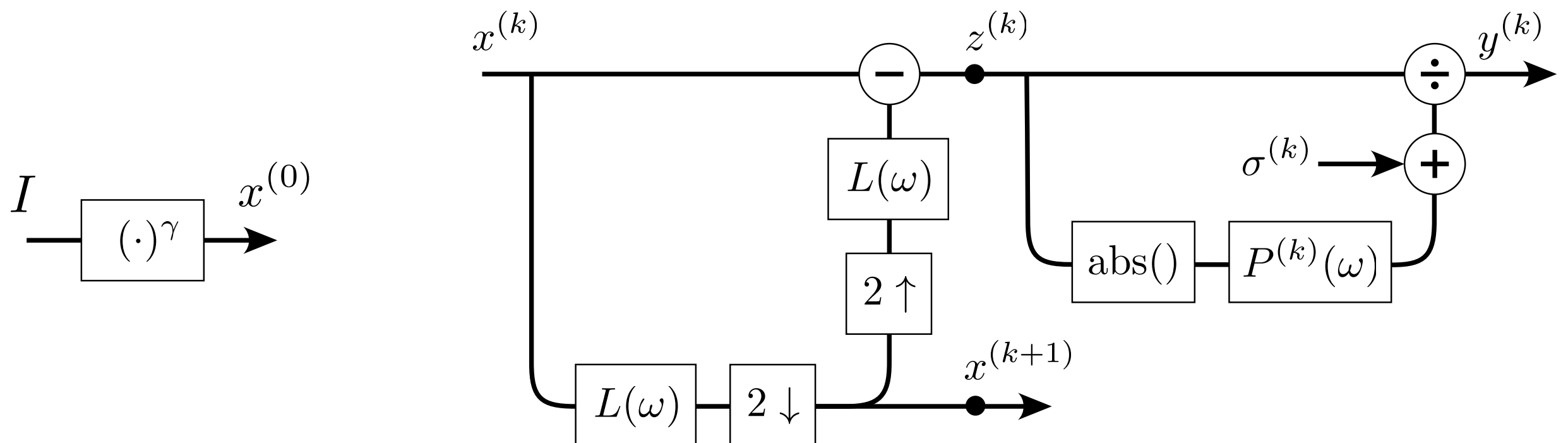
Human visual system constructs a nonlinear visual representation, and is sensitive to distortions in this space



Simple retinal
gain-control
model:

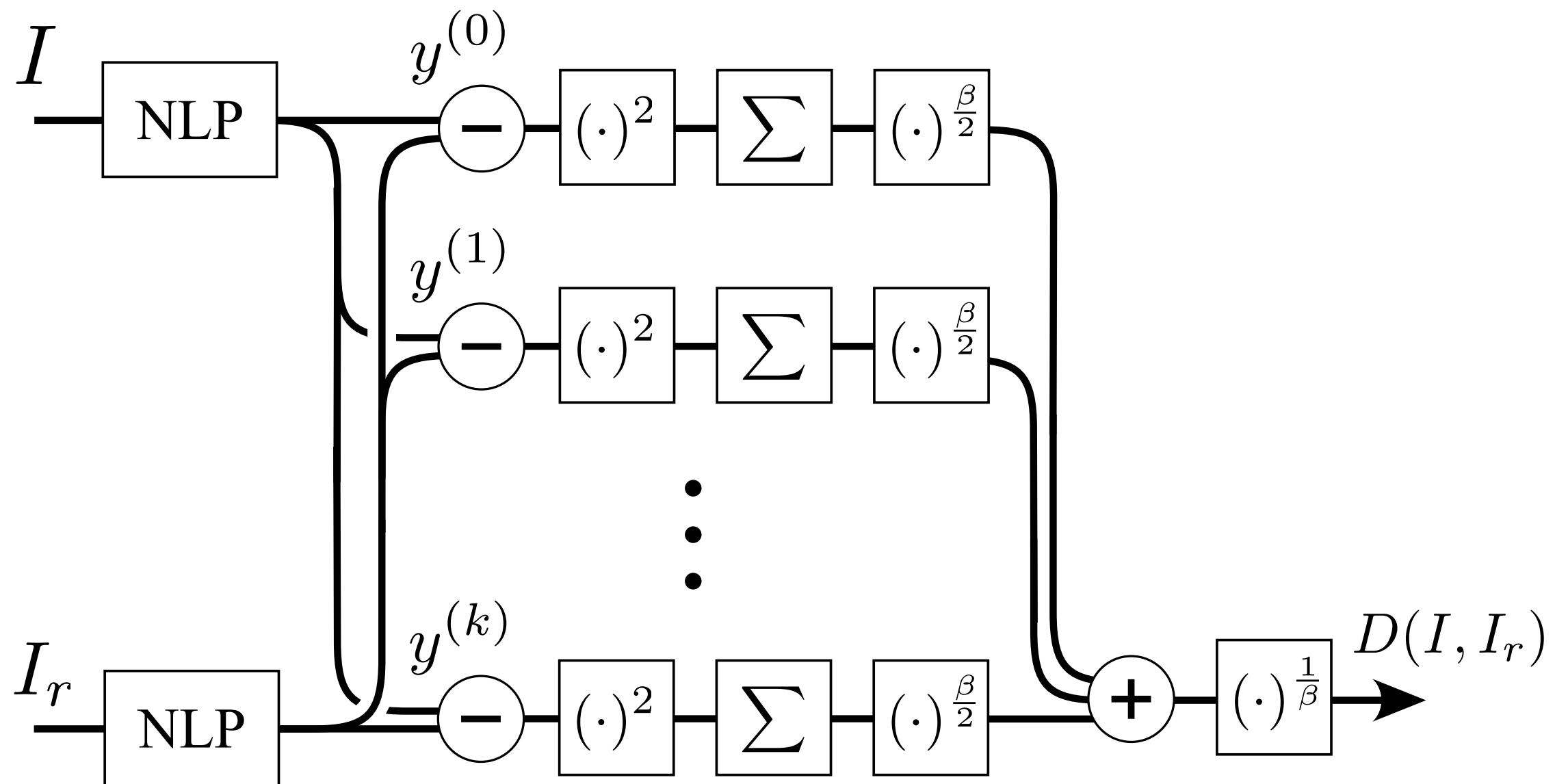


Multiscale version: Normalized Laplacian Pyramid (NLP)



