

# Role of Models

- Dayan & Abbott

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- Interpretive/Explanatory (why?)

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- Descriptive (what?)
  - eg: tuning curves, receptive field, LNP
- Mechanistic (how?)
  - eg: compartmental models, Hodgkin-Huxley
- Interpretive/Explanatory (why?)
  - eg: efficient coding, optimal estimation/decision, wiring length, metabolic cost, etc

# Interaction with Experiments



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  - to refine model

# Interaction with Experiments

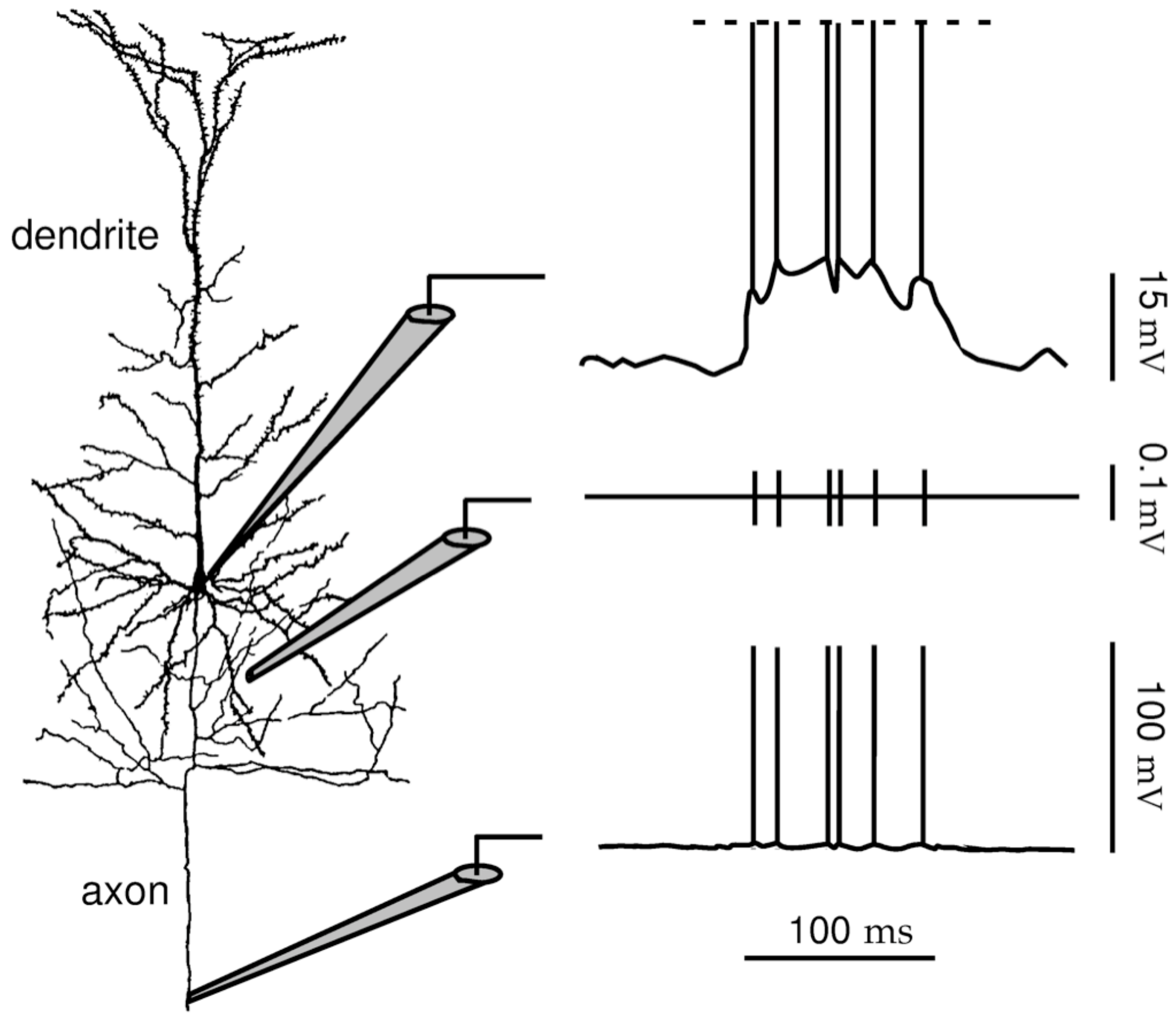
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  - to refine model
  - to differentiate models
  - with optimized stimuli, to characterize cells

# Descriptive Response Models (outline)

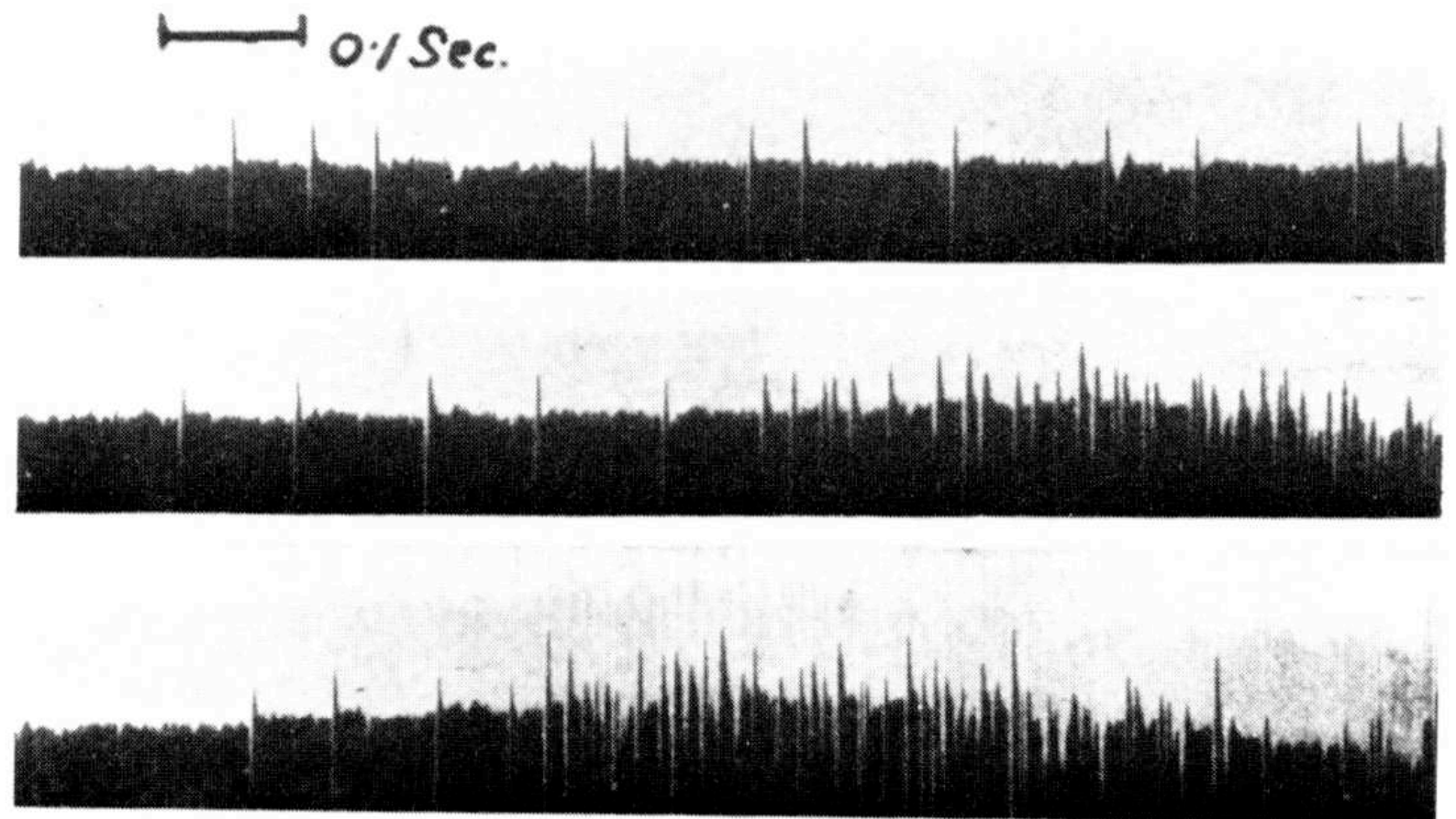
- Receptive fields and tuning curves
- Linear models
- Rate models
- Wiener/Volterra (polynomial) models
- LN models
- Poisson spiking
- Fitting/validating LNP models



- Dayan & Abbott

# Rate coding

*Some of Adrian's first recordings from very small numbers of individual nerve fibres. Each spiky deflection is a single nerve impulse. These records were taken from the sensory nerves of a cat's toe. The toe was flexed slowly, more quickly and very rapidly to produce these three traces. The frequency of firing depends on the strength of the stimulus – Adrian's law.*



# Receptive Fields

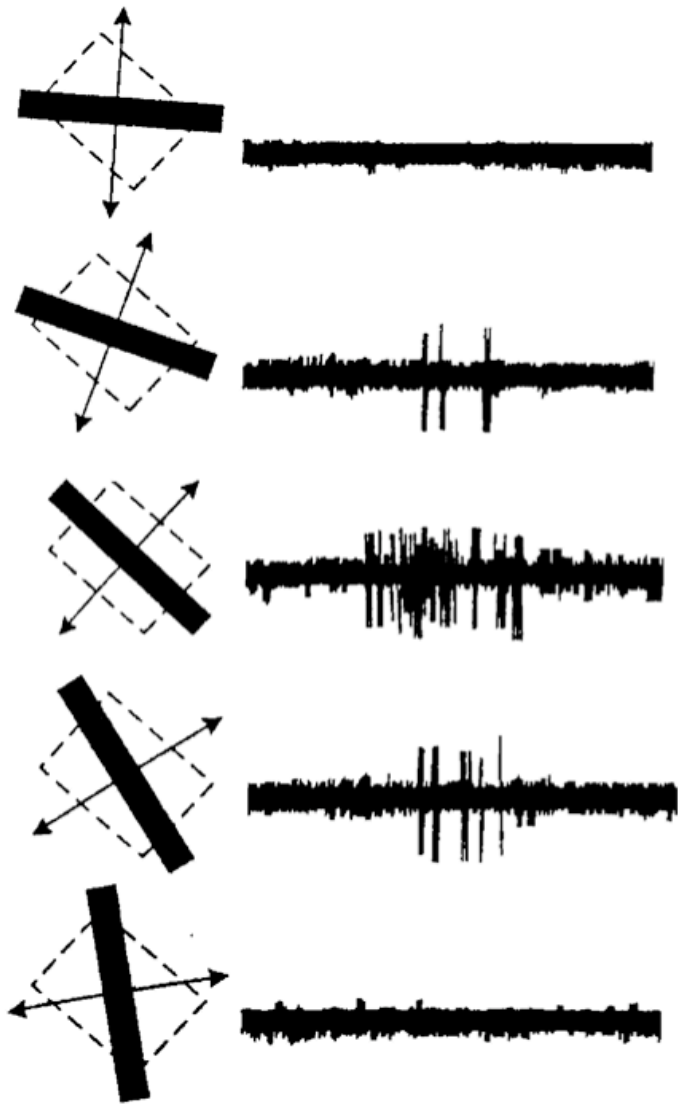
- Classical: A region of the retina (visual field) that must be stimulated directly in order to obtain a response in a neuron
  - Sherrington (1906), Hartline (1938), Kuffler (1953)

# Receptive Fields

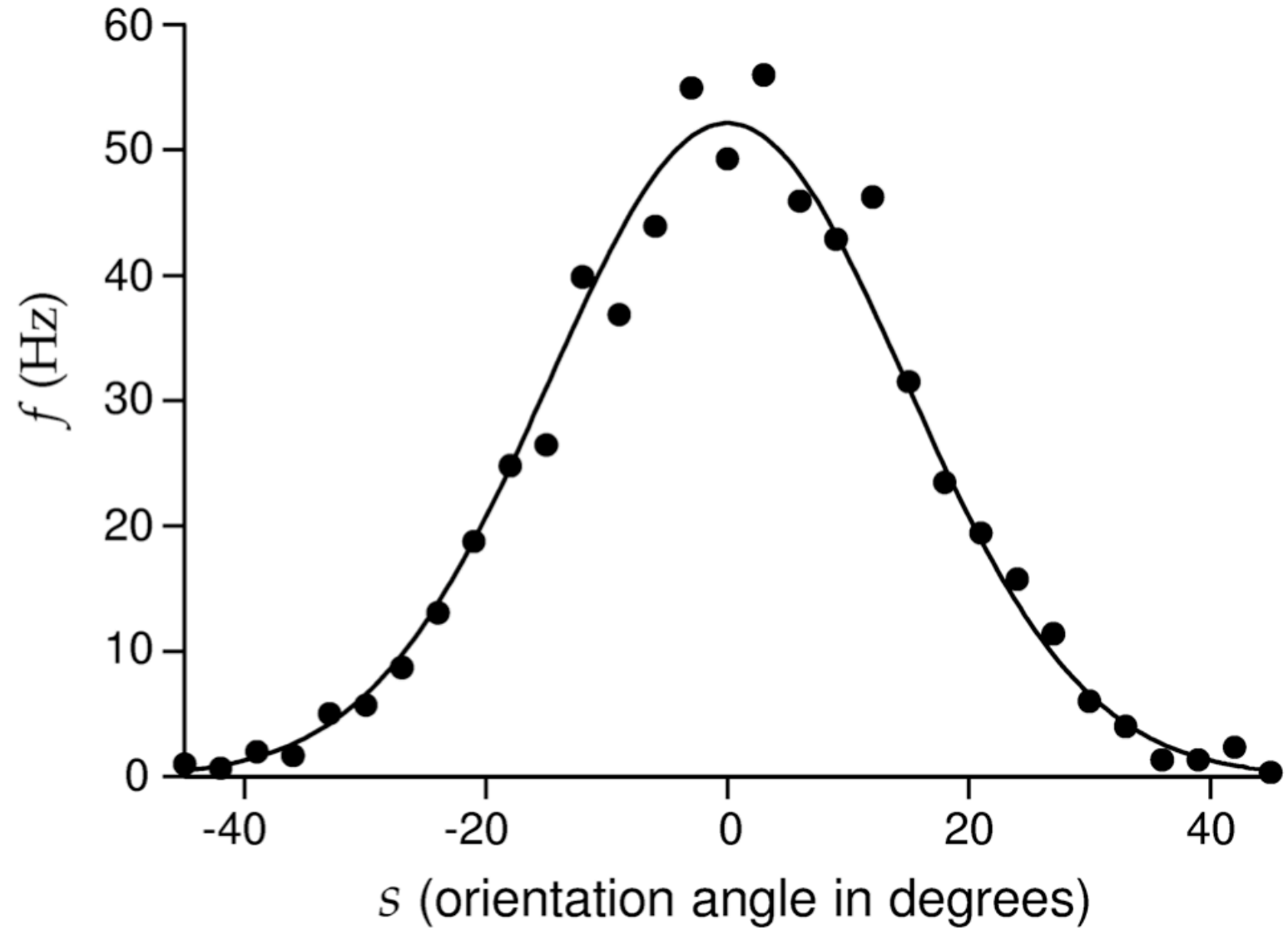
- Classical: A region of the retina (visual field) that must be stimulated directly in order to obtain a response in a neuron
  - Sherrington (1906), Hartline (1938), Kuffler (1953)
- Modern generalization: Kernel that captures those attributes of the stimulus that generate/modulate responses. Often assumed linear.



A

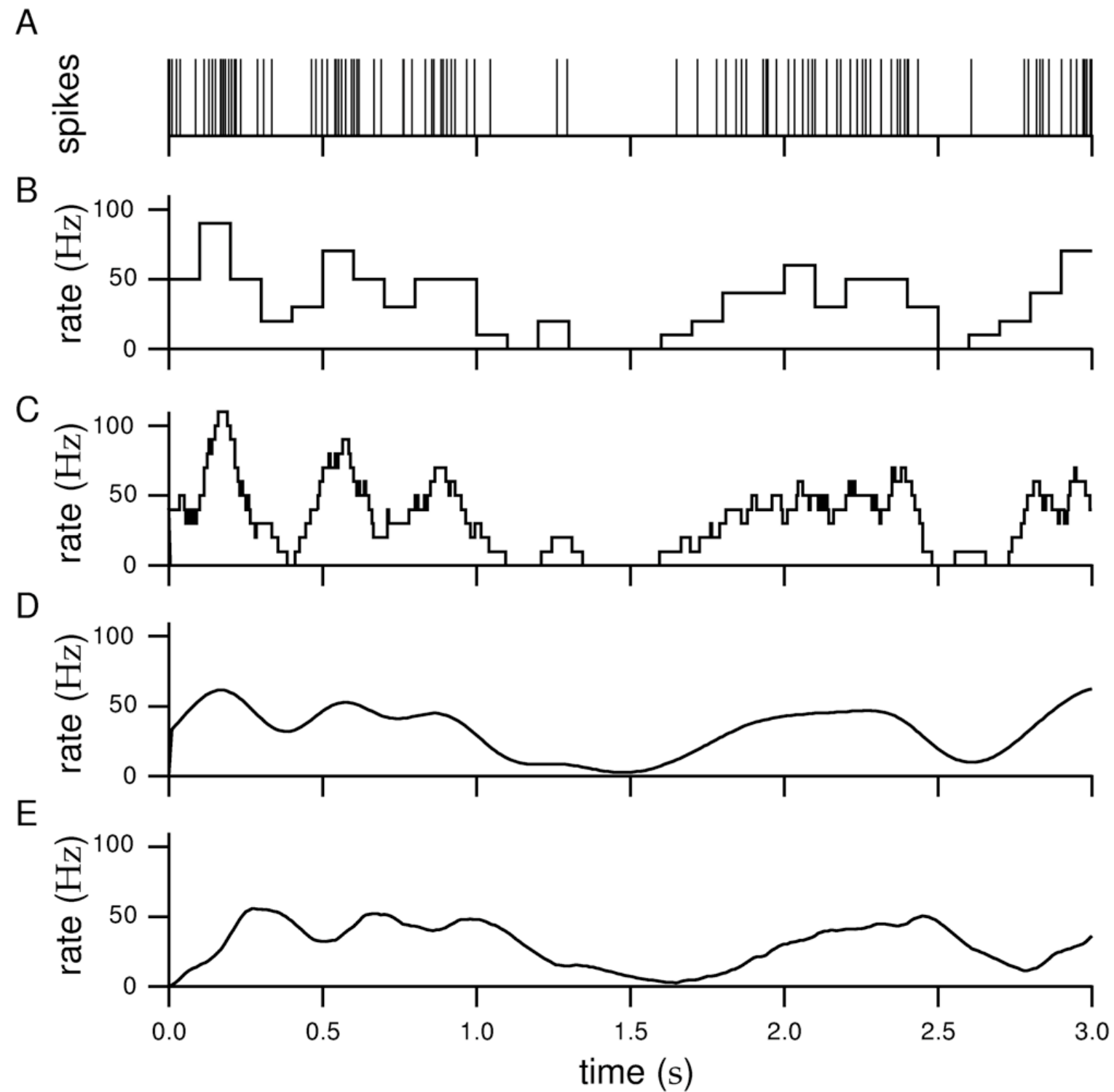


B



- Dayan & Abbott, after Hubel & Wiesel '62

# Estimating firing rates



- Dayan & Abbott

# Polynomial Model

(Volterra/Weiner Kernels)

$$r(\vec{x}) = k_0 + \vec{k}_1 \cdot \vec{x} + \vec{x}^T K_2 \vec{x} + \dots$$

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const



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↓  
const  
□

↓  
vector  
□  
□  
□  
□

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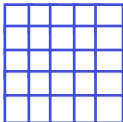
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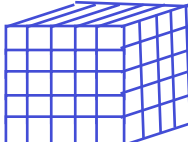
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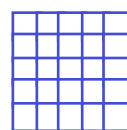
1