[Laparra, et. al. 2016]

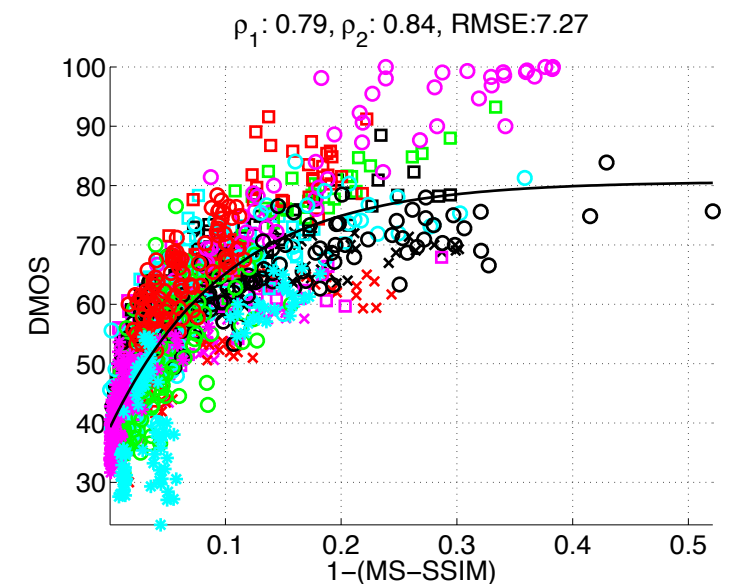
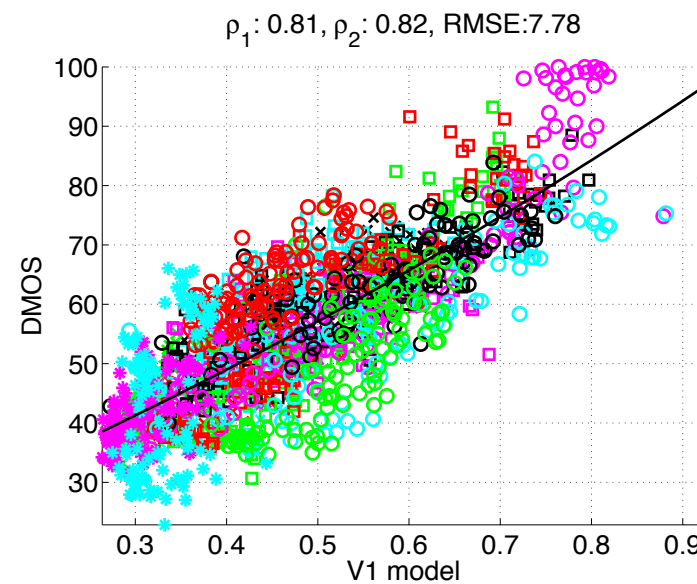
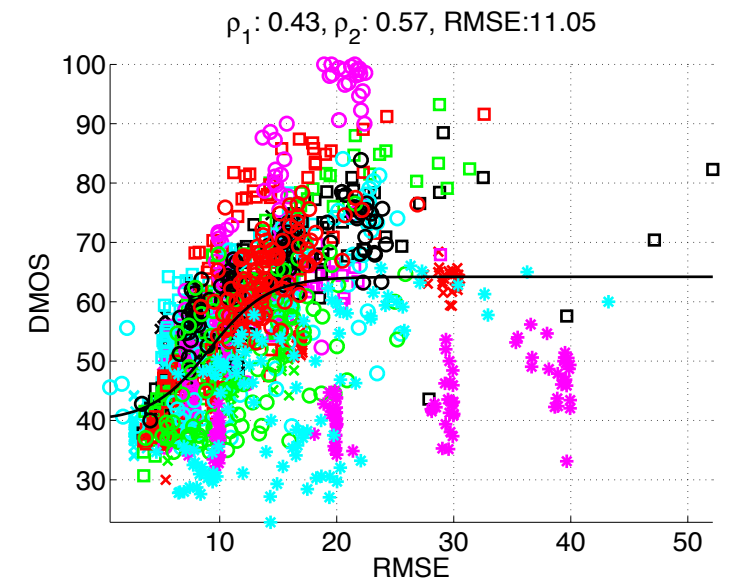
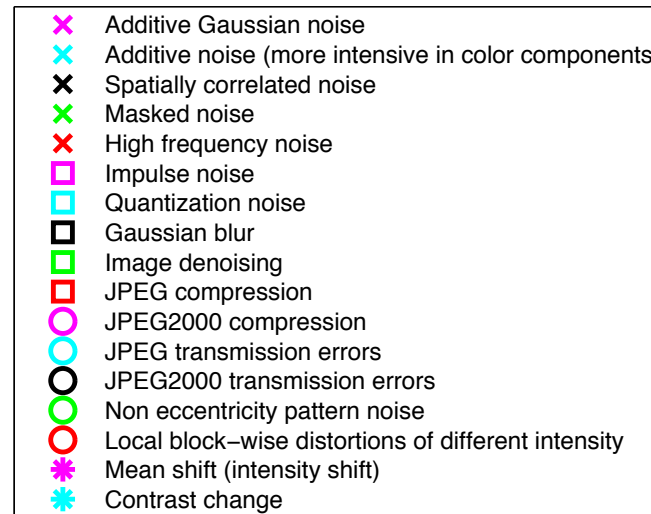
NLP Distortion metric



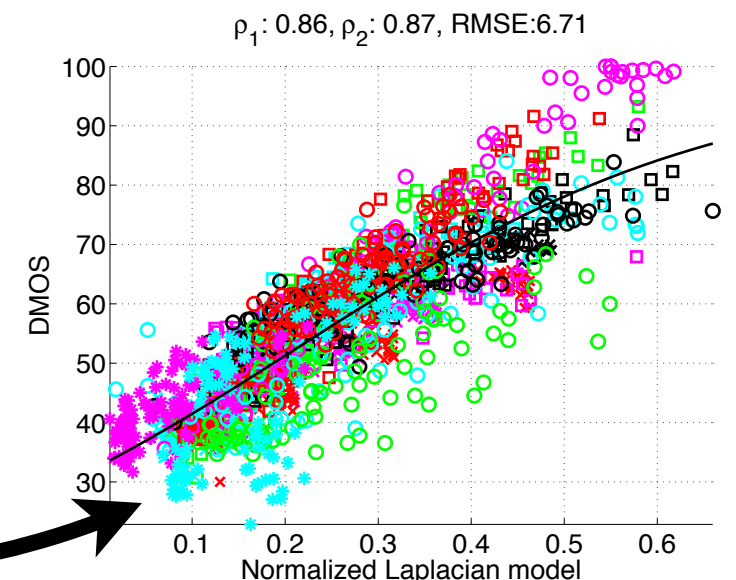
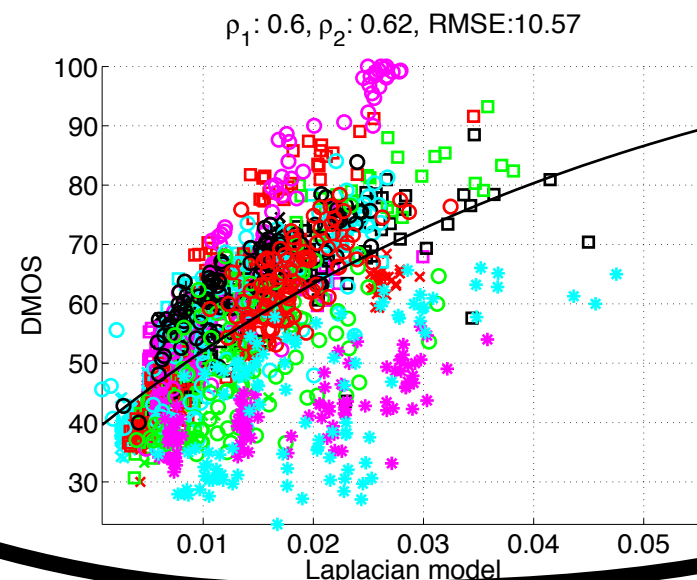
[Laparra, et. al. 2016]

TID2008 database

[Ponomarenko et al., 2009]