↓  
vector



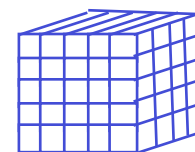
$n$   
(20)

↓  
matrix



$n^2$   
(400)

↓  
3-tensor



$n^3$   
(8000)

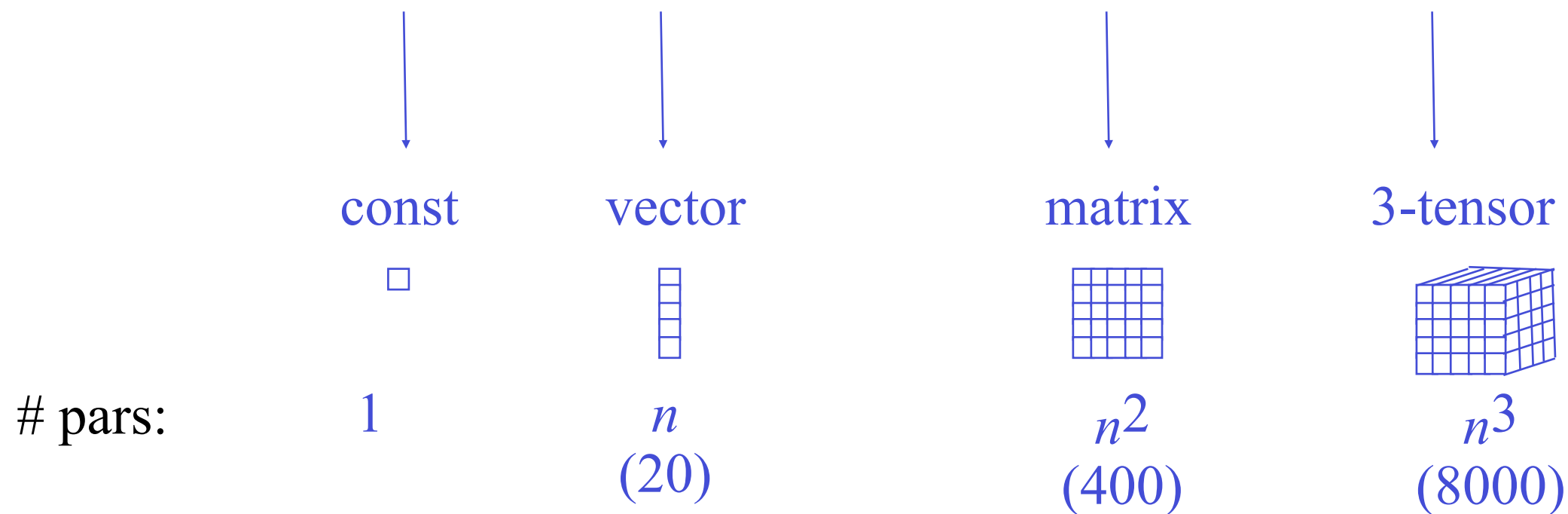
# pars:



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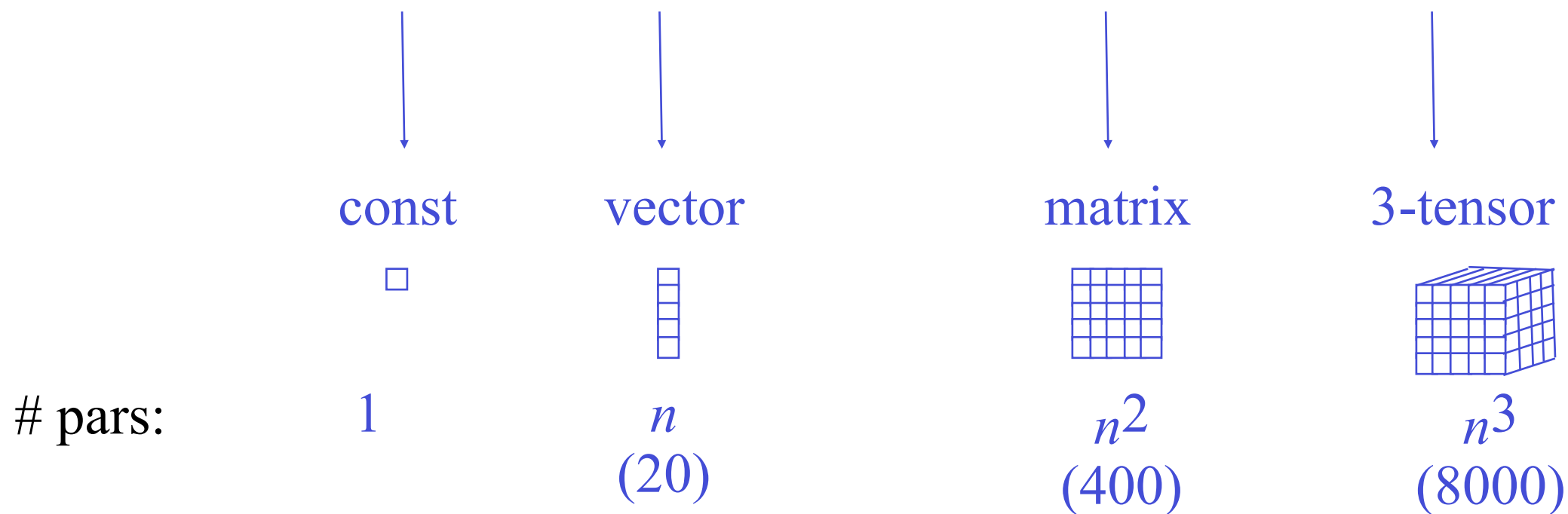


- estimate kernels using moments of spike-triggered stimuli

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- in practice, insufficient data to go beyond 2<sup>nd</sup> order

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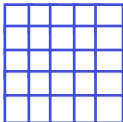
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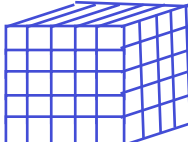
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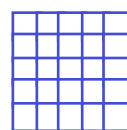
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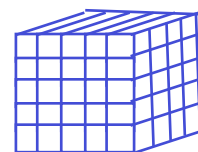
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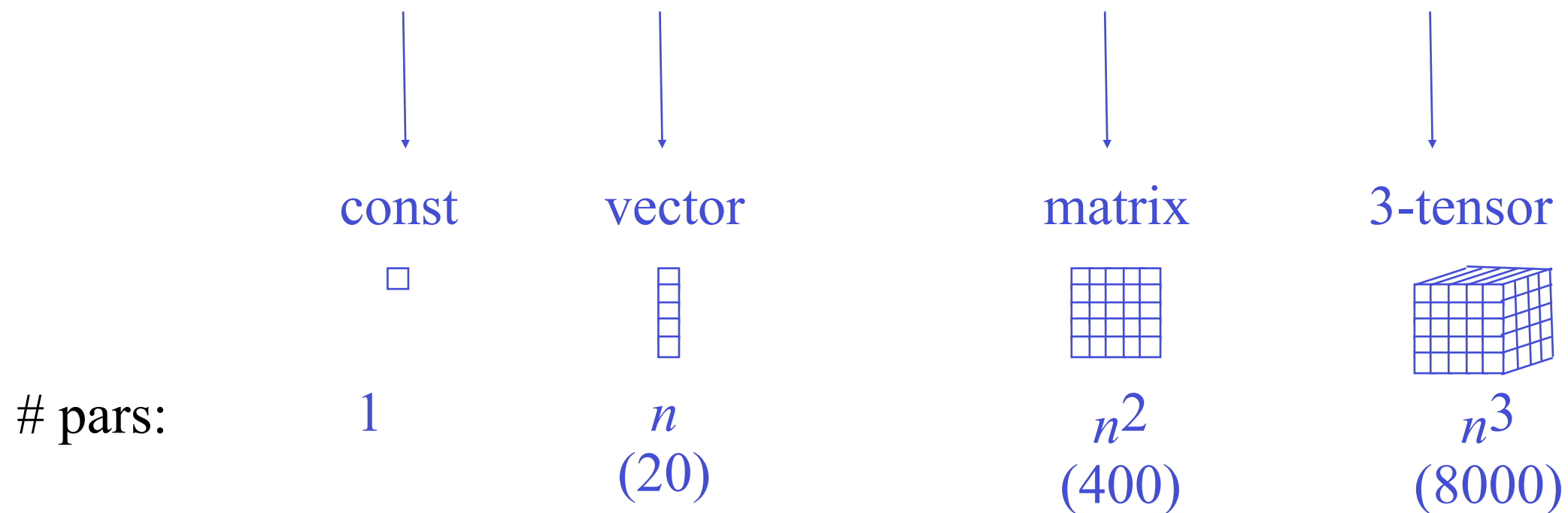
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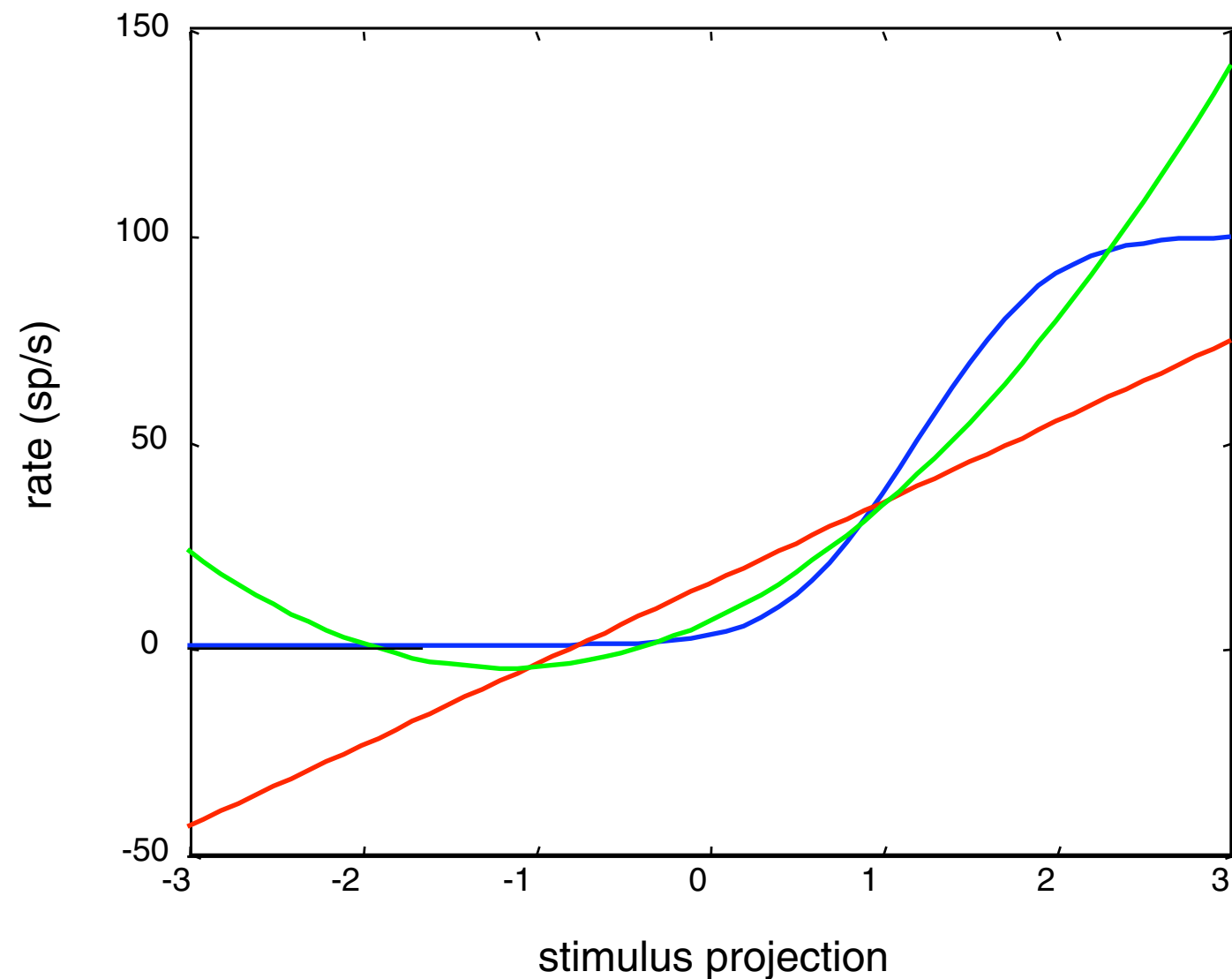
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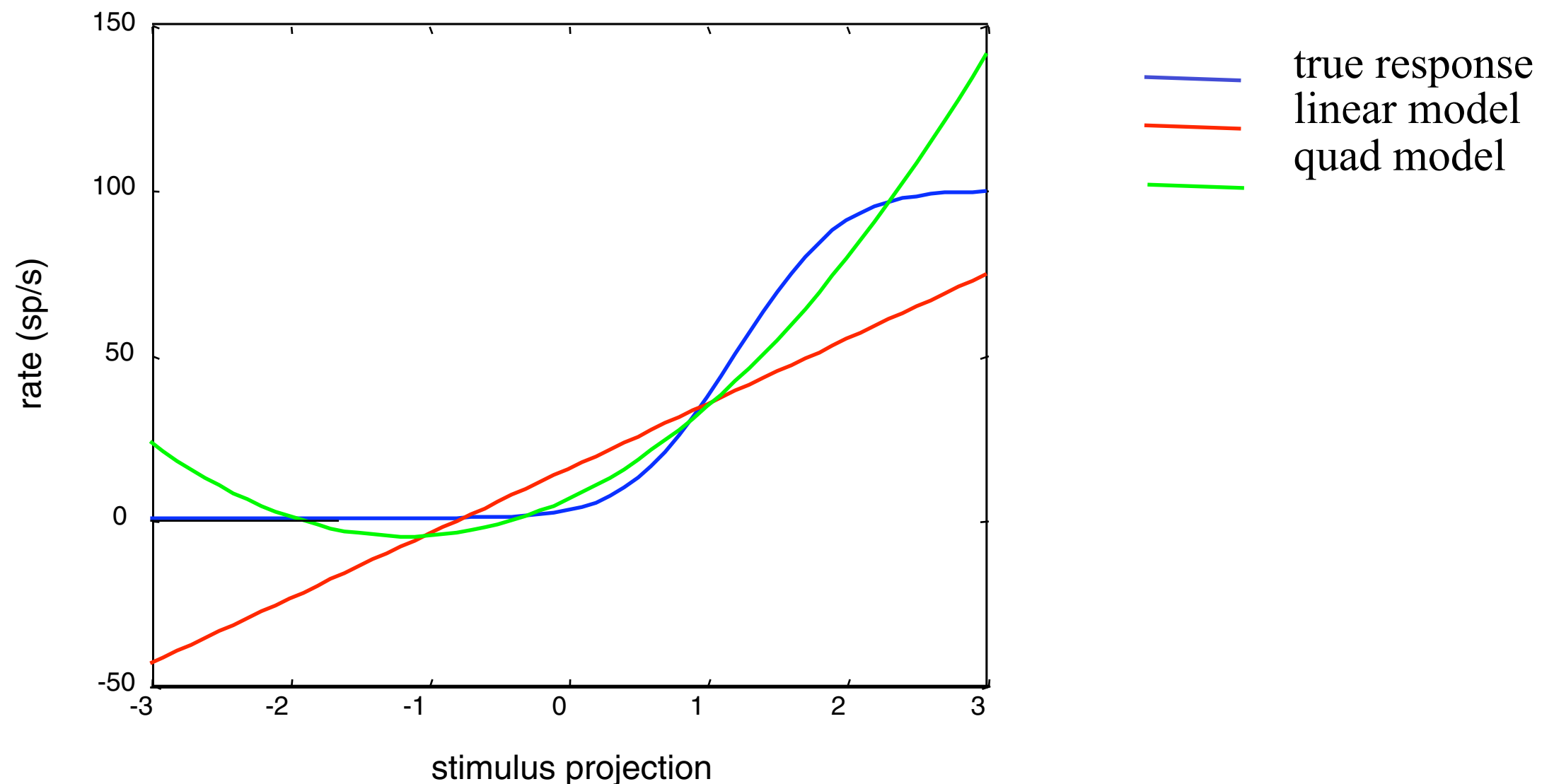
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Low-order polynomials do a poor job of representing the nonlinearities found in neurons

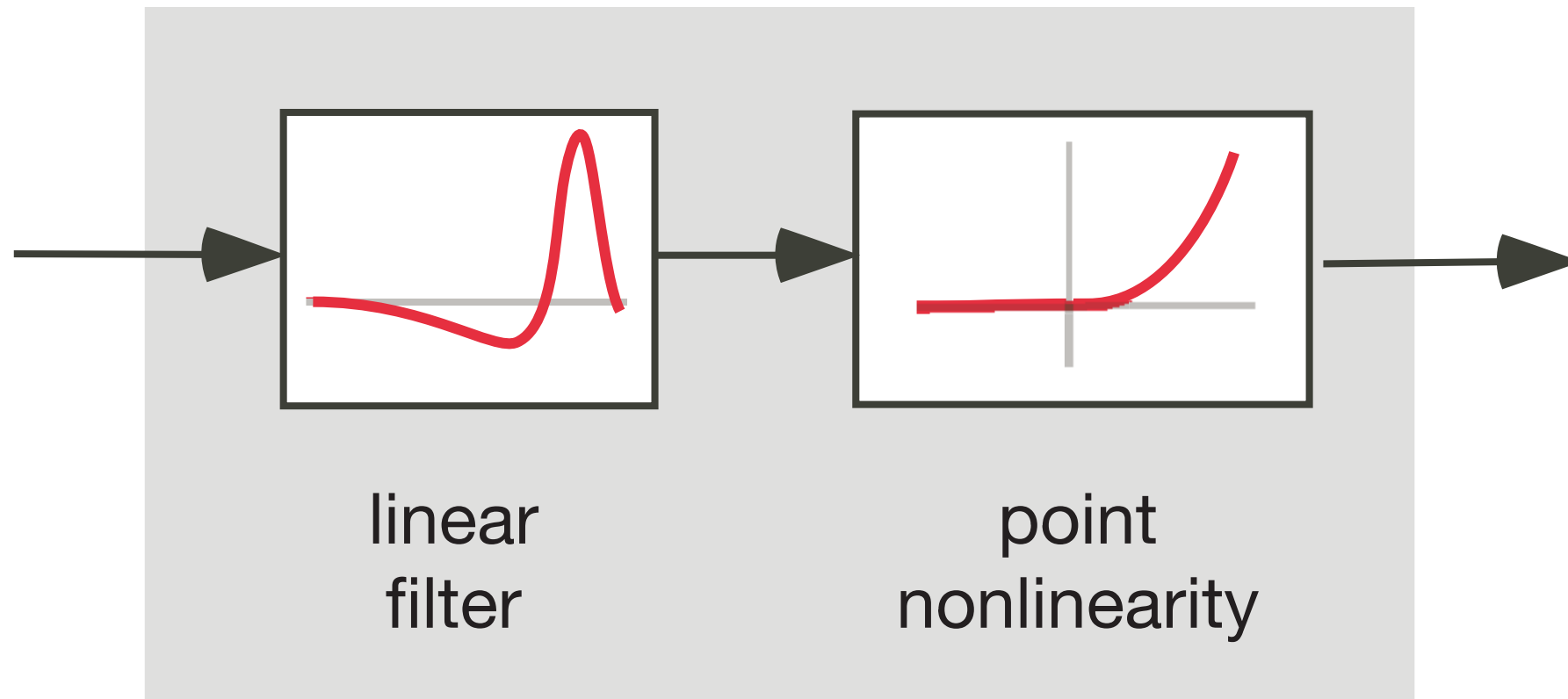
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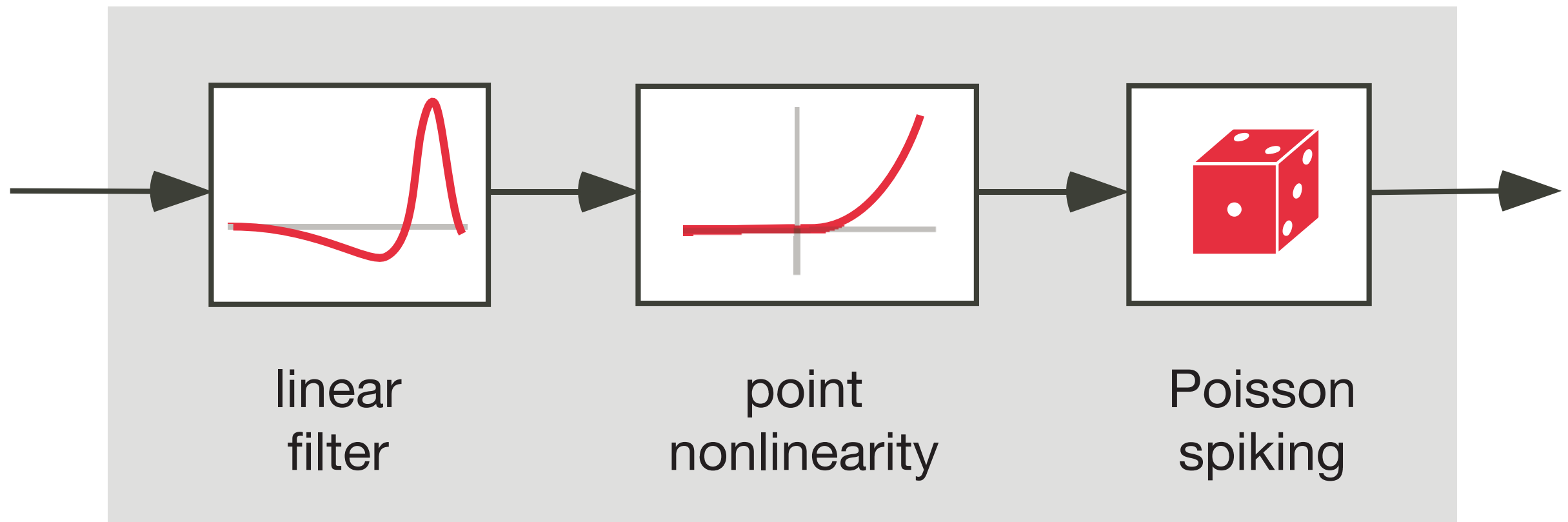


# LN cascade model



- Threshold-like nonlinearity  $\Rightarrow$  linear classifier
- Classic model for Artificial Neural Networks
  - McCullough & Pitts (1943), Rosenblatt (1957), etc
- No spikes (output is firing rate)

# LNP cascade model

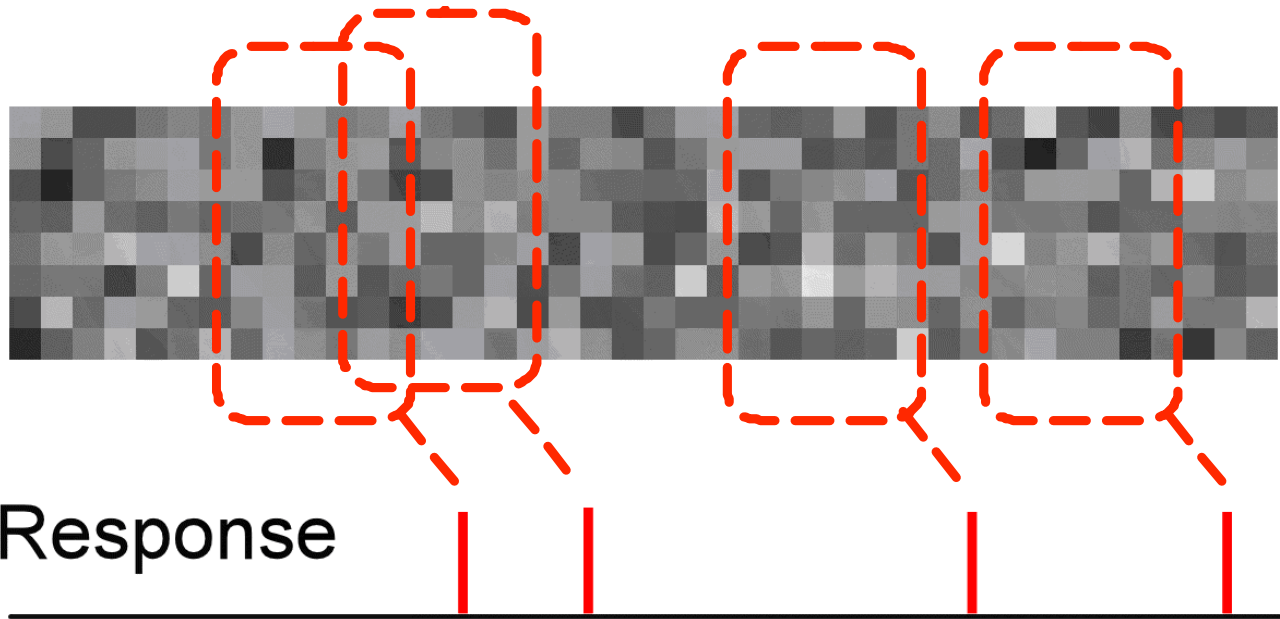


- Simplest successful descriptive spiking model
- Easily fit to (extracellular) data
- Descriptive, and interpretable (although *not* mechanistic)

# Geometric view of Poisson models

1D stimulus over time  
(e.g., flickering bars)

Stimulus



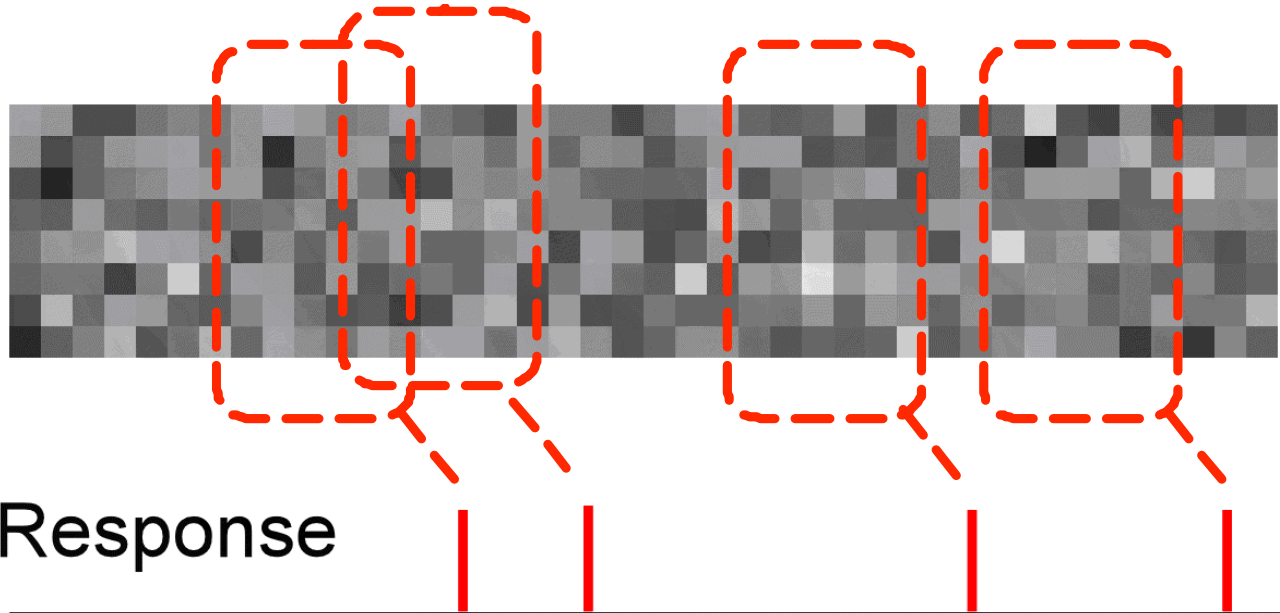
Response

time →

- 8 x 6 stimulus block  
= 48-dimensional vector

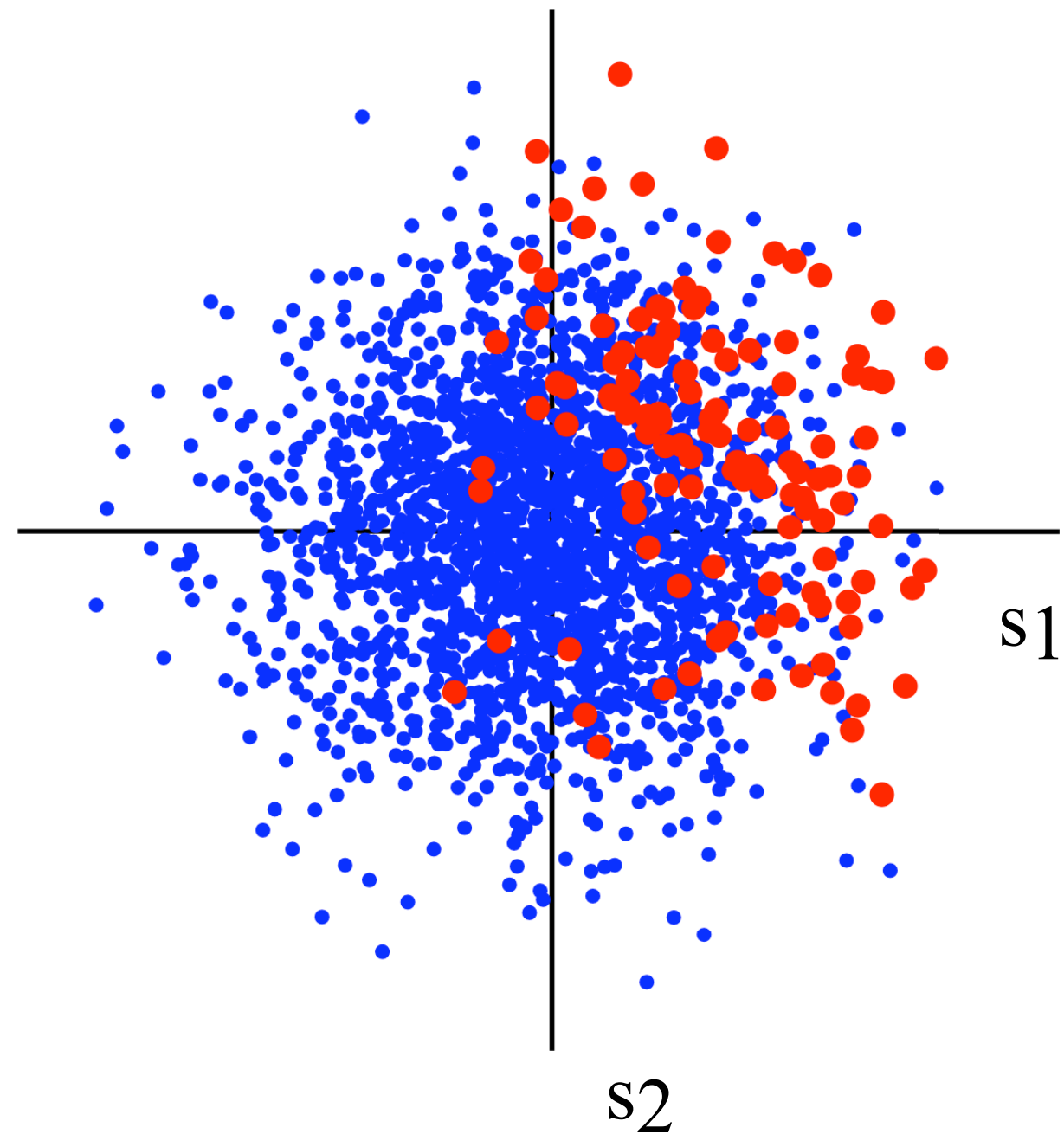
# Geometric picture

Stimulus



Response

time →



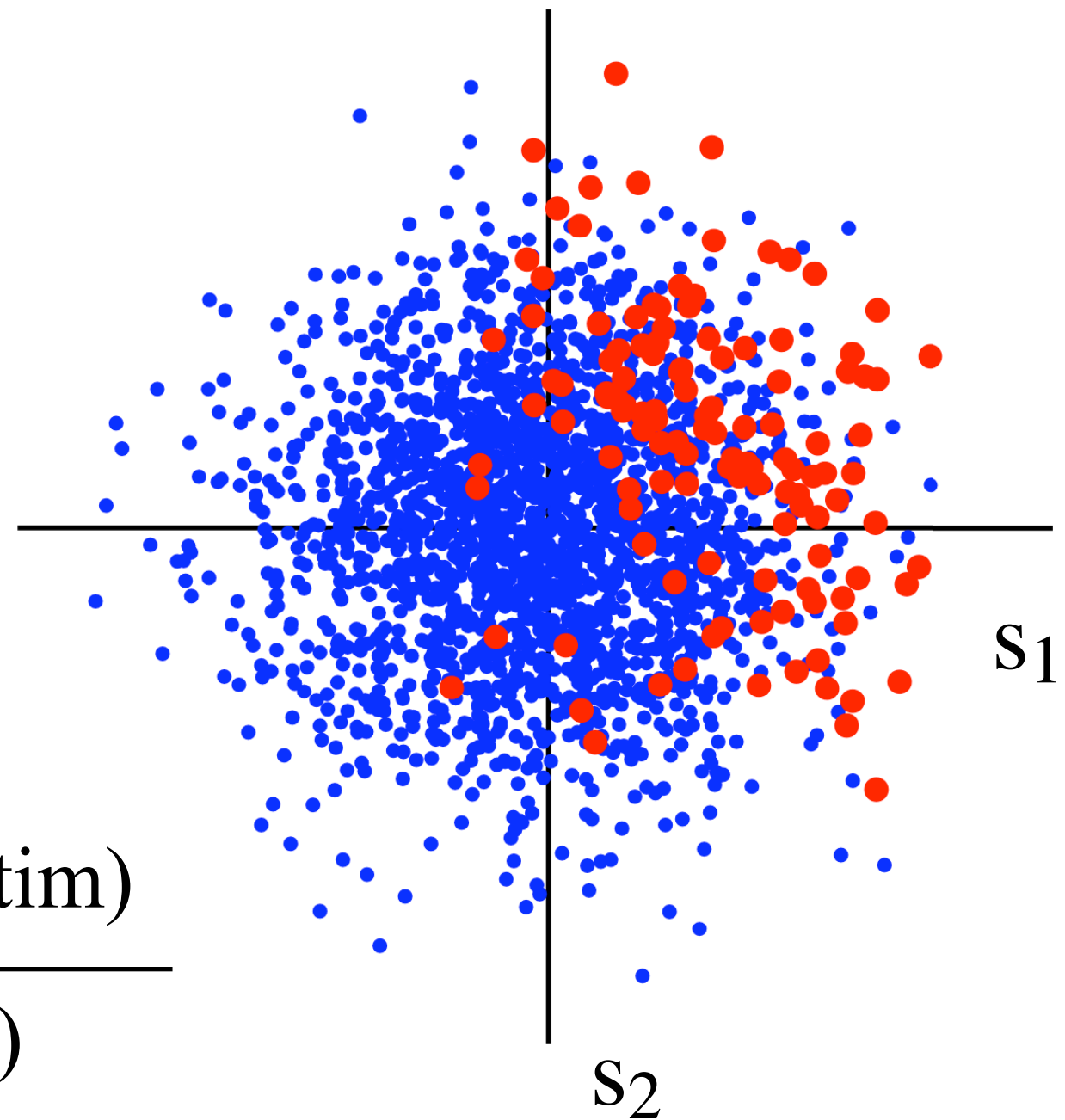
- raw stimuli
- spiking stimuli



Neural response is captured by relationship between the distribution of red points (spiking stim) and blue points (raw stim)

Expressed in terms of Bayes' rule:

$$P(\text{spike}|\text{stim}) = \frac{P(\text{spike, stim})}{P(\text{stim})}$$



Cannot be estimated directly

# ML estimation of LNP

[on board]

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If  $f_{\theta}(\vec{k} \cdot \vec{x})$  is convex (in argument and theta),  
and  $\log f_{\theta}(\vec{k} \cdot \vec{x})$  is concave,  
the likelihood of the LNP model is convex  
(for all observed data,  $\{n(t), \vec{x}(t)\}$  )