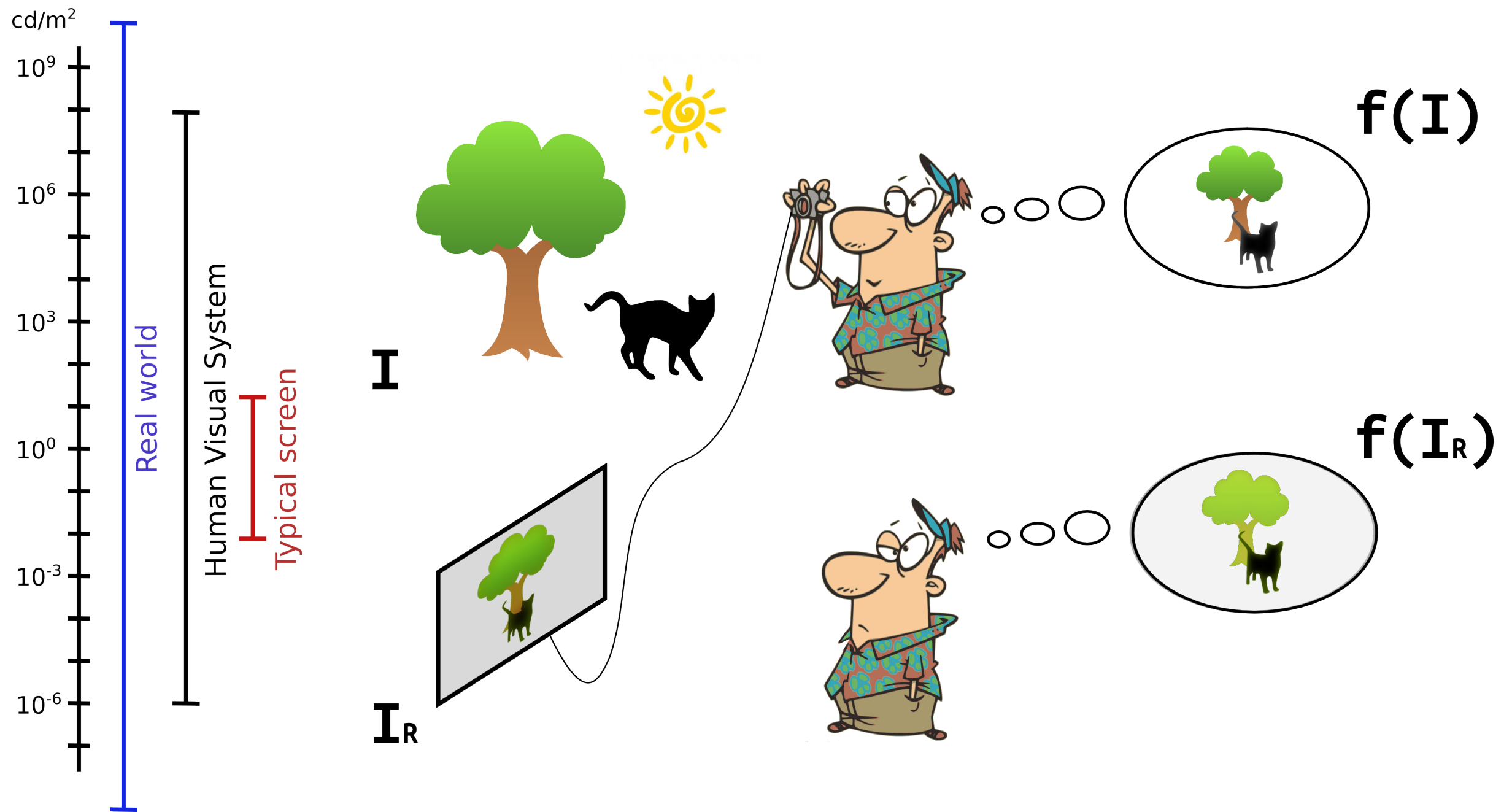


MSE in retinal model
response space explains
perceptual data



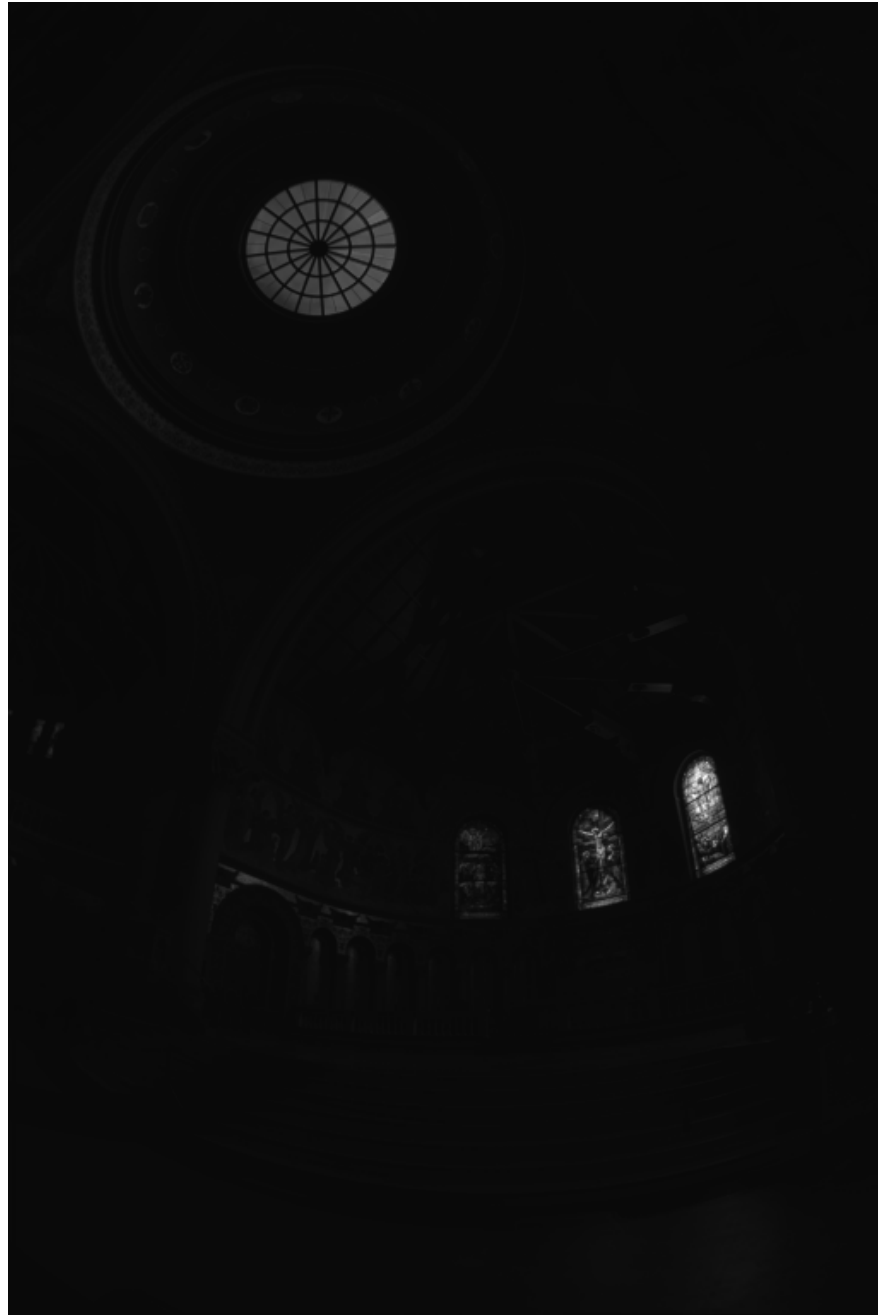
[Laparra et al, 2016]