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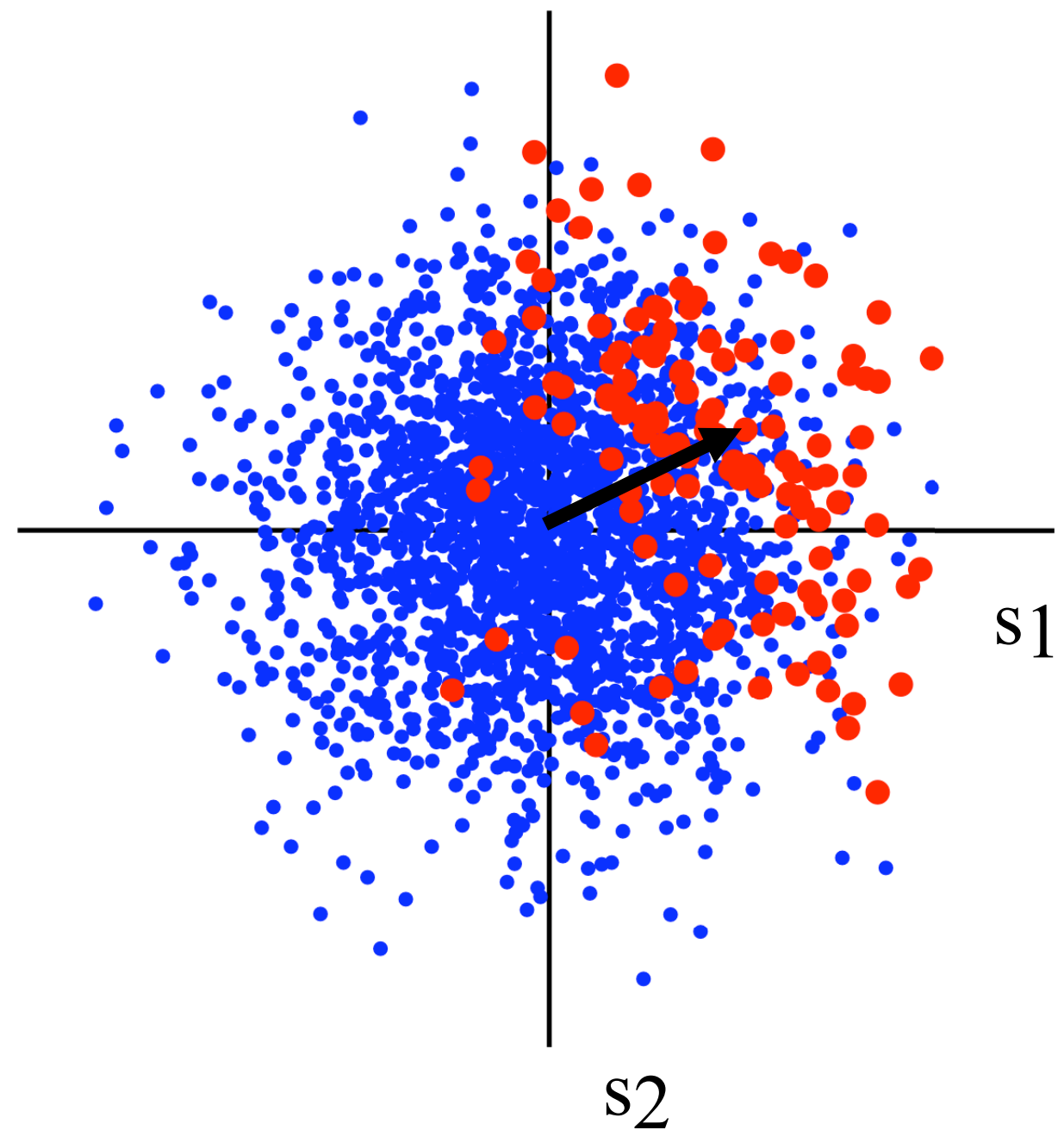
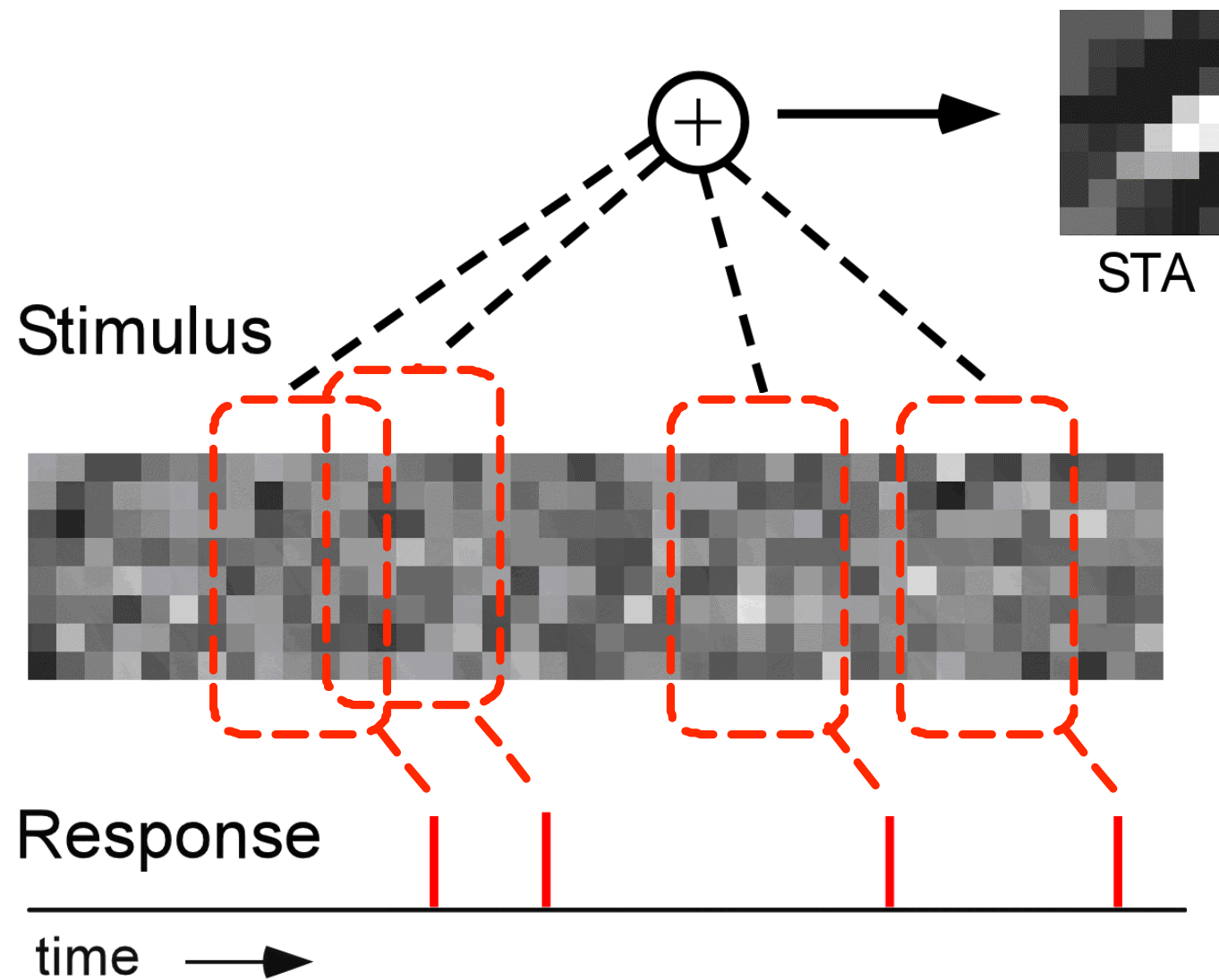
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Examples:  $e^{(\vec{k} \cdot \vec{x}(t))}$   
 $(\vec{k} \cdot \vec{x}(t))^{\alpha}, \quad 1 < \alpha < 2$

# Simple LNP fitting

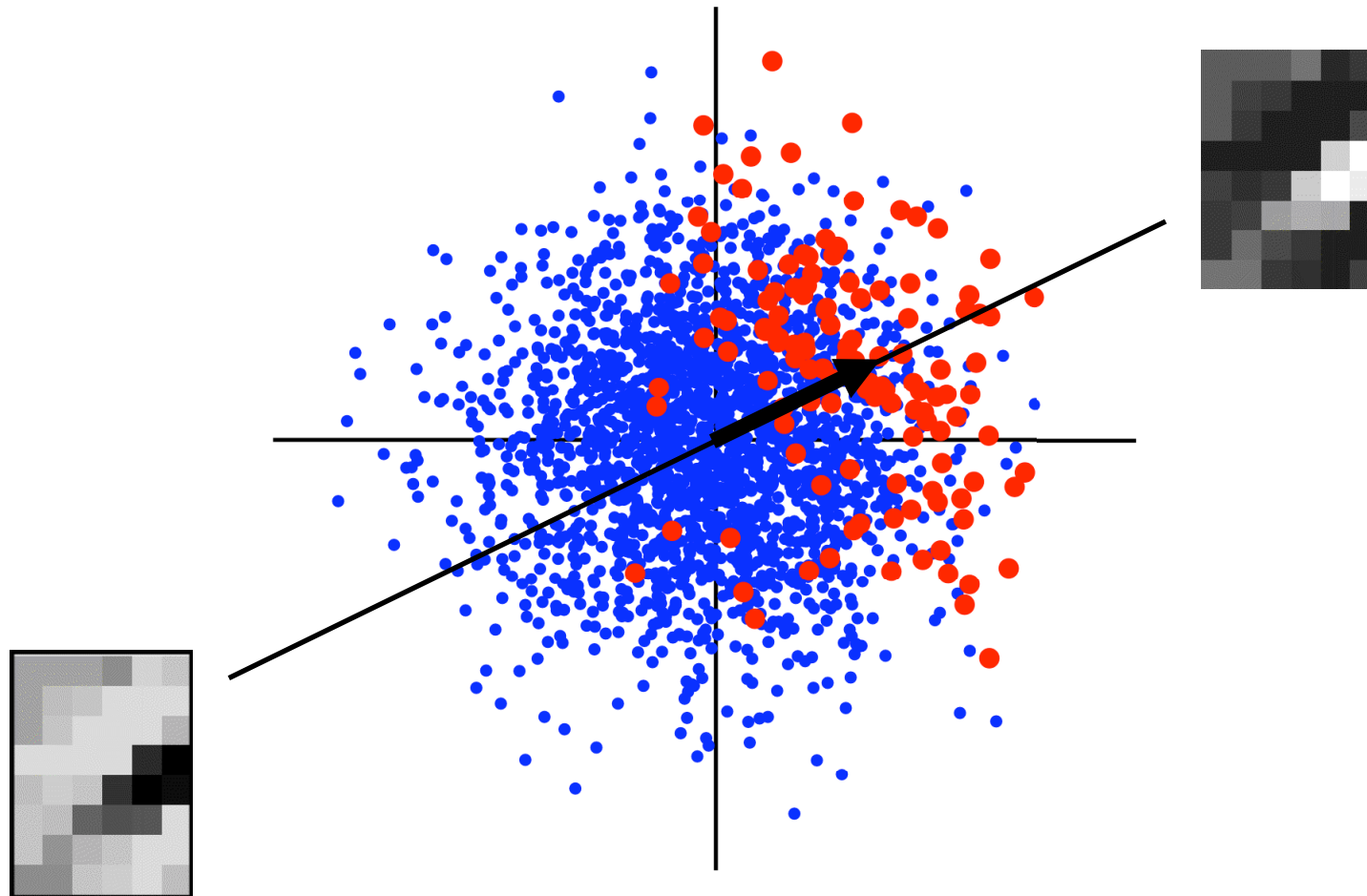
- Assuming:
  - stochastic stimuli, spherically distributed
  - spike counts in small time bins (0,1)
  - neural response is such that mean of spike-triggered ensemble is shifted
- Reverse correlation gives an unbiased estimate of  $k$  [on board]
- For exponential  $f$ , this is same as ML