The image rendering problem





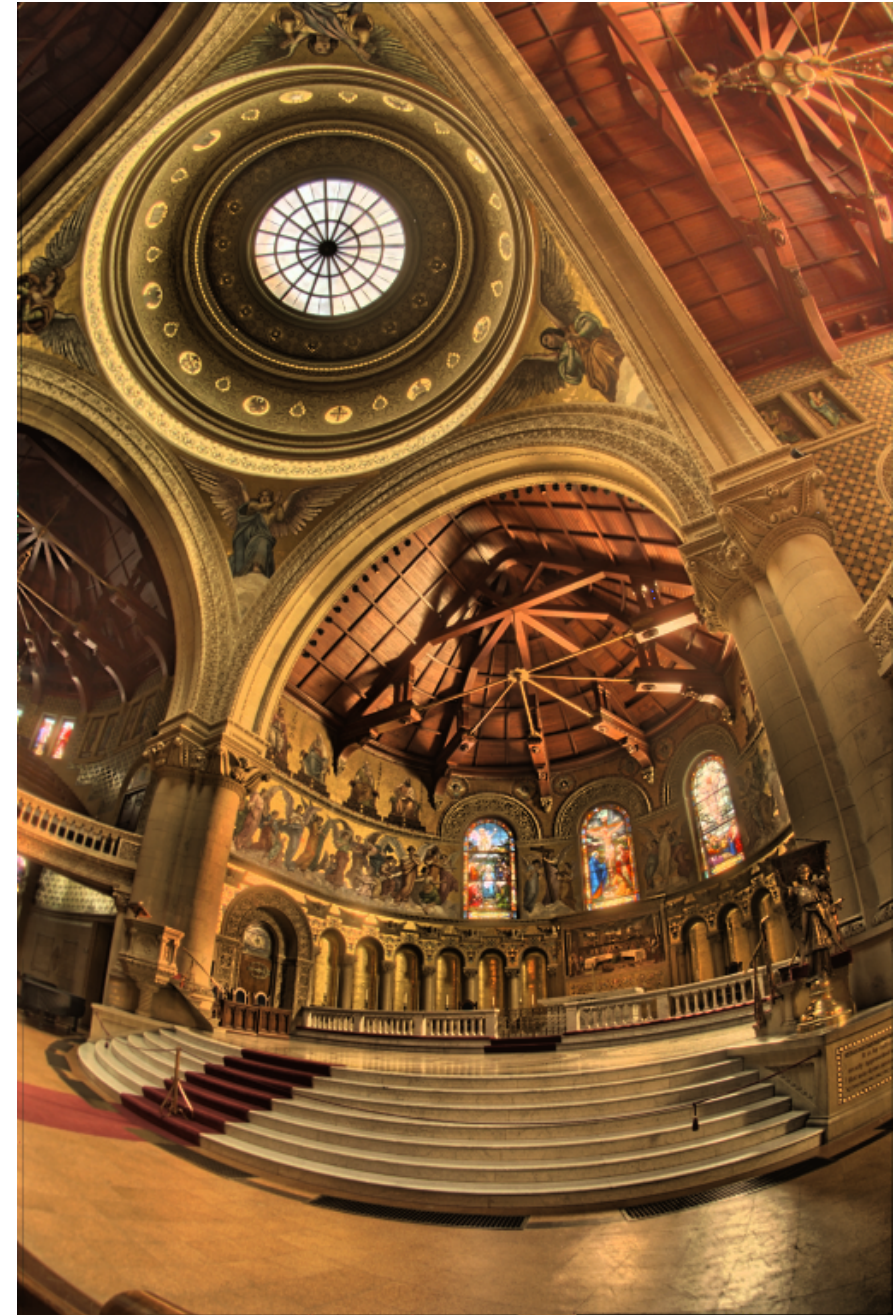
Automatic high dynamic range image rendering



original



Paris et. al., 2015



Laparra et. al. (in preparation)

Perceptually- optimized image rendering



original



Paris et. al., 2015



$L_{\max} = 10^3$



$L_{\max} = 10^4$

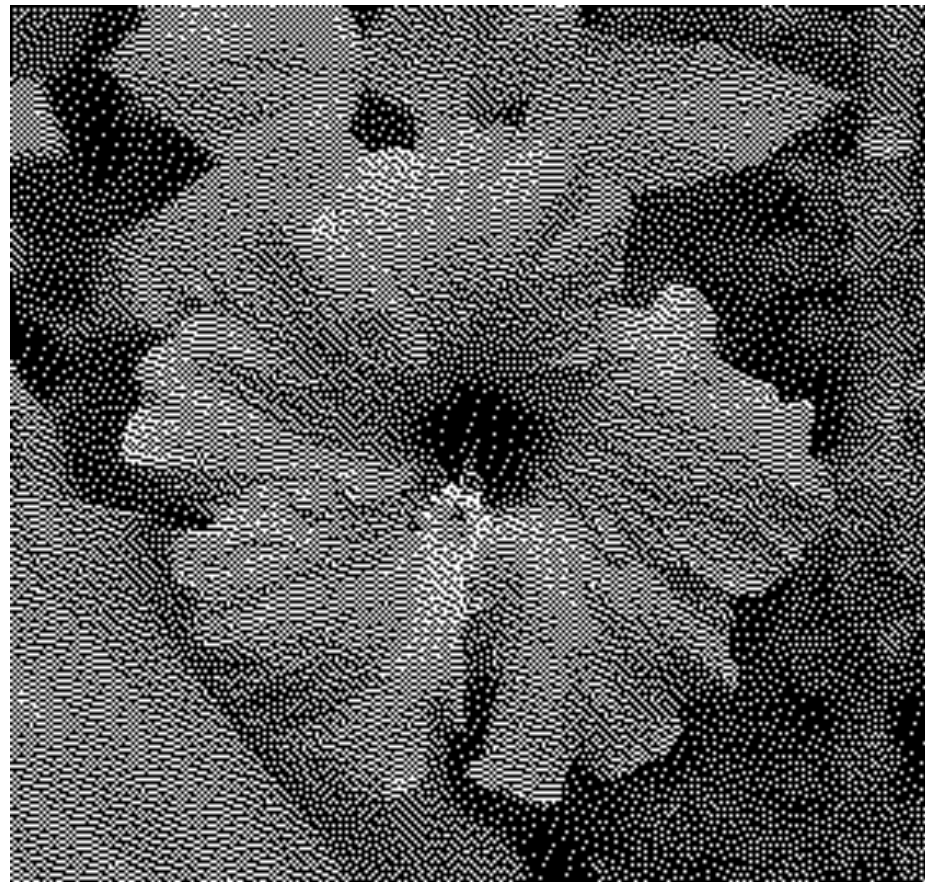


$L_{\max} = 10^5$

Dithering (binary rendering)



original
(grayscale)



standard
(Floyd-Steinberg 1976)