# Computing the STA

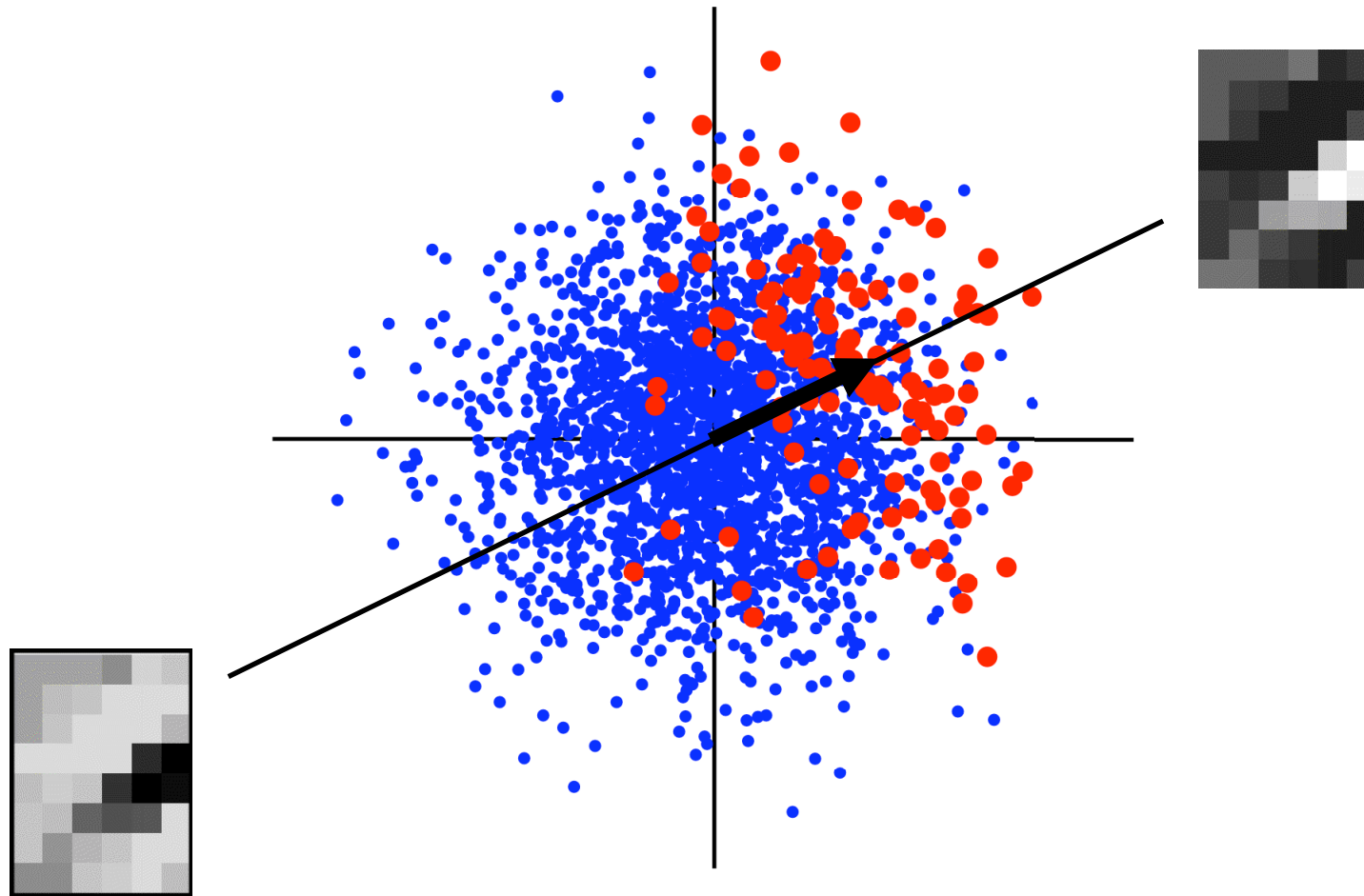


- raw stimuli
- spiking stimuli

STA corresponds to a “direction” in stimulus space



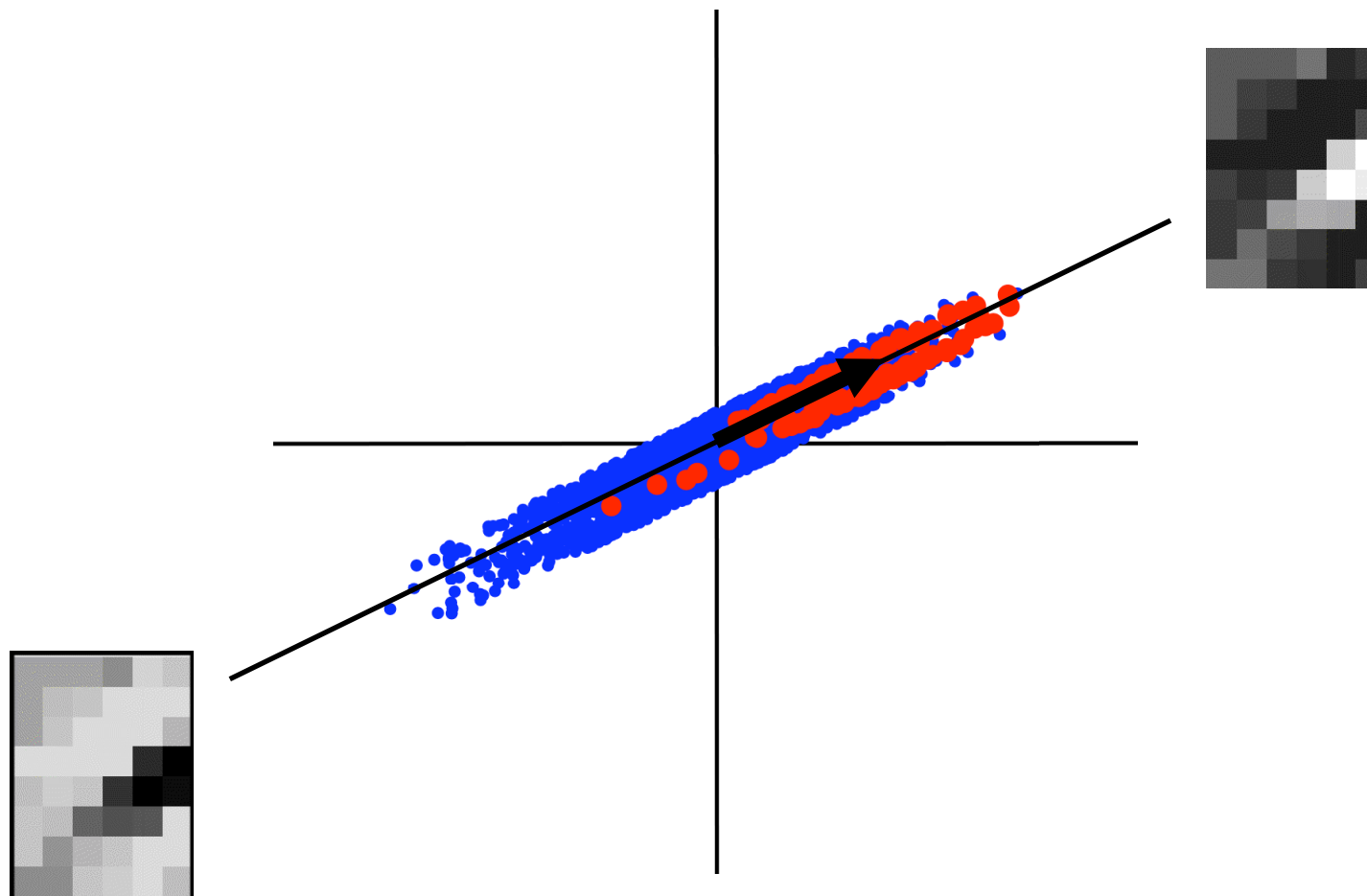
# Projecting onto the STA



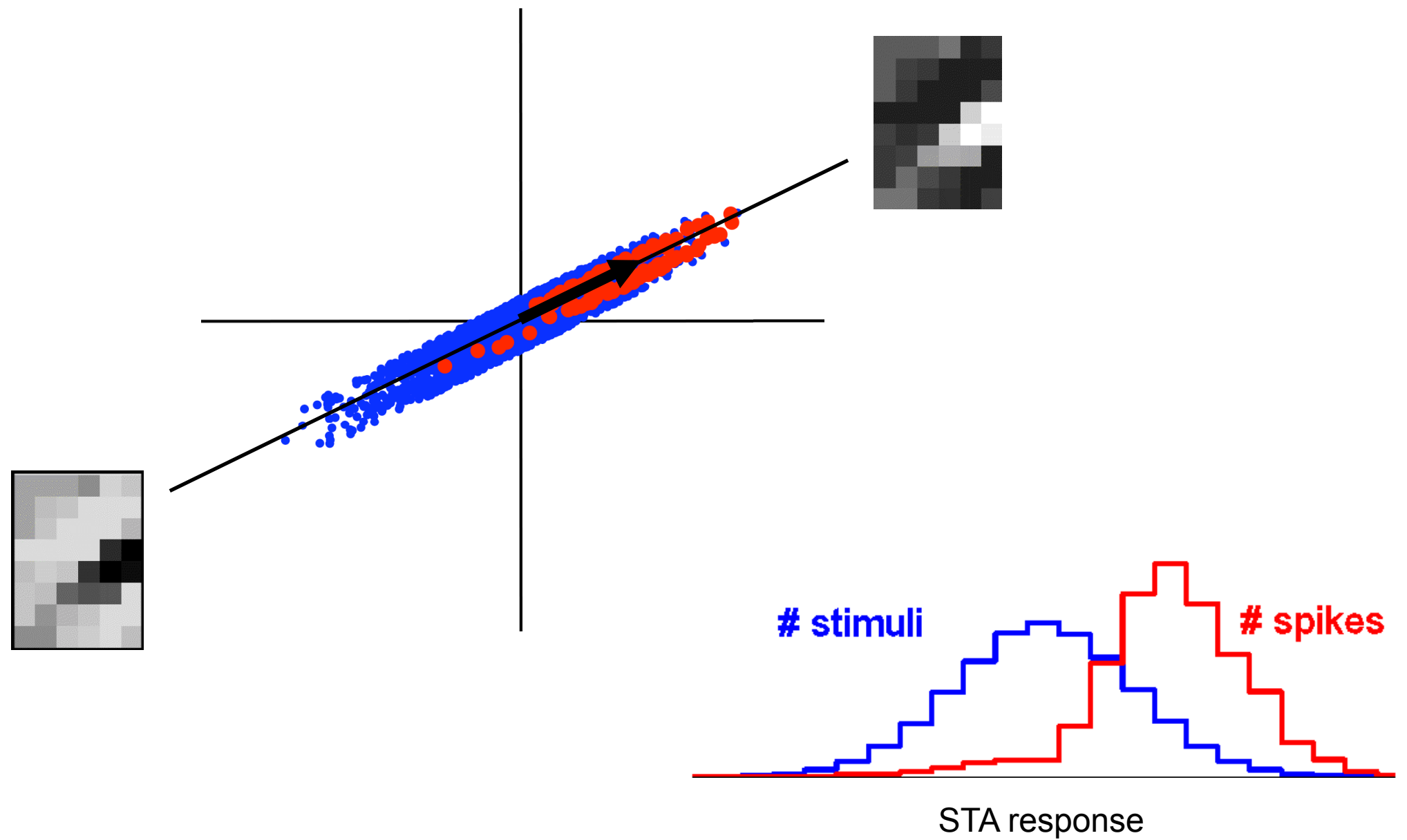
$$P \left( \text{spike}(t) \mid \vec{k} \cdot \vec{s}(t) \right) = P \left( \text{spike}(t) \ \& \ \vec{k} \cdot \vec{s}(t) \right) / P \left( \vec{s}(t) \right)$$



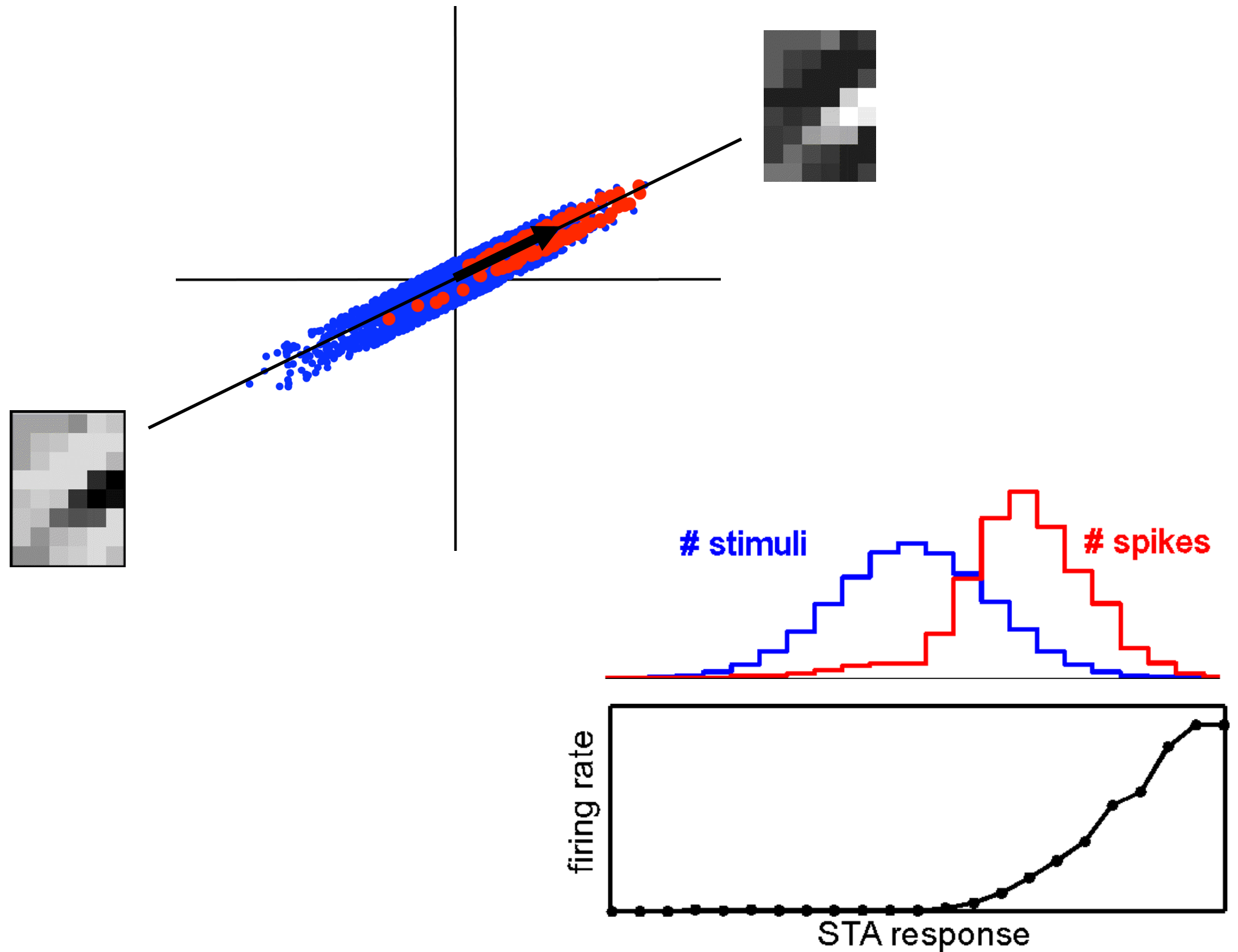
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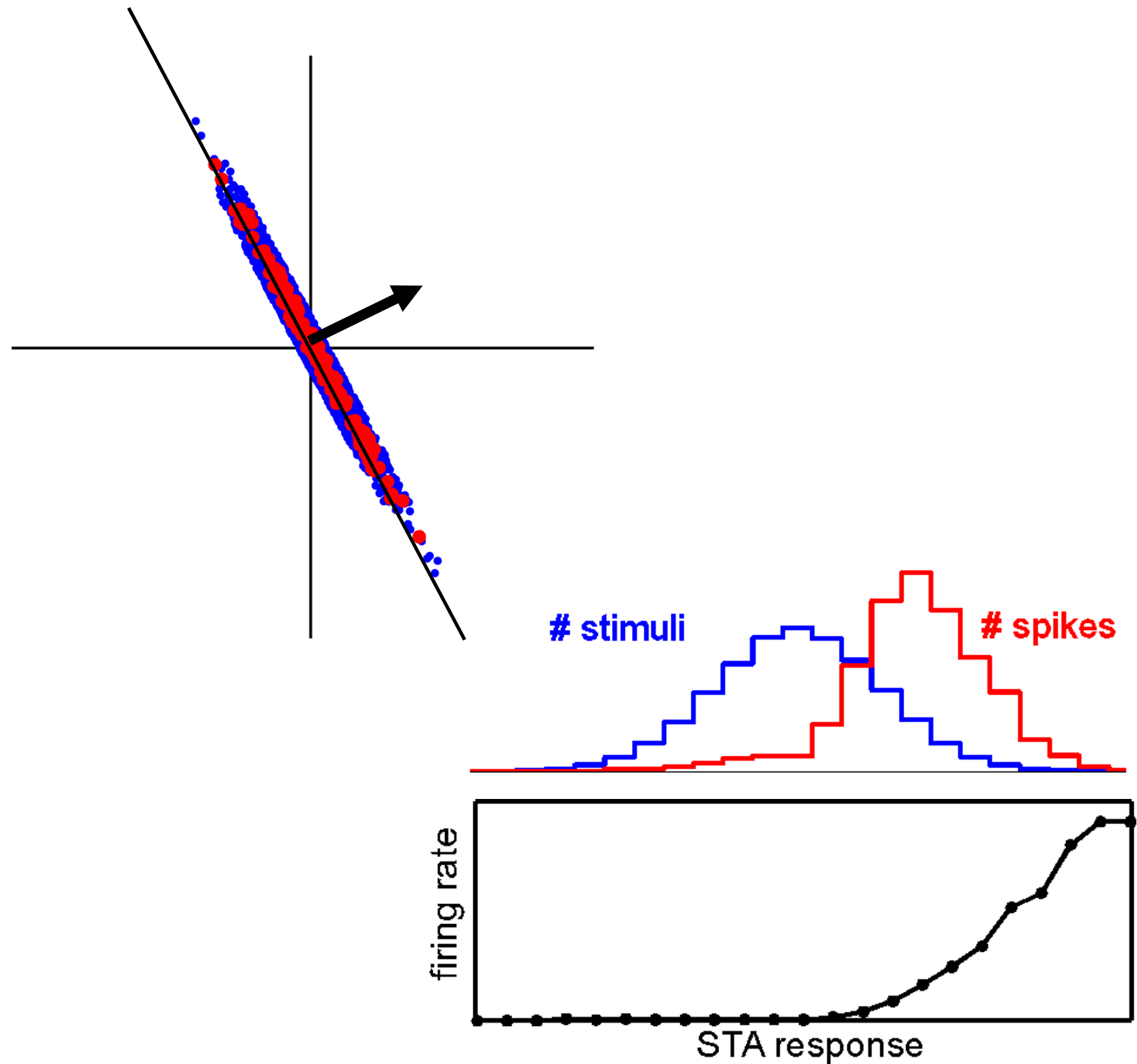
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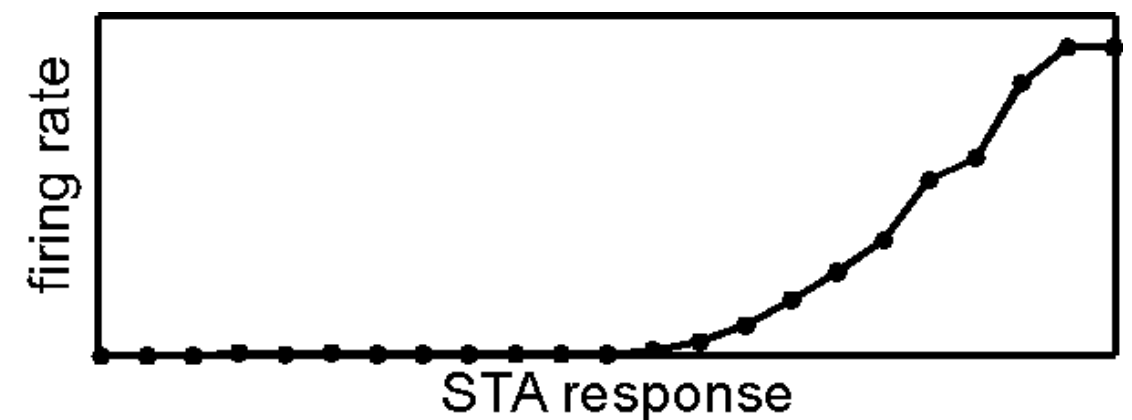
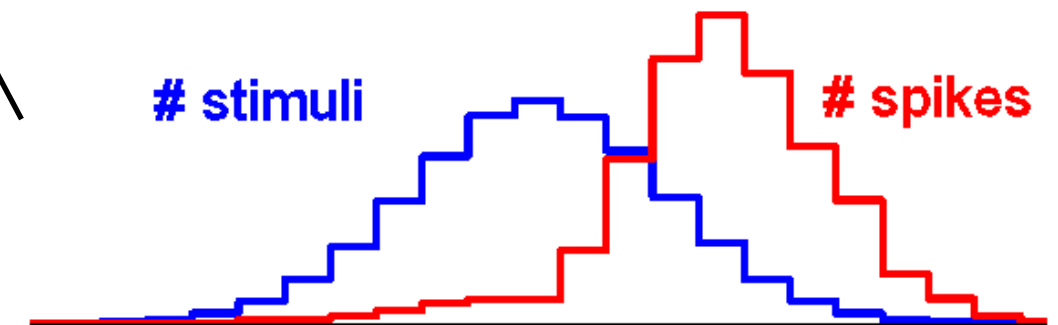
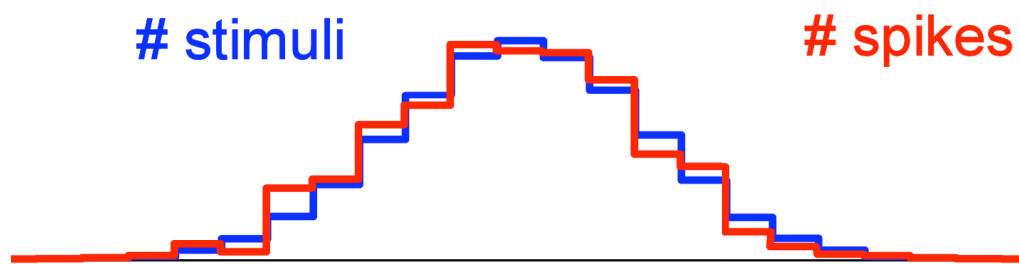
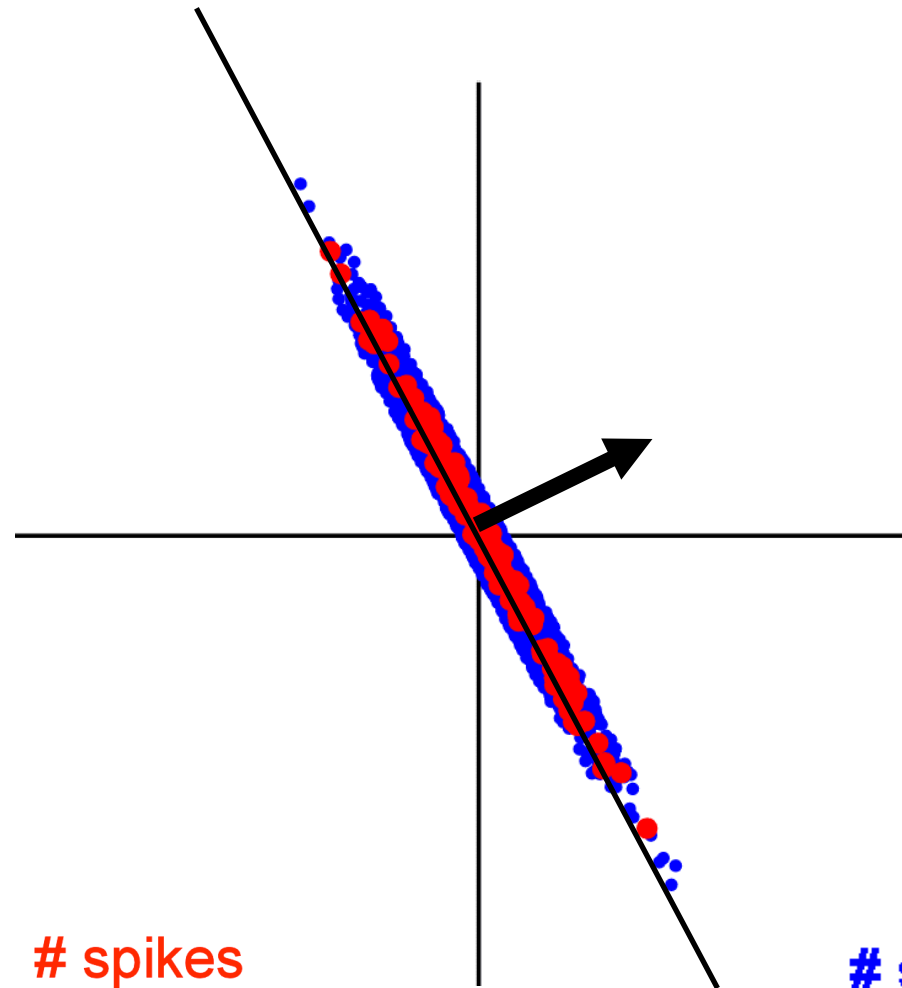
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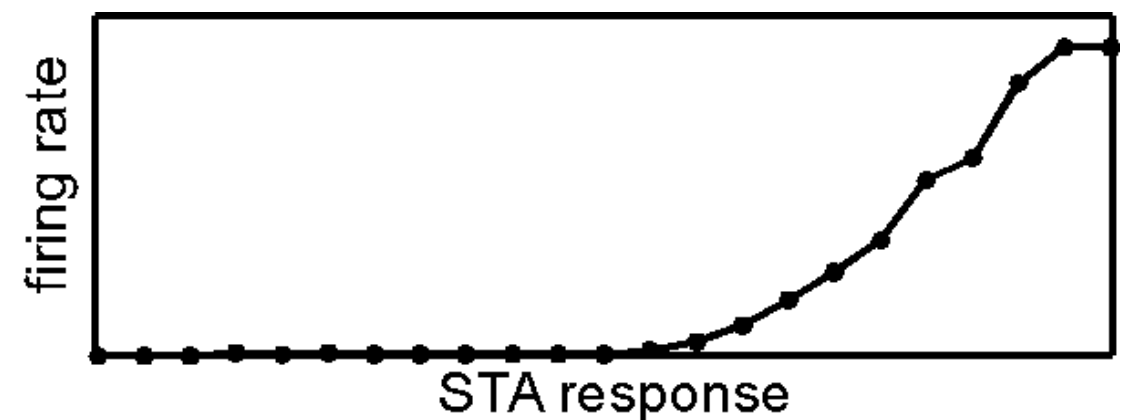
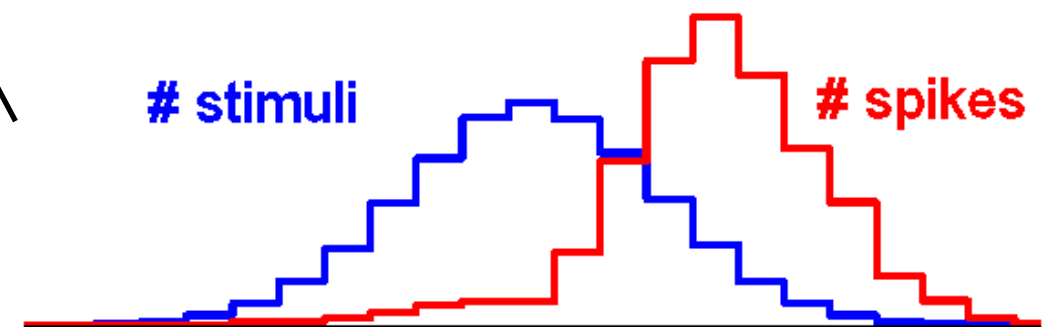
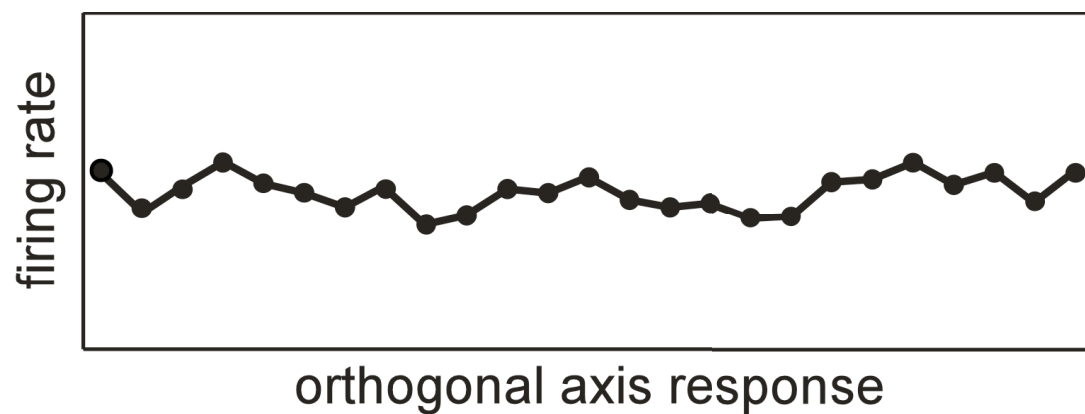
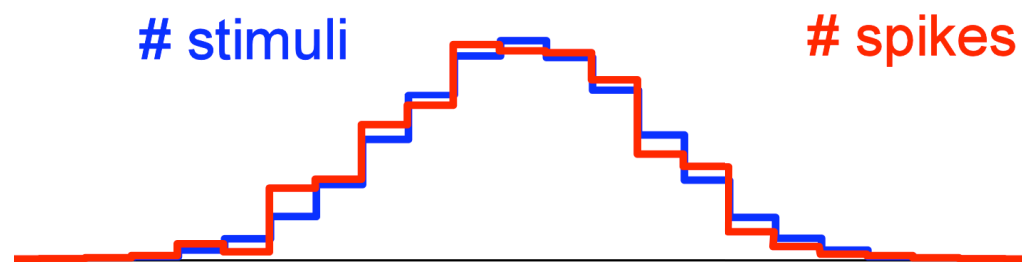
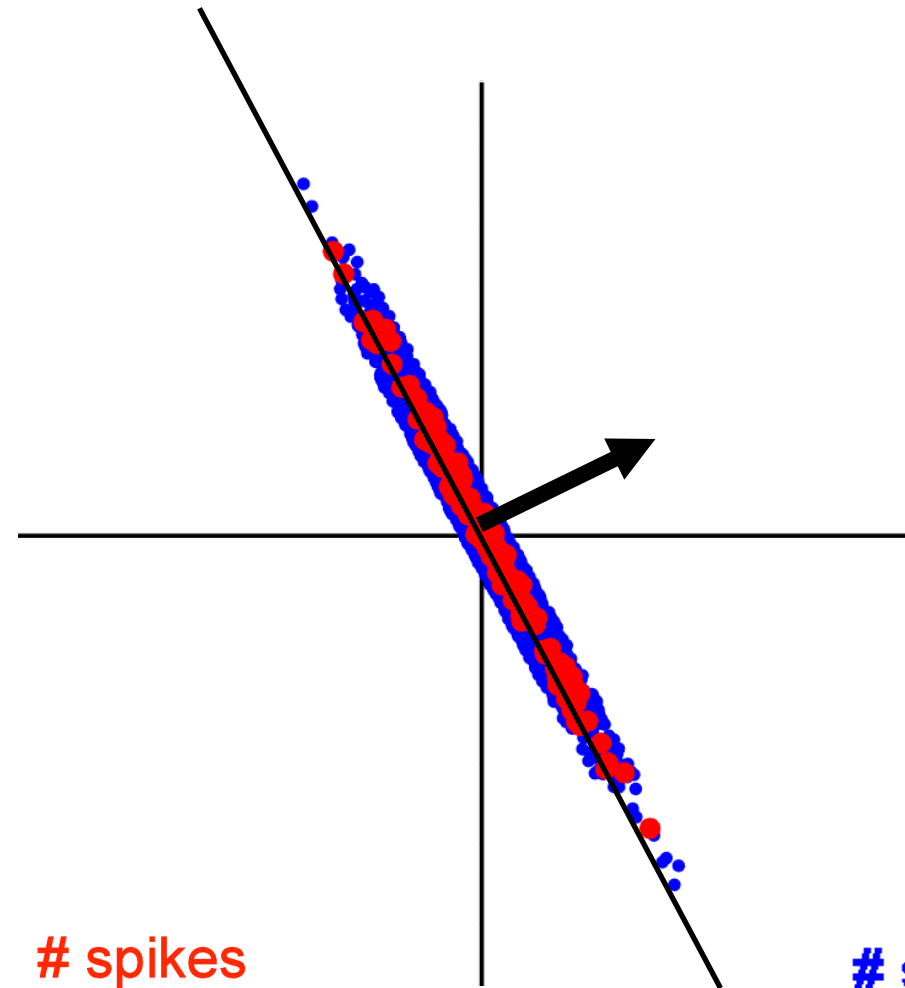
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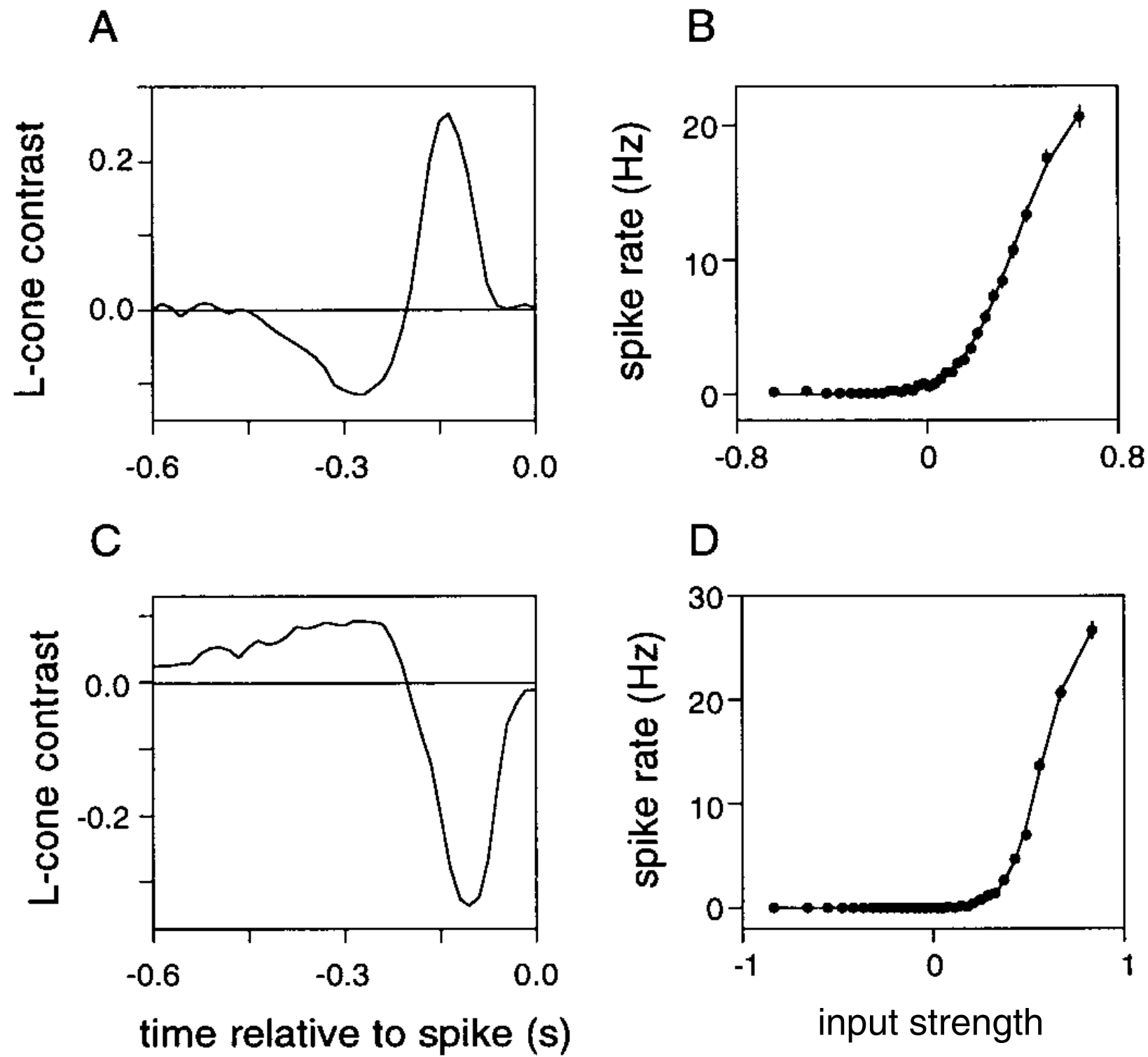


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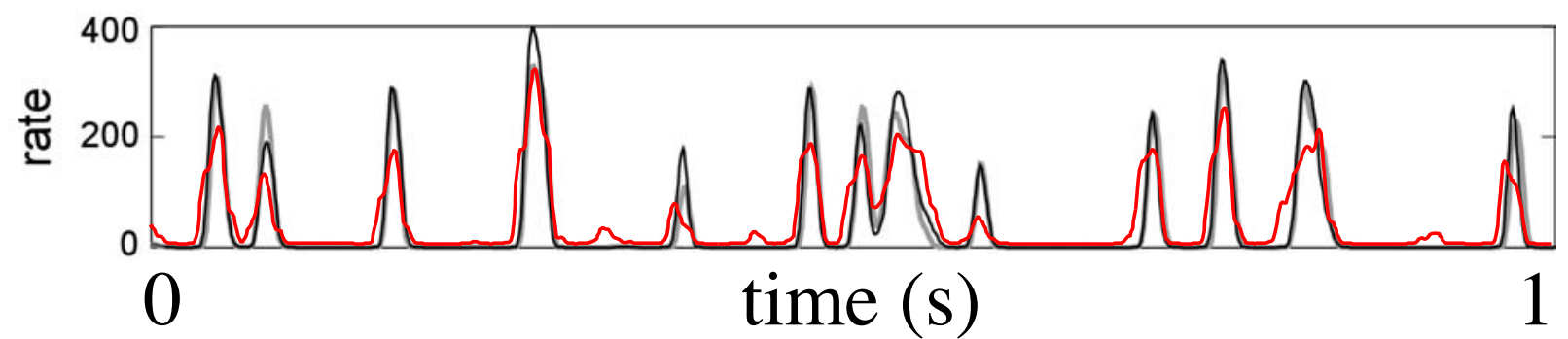
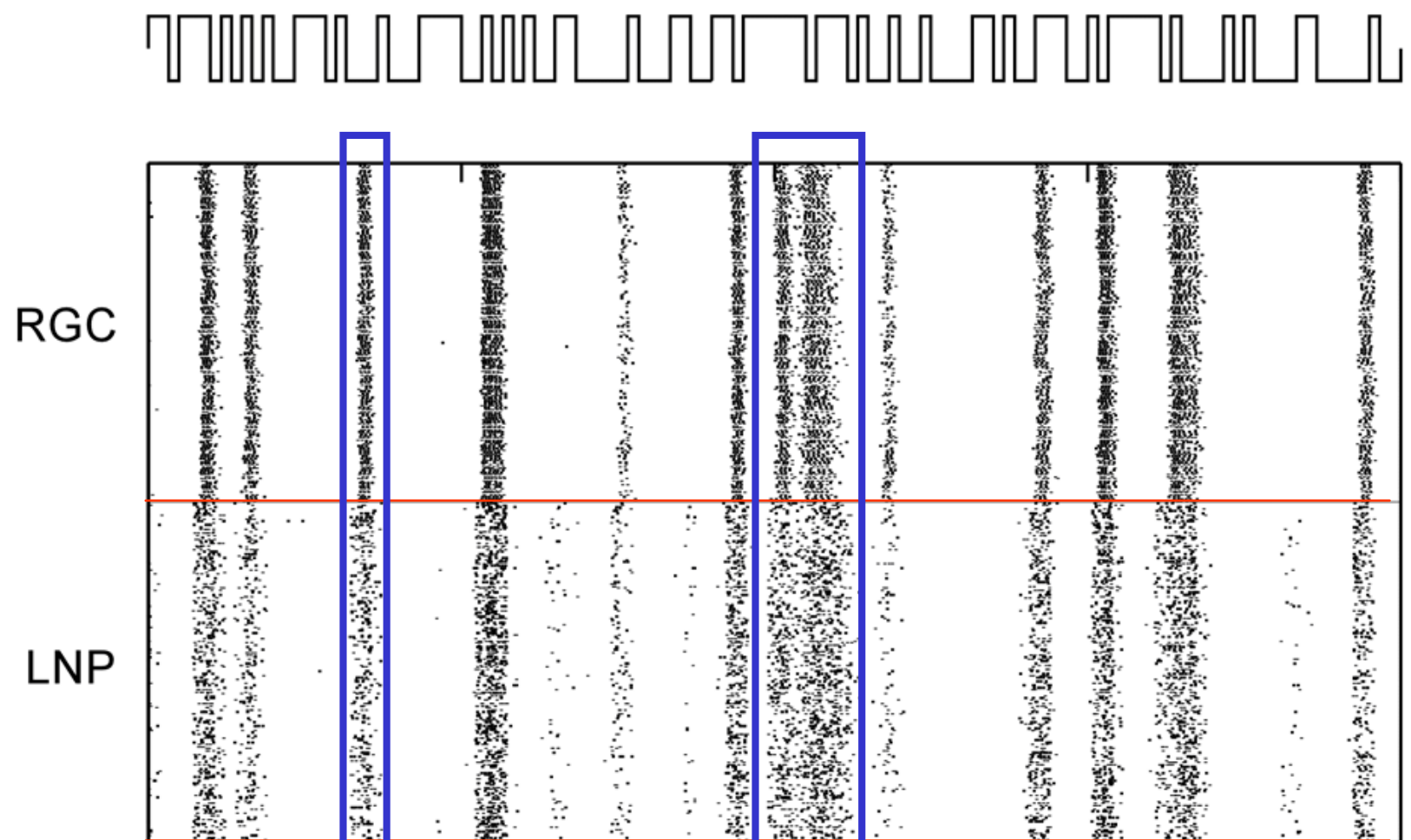


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*Figure 3.* Characterization of light response in one ON cell (*A, B*) and one OFF cell (*C, D*) simultaneously recorded in salamander retina. *A, C,*