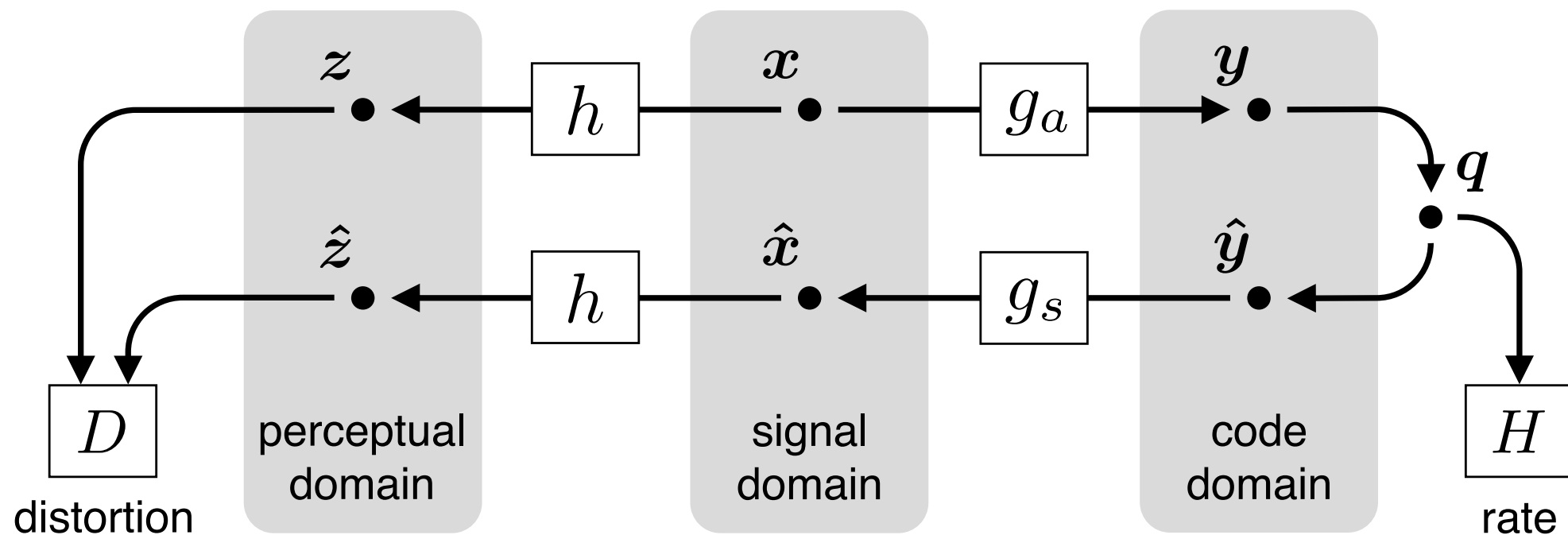


Laparra et. al.
(in preparation)

Example 3: Compression

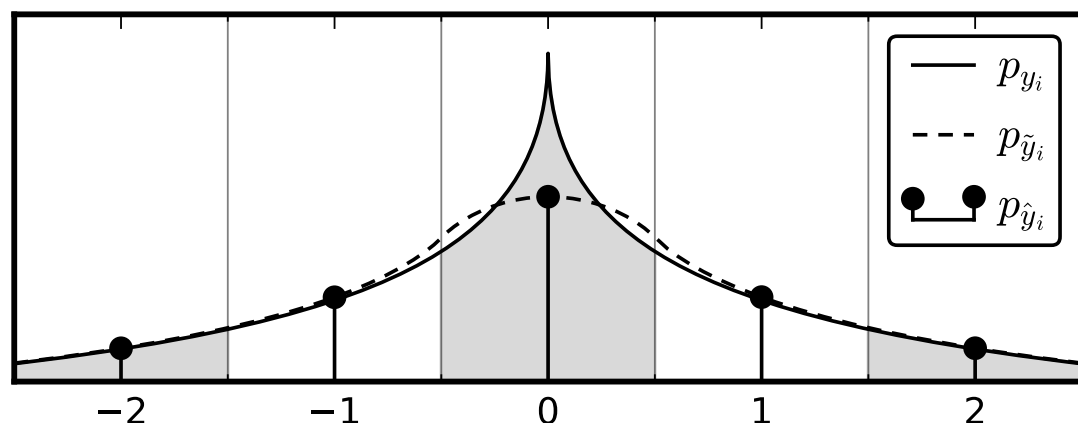
[Ballé, Laparra & Simoncelli, PCS-16+]

End-to-end rate-distortion optimization



objective:
$$L[g_a, g_s] = H[P_q] + \lambda \mathbb{E} \|z - \hat{z}\|$$

relaxation to differential entropy:

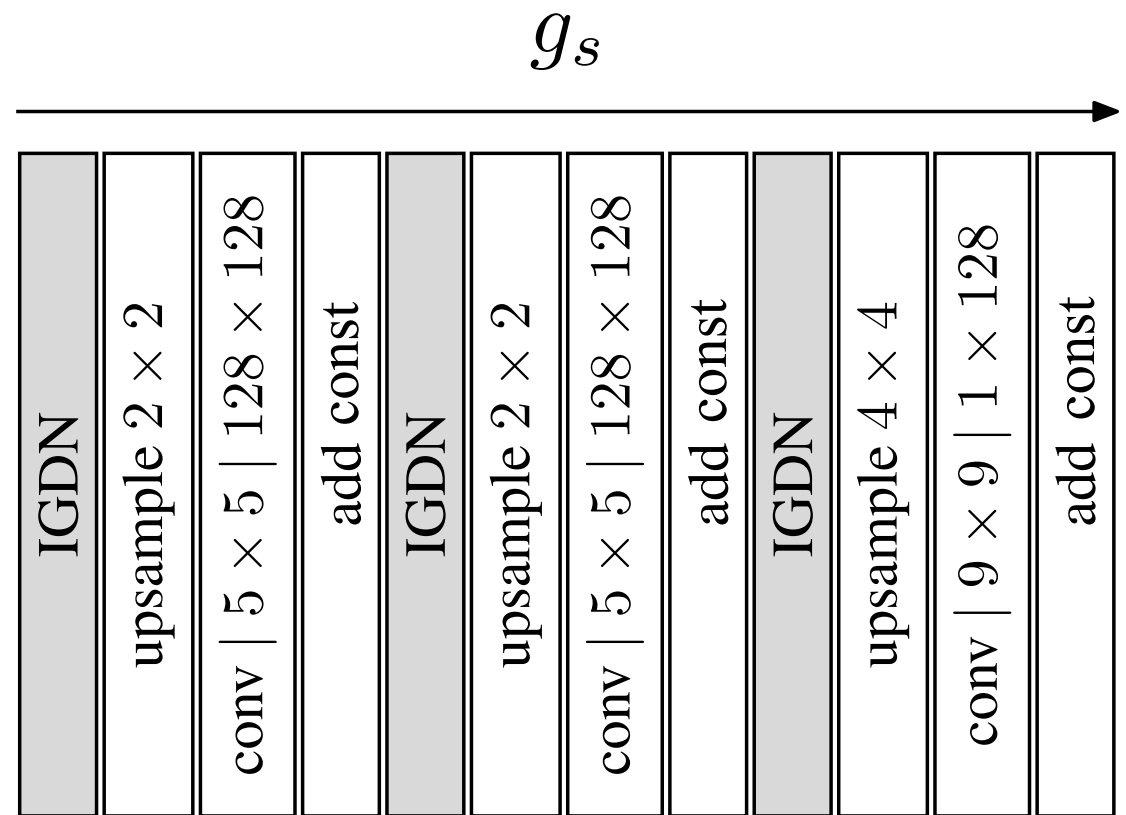
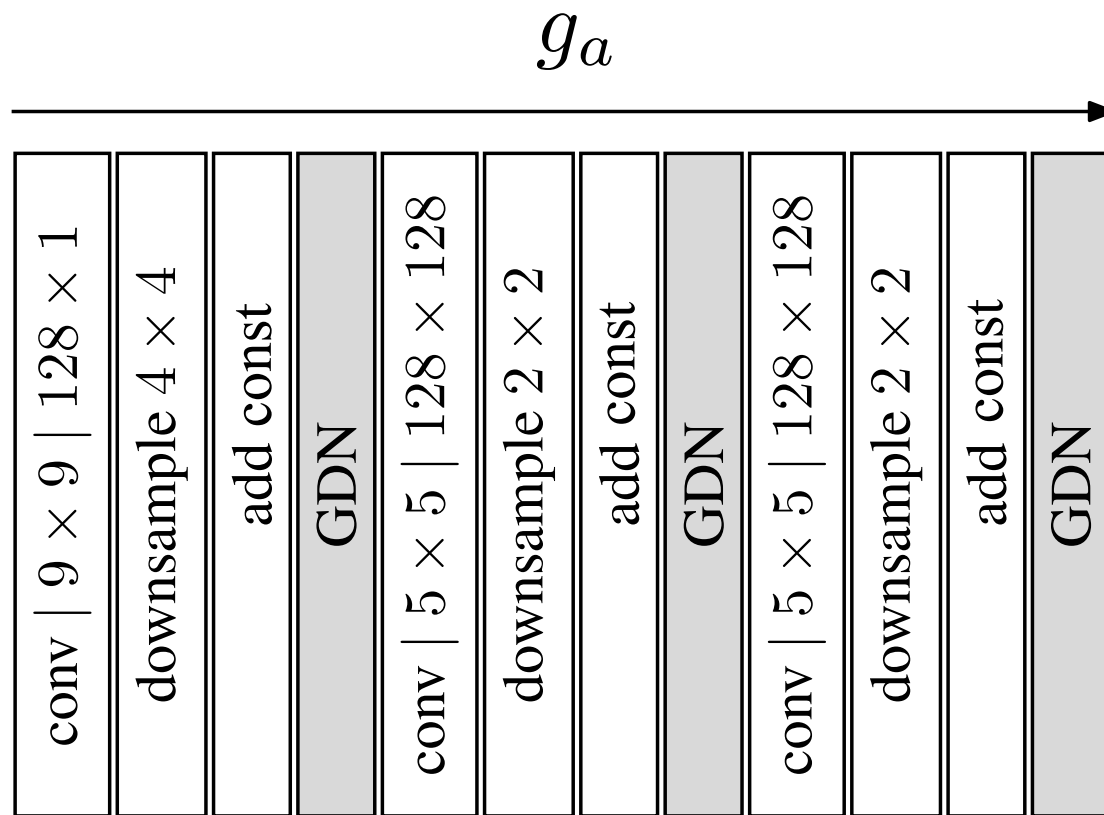


$$p_{\hat{y}_i}(t) = \sum_{n=-\infty}^{\infty} P_{q_i}(n) \delta(t - n),$$

$$P_{q_i}(n) = (p_{y_i} * \mathcal{U}(0, 1))(n), \text{ for all } n \in \mathbb{Z},$$

[Balle et al., PCS-16]

3-stage GDN cascade



GDN:

$$y_i = \frac{x_i}{(\beta_i + \sum_j \gamma_{ij} x_j^2)^{\frac{1}{2}}}$$

γ_{ij} symmetric

IGDN (approximate):

$$x_i = y_i \cdot (\beta_i + \sum_j \gamma_{ij} y_j^2)^{\frac{1}{2}}$$

(one step of fixed-point inverse)

[Balle et al., in preparation]

original (cropped)



jpeg: 9094 bytes, RMSE: 12.032



jpeg2000: 8362 bytes, RMSE: 11.264



3-stage GDN: 8360 bytes, RMSE: 8.16



[Balle et al., in preparation]

original (cropped)



jpeg: 9851 bytes, RMSE: 18.84



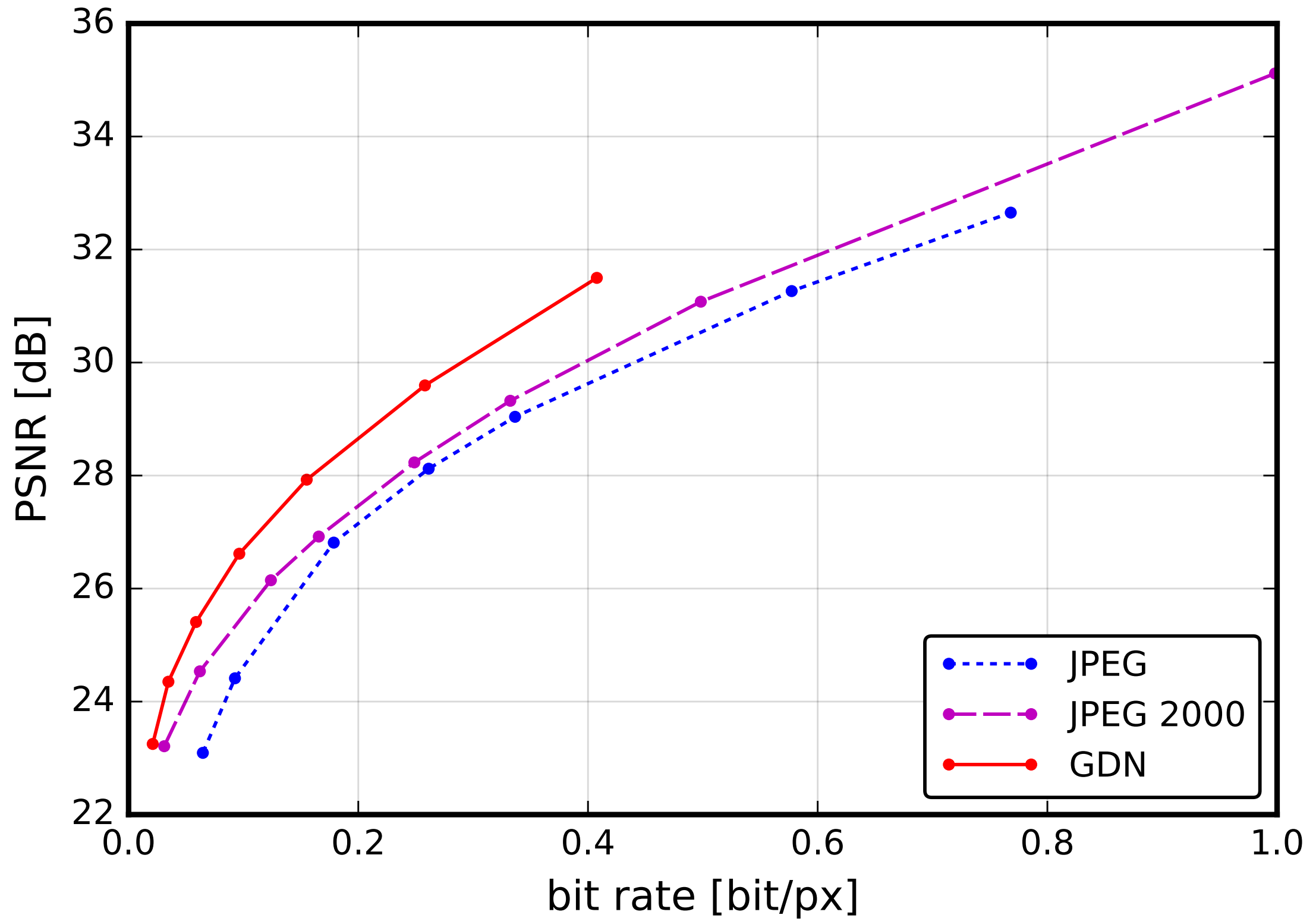
jpeg2000: 8127 bytes, RMSE: 18.37



3-stage GDN: 8115 bytes, RMSE: 15.95



[Balle et al., in preparation]



[Balle et al., in preparation]

Local gain control...

- is found throughout biological sensory systems
- can be implemented as an invertible nonlinear transform
- can Gaussianize natural signals, eliminating dependencies
- can mimic human perception of visual distortions
- can be used, cascaded, for image compression
- but we need a more complete characterization/design toolbox!

Thanks

Johannes Ballé

Valero Laparra

Alex Berardino

Siwei Lyu

The logo for the Howard Hughes Medical Institute (HHMI), featuring the lowercase letters 'hhmi' in a stylized font. The 'h' and 'm' are blue, while the 'h' and 'i' are green.

Howard Hughes
Medical Institute



National
Eye
Institute

NATIONAL INSTITUTES OF HEALTH