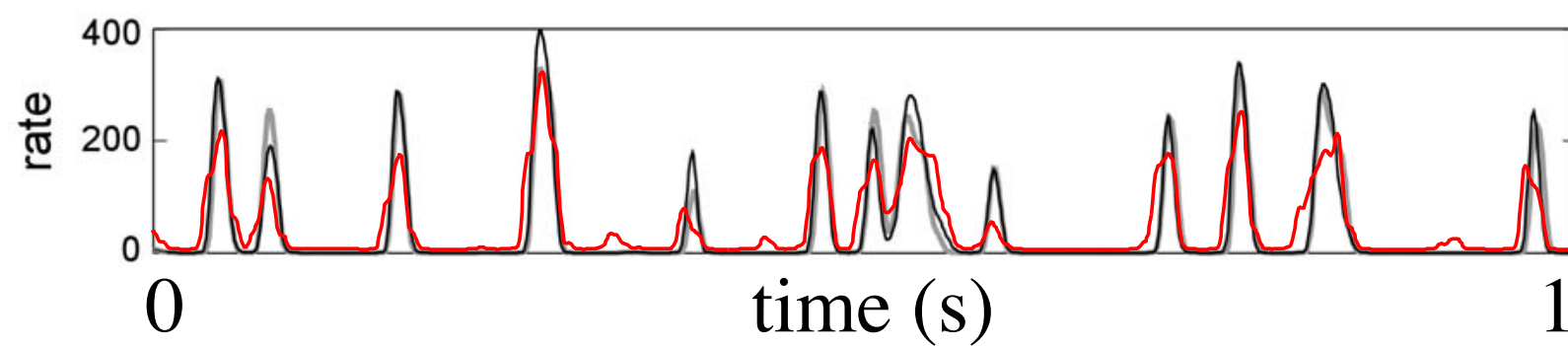
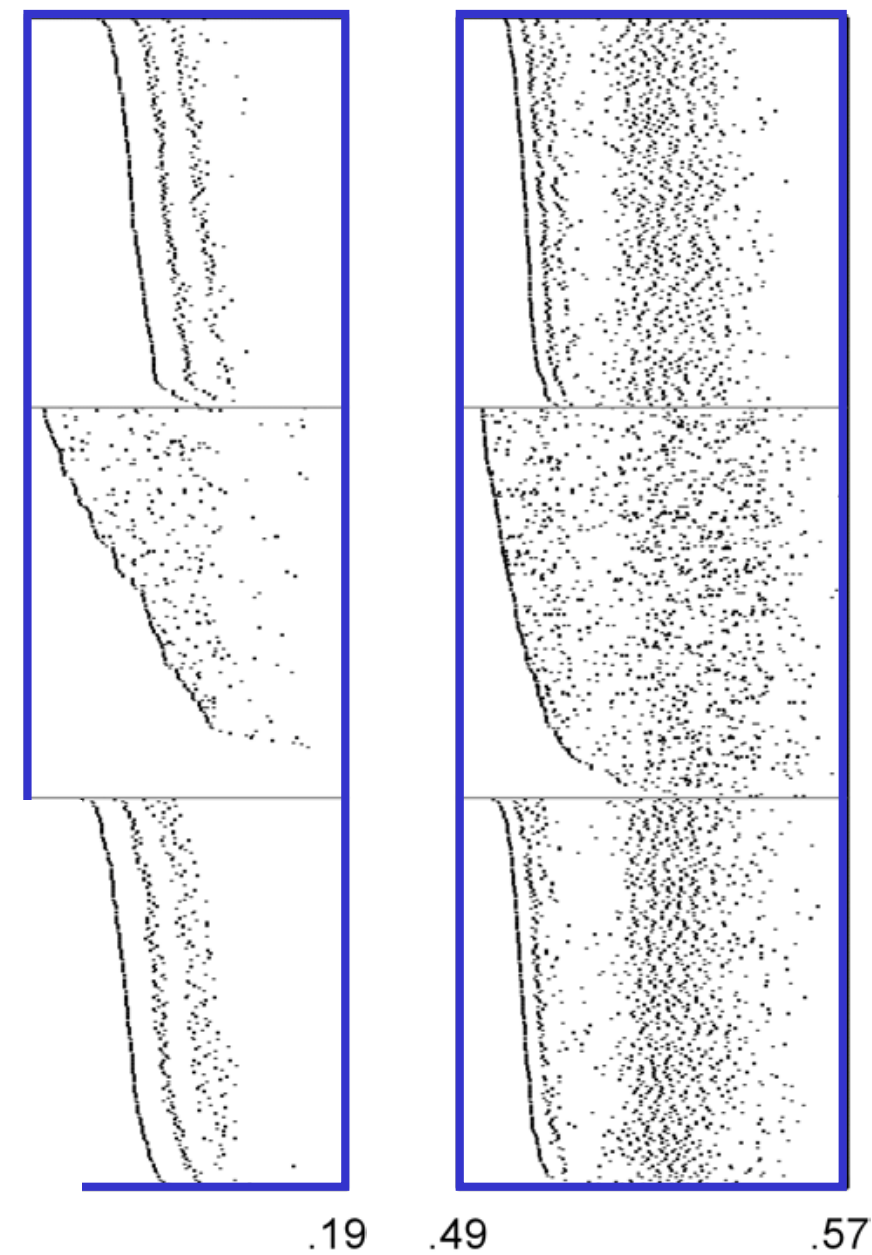
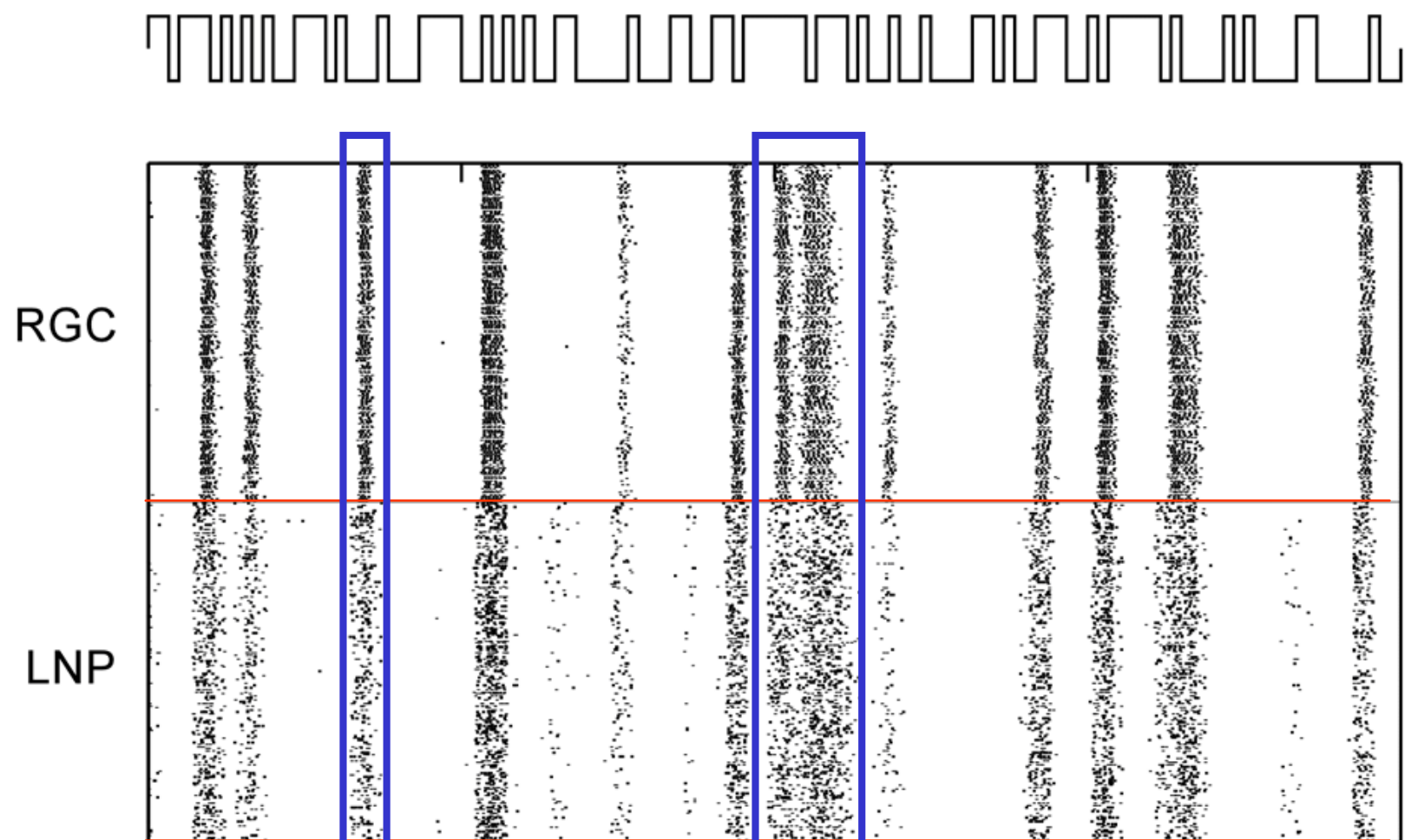


— RGC  
— LNP

74% of var

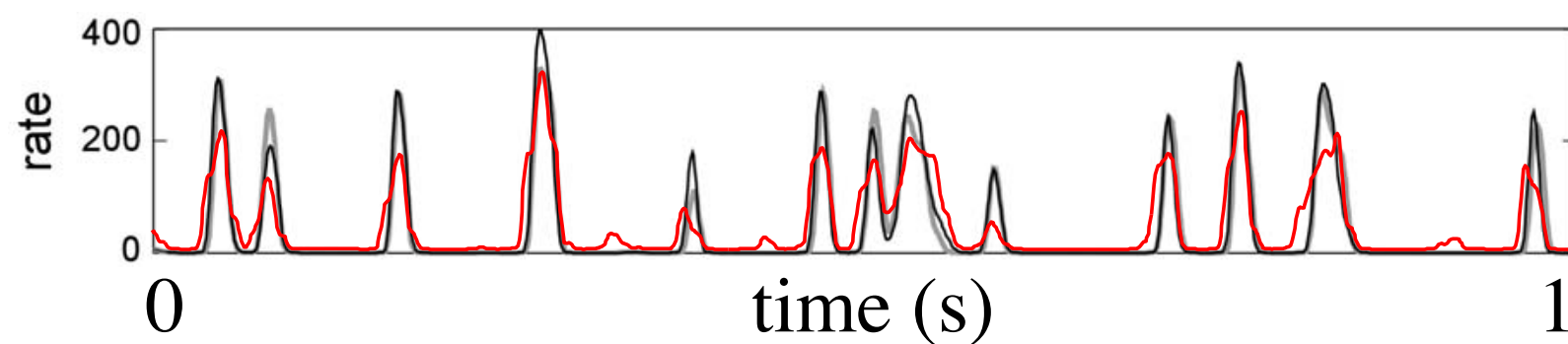
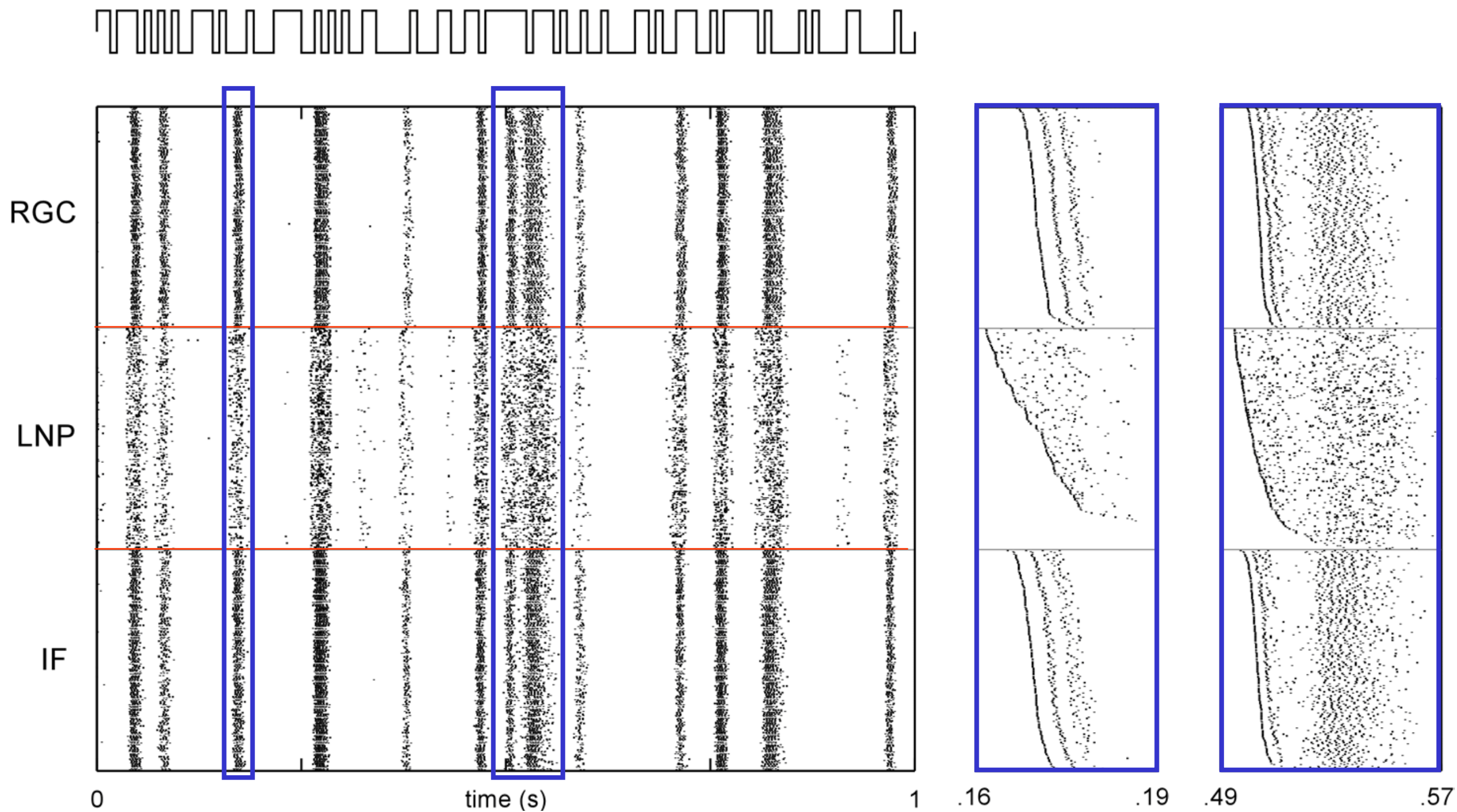
- Pillow et al, 2004





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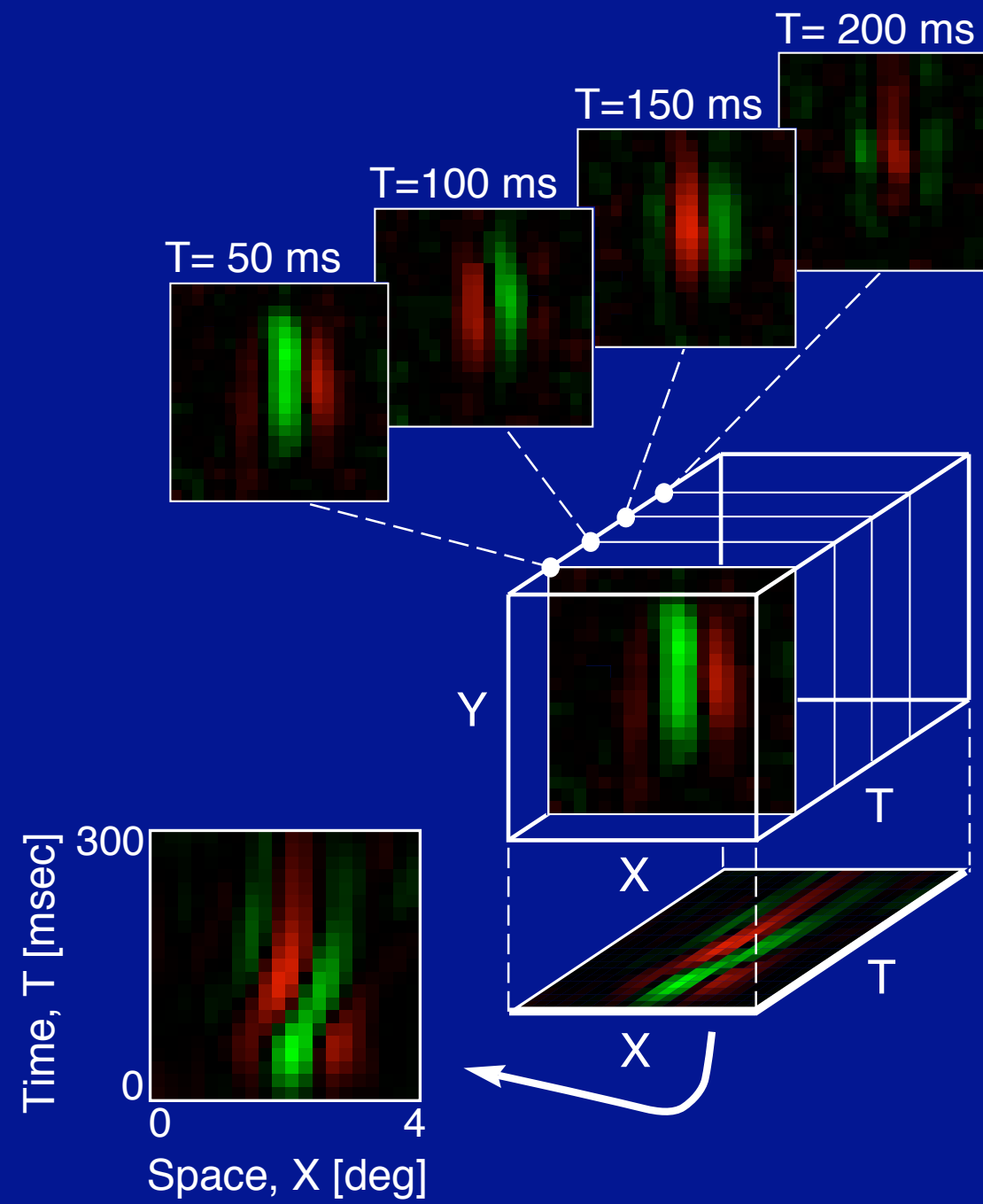
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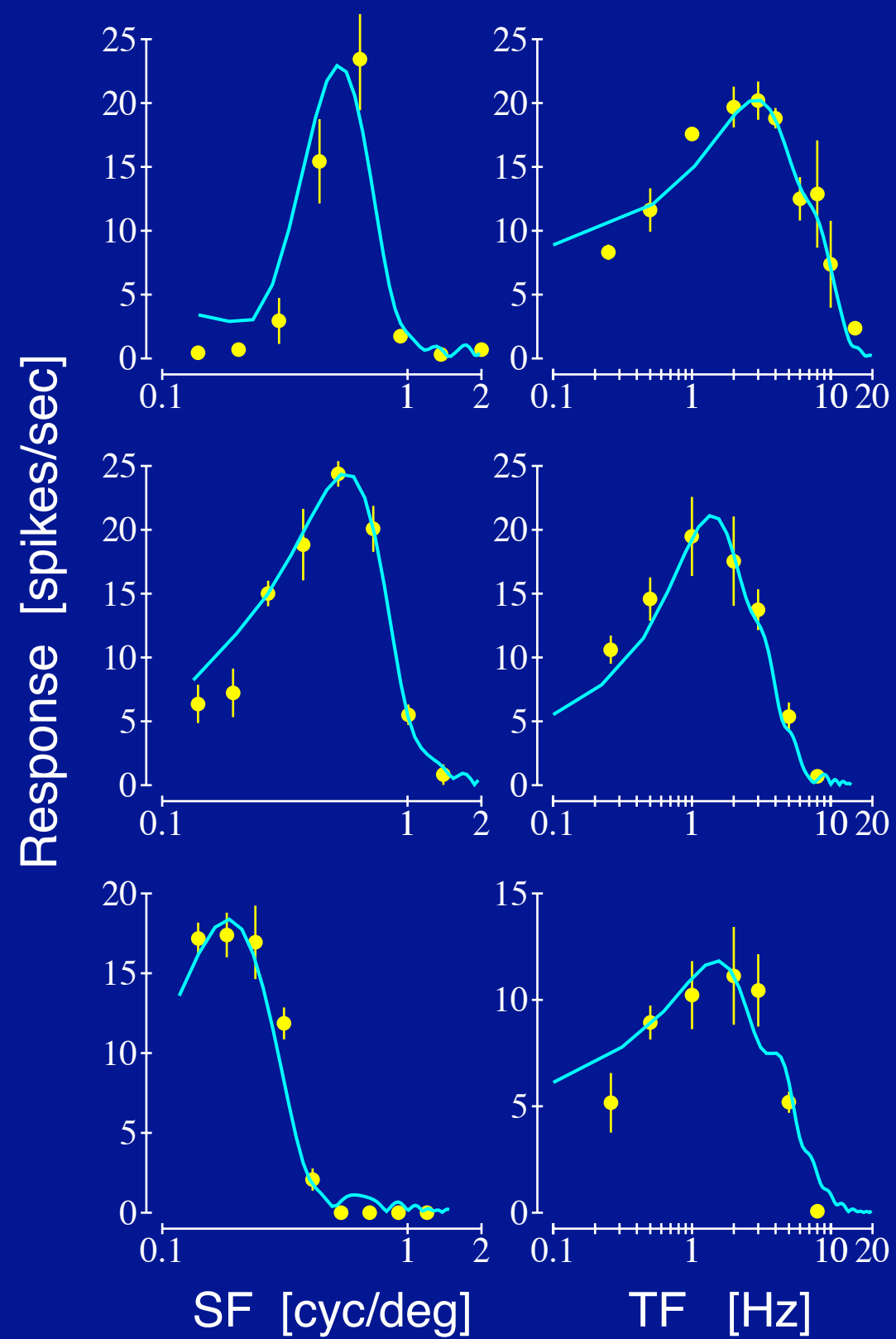
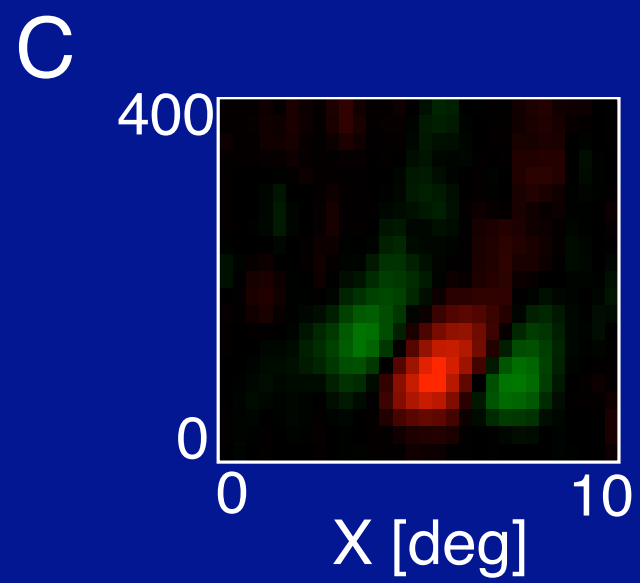
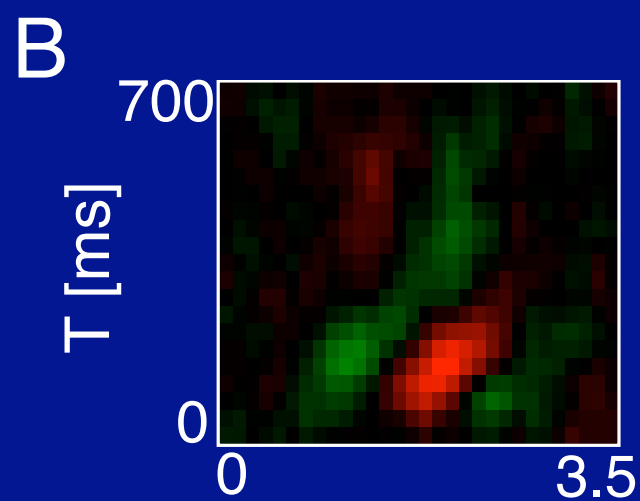
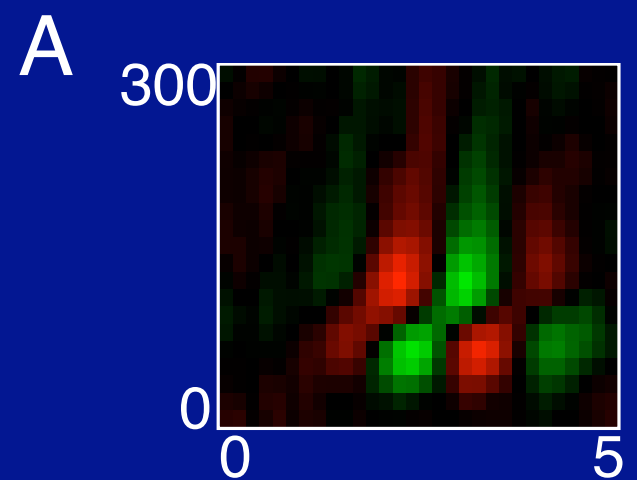
— LNP

74% of var

- Pillow et al, 2004

V1  
simple  
cell





# LNP summary

- LNP is the defacto standard descriptive model, and is implicit in much of the experimental literature
- Accounts for basic RF properties
- Accounts for basic spiking properties (rate code)
- Easily fit to data
- Easily interpreted
- BUT, non-mechanistic, and exhibits striking failures (esp. beyond early sensory/motor) ...

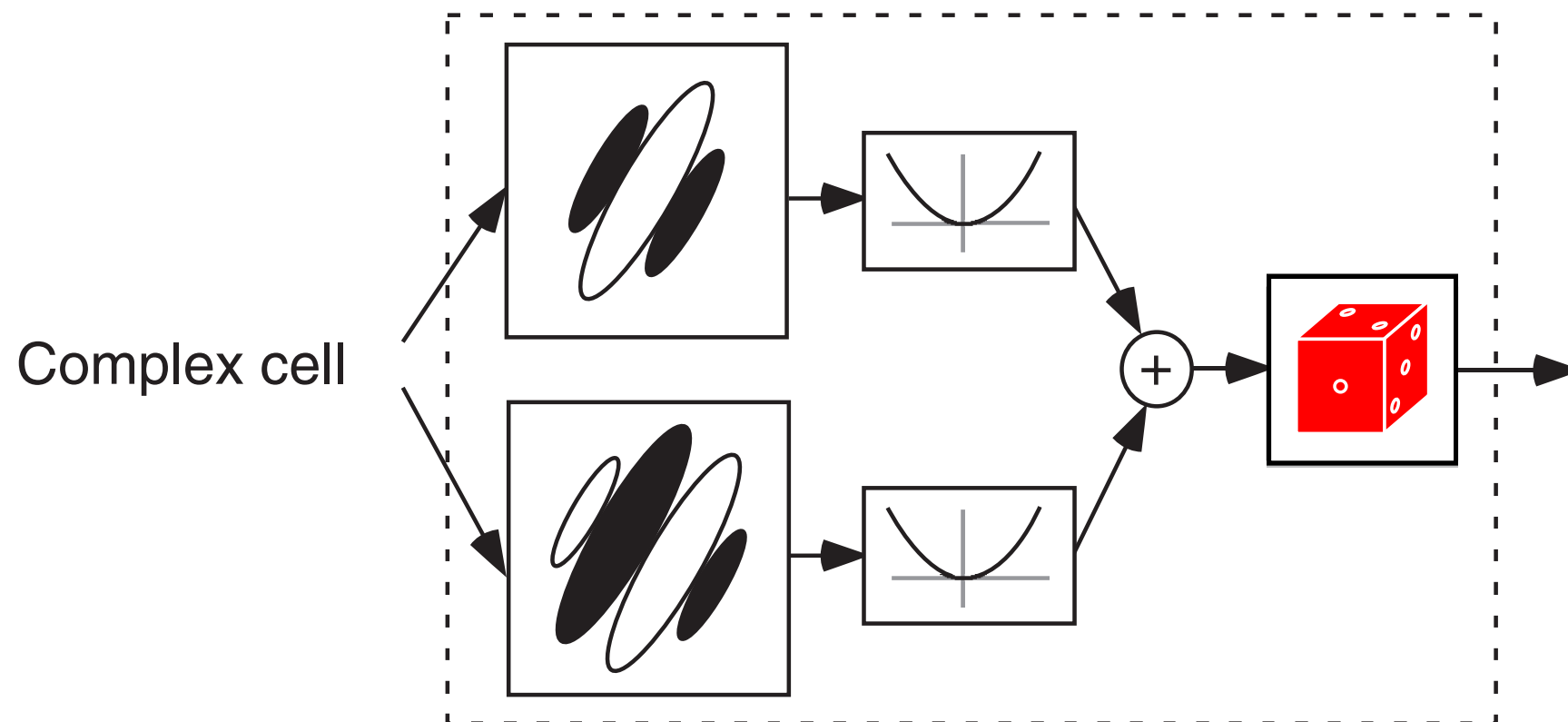
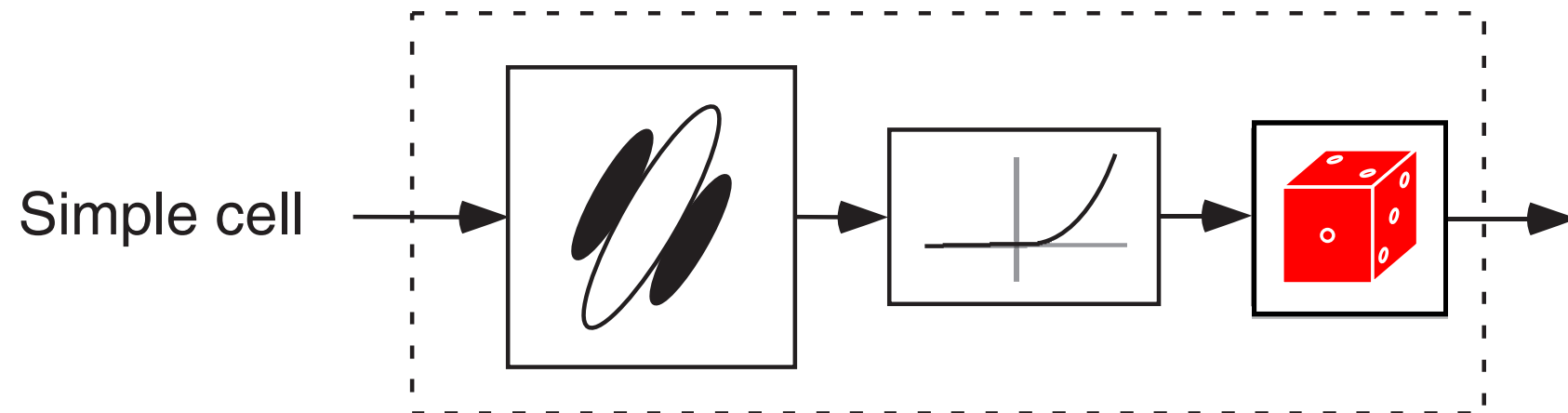
# LNP limitations

- Symmetric nonlinearities and/or multi-dimensional front-end (e.g., V1 complex cells)

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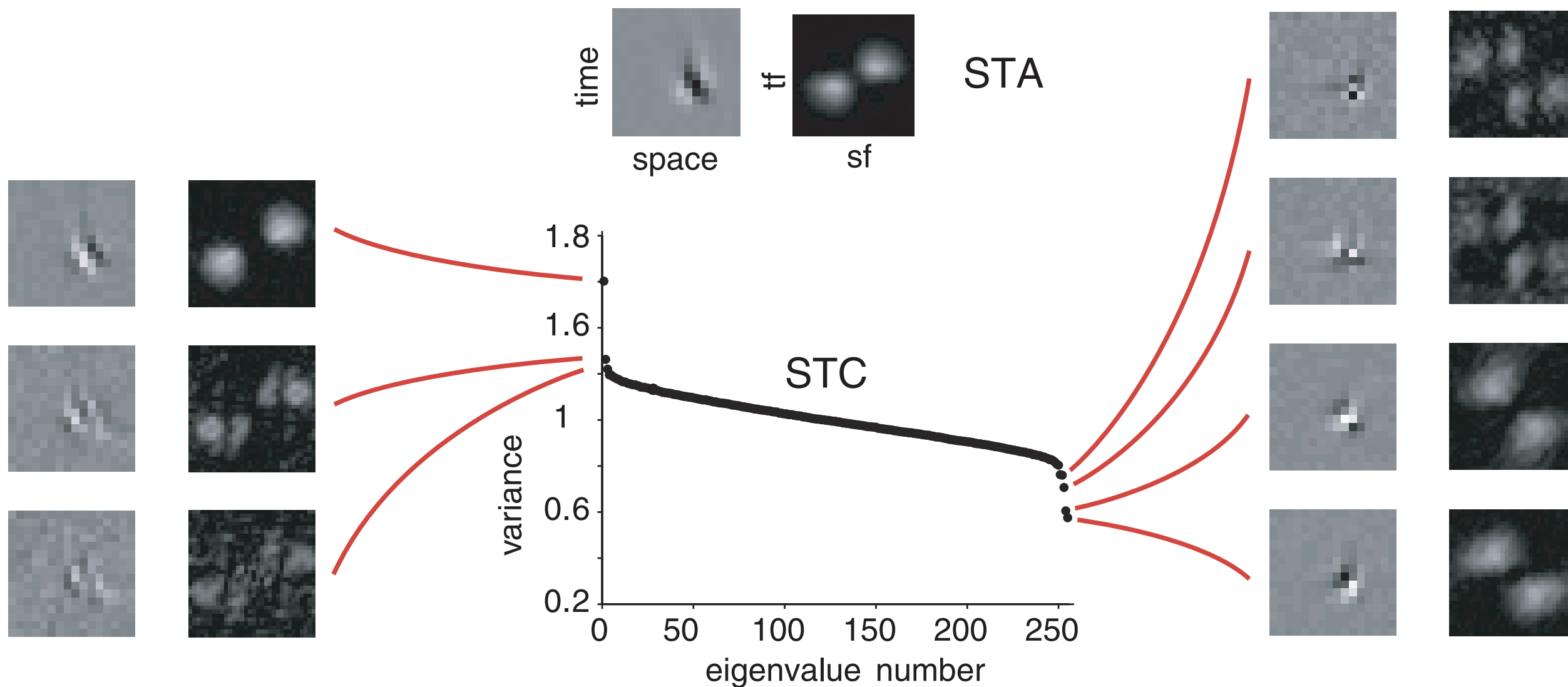
- Symmetric nonlinearities and/or multi-dimensional front-end (e.g., V1 complex cells)  
➡ Subspace LNP

# Classic V1 models





# V1 simple cell



[Rust, Schwartz, Movshon, Simoncelli, '05]

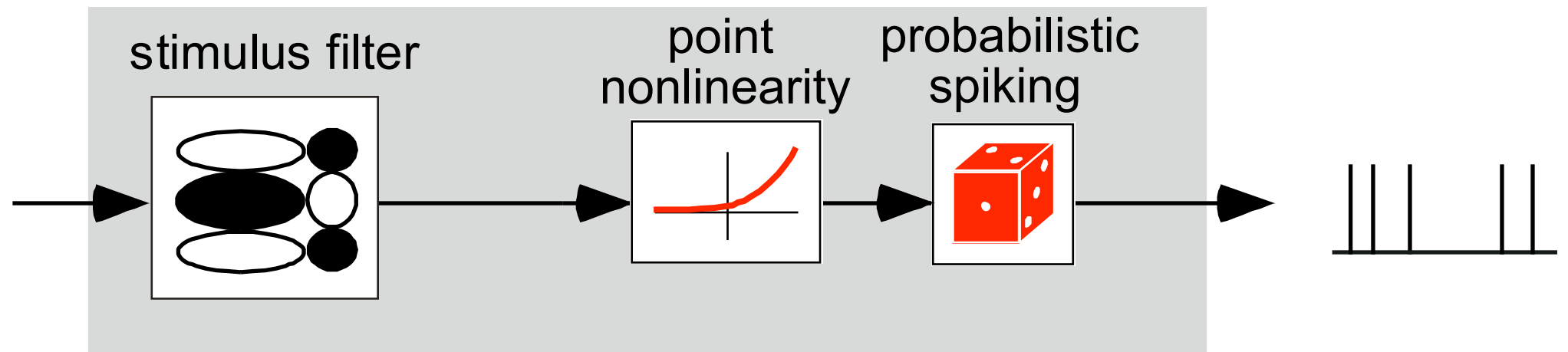
# LNP limitations

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- Responses depend on spike history, other cells

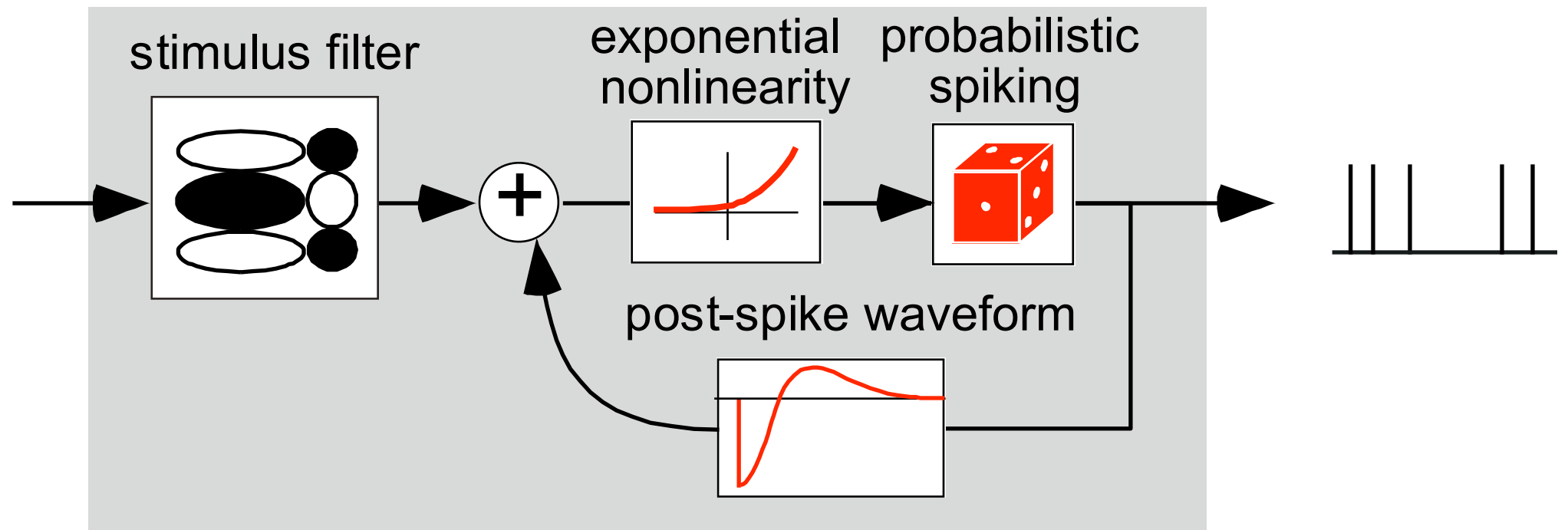
# LNP limitations

- Symmetric nonlinearities and/or multi-dimensional front-end (e.g., V1 complex cells)  
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- Responses depend on spike history, other cells  
➡ Recursive models (GLM) [paninski]

# Linear-Nonlinear-Poisson (LNP)



# Recursive LNP



[Truccolo et al '05;  
Pillow et al '05]

# LNP limitations

- Symmetric nonlinearities and/or multi-dimensional front-end (e.g., V1 complex cells)  
➡ **Subspace LNP** [movshon lecture?]
- Responses depend on spike history, other cells  
➡ **Recursive models (GLM)** [paninski lecture]
- White noise doesn't drive mid- to late-stage neurons well

# LNP limitations

- Symmetric nonlinearities and/or multi-dimensional front-end (e.g., V1 complex cells)
  - ➔ Subspace LNP [movshon lecture?]
- Responses depend on spike history, other cells
  - ➔ Recursive models (GLM) [paninski lecture]
- White noise doesn't drive mid- to late-stage neurons well
  - ➔ Specialized “afferent” stimuli [movshon lecture]

# Credits

- Spike-triggered covariance: Odelia Schwartz, Jonathan Pillow, Liam Paninski, Nicole Rust
- Stochastic integrate-and-fire & rLNP models: Jonathan Pillow, Liam Paninski
- V1/MT physiology/modeling: Nicole Rust, Tony Movshon (NYU)
- Retinal physiology/modeling: Jonathon Shlens, Valerie Uzzell, Divya Chander, EJ Chichilnisky (Salk Institute)